

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1279953-0

Total Deleted Page(s) = 31
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Page 30 ~ Referral/Consult;
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471

10/4/94
(Date)

TO: FEDERAL BUREAU OF INVESTIGATION
SPECIAL INQUIRY UNIT, ROOM 4371

FROM: ABNER J. MIKVA
SPECIAL COUNSEL TO THE PRESIDENT

SUBJECT: FBI INVESTIGATIONS

Candidate's Name Alan John Dixon SSN 361-18-3388
Date of Birth 7/7/27 Place of Birth Belleville, IL
Present Address 7535 Claymont Court Apt. 2
Belleville IL 62223

We request: _____ Copy of Previous Report
_____ Name Check
_____ Expanded Name Check
X _____ Full-Field Investigation
_____ Level I _____ Level II X Level III _____
_____ Limited Update
_____ Other _____

The candidate named is being considered for:
X _____ Presidential Appointment
X _____ Requiring Senate Confirmation
_____ Not Requiring Confirmation
_____ White House Staff Position

Attachments: X _____ SF-86
X _____ SF-87 (Fingerprint Card)
X _____ SF-86 Supplement
_____ Other _____

Remarks/Special Instructions:

16113-HQ-1080001-1

THE WHITE HOUSE
WASHINGTON

MEMORANDUM FOR PROSPECTIVE APPOINTEES

FROM: OFFICE OF COUNSEL TO THE PRESIDENT

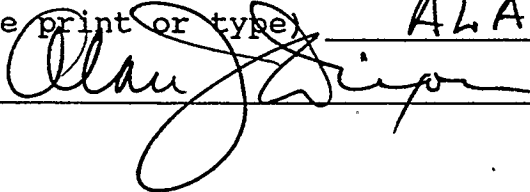
This memorandum confirms in writing your express consent for the Federal Bureau of Investigation to investigate your background or conduct appropriate file reviews in connection with the consideration of your application for employment.

The FBI investigation will include the collection and use of relevant information concerning your personal history, and it is necessary that you authorize the disclosure of such information to the FBI. Information may be disseminated outside the FBI when necessary to fulfill obligations imposed by law.

By volunteering information concerning activities protected by the First Amendment, it will be assumed that you are expressly authorizing the maintenance of this information in the records of any Federal agency.

If you consent to such inquiries, please sign your name below and return this original memorandum of consent to this office.

Name (please print or type) ALAN J. DIXON

Signature  Date OCT 3, 1994

Standard Form 86
Revised December 1990
U.S. Office of Personnel Management
FPM Chapter 732

QUESTIONNAIRE FOR SENSITIVE POSITIONS (For National Security)

Form approved:
O.M.B. No. 3206-0007
NSN 7540-00-634-4036
86-110

Part 1

OPM
USE
ONLY

Codes

Case Number

Agency Use Only (Complete items A through P using instructions in FPM Supplement 296-33)

A Type of Investigation	B Extra Coverage	C Sensitivity Level	D Access	E Nature of Action Code	F Date of Action	Month	Day	Year
G Geographic Location	H Position Code	I Position Title						
J SON	K Location of Official Personnel Folder	None NPRC At SON	Other Address	ZIP Code				
L SOI	M Location of Security Folder	None At SOI NPI	Other Address	ZIP Code				
N OPAC-ALC Number	O Accounting Data and/or Agency Case Number							
P Requesting Official	Name and Title		Signature	Telephone Number	FTS ()	Date		

Persons completing this form should begin with the questions below. Please type or print your answers.

1 FULL NAME • If you have only initials in your name, use them and State (IO). • If you have no middle name, enter "NMN." Last Name: Dixon First Name: Alan Middle Name: John Jr., II, etc.: Month: 07 Day: 07 Year: 217	2 DATE OF BIRTH Month: 07 Day: 07 Year: 217
3 PLACE OF BIRTH • Use the two letter code for the State. City: Belleville County: St. Clair State: IL Country (if not in the United States): USA	4 SOCIAL SECURITY NUMBER 3 6 1 - 1 8 - 3 1 8 1 8

5 OTHER NAMES USED Give other names you used and the period of time you used them (for example: your maiden name, name[s] by a former marriage, former name[s], alias[es], or nickname[s]). If the other name is your maiden name, put "nee" in front of it. Name: _____ Month/Year: _____ To: _____ Name: _____ Month/Year: _____ To: _____
--

6 OTHER IDENTIFYING INFORMATION Height (feet and inches): 5' 10" Weight (pounds): 175 Hair Color: Brown Eye Color: Blue Sex (mark one box): <input type="checkbox"/> Female <input checked="" type="checkbox"/> Male
7 TELEPHONE NUMBERS Work (include Area Code and extension): (X) Day () Night (314) 259-2550 Home (include Area Code): () Day (X) Night (618) 397-3050
8 CITIZENSHIP a Mark the box at the right that applies to you and follow the instructions next to the box you marked. I am a U.S. citizen by birth in the U.S. <input checked="" type="checkbox"/> Answer Items b and d I am a U.S. citizen, but I was NOT born in the U.S. <input type="checkbox"/> Answer Items b, c, and d I am not a U.S. citizen. <input type="checkbox"/> Answer Items b and e b Your Mother's Maiden Name: Tebbenhoff

C UNITED STATES CITIZENSHIP If you are a U.S. Citizen, but were not born in the U.S., provide information about one or more of the following proofs of your citizenship.

Naturalization Certificate (Where were you naturalized?)

Court	City	State	Certificate Number	Month/Day/Year Issued
-------	------	-------	--------------------	-----------------------

Citizenship Certificate (Where was the certificate issued?)

City	State	Certificate Number	Month/Day/Year Issued
------	-------	--------------------	-----------------------

State Department Form 240 - Report of Birth Abroad of a Citizen of the United States

Give the date the form was prepared and give an explanation if needed.	Month/Day/Year	Explanation
--	----------------	-------------

U.S. Passport

This may be either a current or previous U.S. Passport.	Passport Number	Month/Day/Year Issued
---	-----------------	-----------------------

d DUAL CITIZENSHIP If you are (or were) a dual citizen of the United States and another country, provide the name of that country in the space to the right.

e ALIEN If you are an alien, provide the following information:

Place You Entered the United States:	City	State	Date You Entered U.S. Month: 10 Day: 15 Year: 1980	Country of Citizenship	Alien Registration Number: 10800017-1
--------------------------------------	------	-------	---	------------------------	---------------------------------------

9 WHERE YOU HAVE LIVEDFill in your full address for every place you have lived **beginning with the present (#1) and working backward 15 years.**

- If you attended school away from your permanent residence, list the address you lived at while attending school.
- For any address in the **past 3 years**:
 - List a person who knew you at that address, preferably someone who still lives in that area.
 - If address listed is "General Delivery," a Rural Route, or Star Route, provide directions for locating the residence on an attached continuation sheet, and show the block #.

#1	Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
Present	To 6/84	7535 Claymont Court	2	Belleville	I L	61 21 21 3

Name of Person Who Knows You	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
b6 b7C						

#2	Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
	6/84 To 10/77	7606 Foley Drive		Belleville	I L	61 21 21 3

Name of Person Who Knows You	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
b6 b7C						

#3	Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
	10/77 To 7/70	7528 Claymont Court	1	Belleville	I L	61 21 21 3

Name of Person Who Knows You	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
b6 b7C						

#4	Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
	To					

Name of Person Who Knows You	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
()						

#5	Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
	To					

Name of Person Who Knows You	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
()						

#6	Month/Year Month/Year	Street Address	Apt. #	City (Country)	State	ZIP Code
	To					

Name of Person Who Knows You	Street Address	Apt. #	City (Country)	State	ZIP Code	Telephone Number
()						

10 WHERE YOU WENT TO SCHOOLFill in information about schools you have attended, beyond Junior High School, **beginning with the most recent (#1) and working backward 15 years.** Also list **College or University** degrees received beyond 15 years.

- For schools you attended in the **past 3 years**, list a person who knew you at school (such as an instructor or a student).
- For correspondence schools and extension classes, list **records location address**.
- In the "Code" block, use one of these codes: **1 - High School** **2 - College/University** **3 - Vocational/Trade School**

#1	Month/Year Month/Year	Code	Name of School	Degree/Diploma/Other (show each degree and date received if Code 2)	Month/Year
	9/47 To 9/49	2	Washington University	Bachelor of Law	9/49

Street Address and City (Country) of School	State	ZIP Code
Lindell & Skinker St. Louis	M O	

Name of Person Who Knew You	Street Address and City (Country)	State	ZIP Code	Telephone Number
b6 b7C				

#2	Month/Year Month/Year	Code	Name of School	Degree/Diploma/Other (show each degree and date received if Code 2)	Month/Year
	1/45 To 9/47	2	University of Illinois	Bachelor of Science	9/46

Street Address and City (Country) of School	State	ZIP Code
Champaign-Urbana	I L	

Name of Person Who Knew You	Street Address and City (Country)	State	ZIP Code	Telephone Number
b6 b7C				

#3	Month/Year Month/Year	Code	Name of School	Degree/Diploma/Other (show each degree and date received if Code 2)	Month/Year
	9/41 To 1/45	1	Belleville Township High School	Diploma	1/45

Street Address and City (Country) of School	State	ZIP Code
2600 W. Main Belleville	I L	6 2 2 2 1

Name of Person Who Knew You	Street Address and City (Country)	State	ZIP Code	Telephone Number
b6 b7C				

Enter your Social Security Number before going to the next page

→ 3611 - 18 - 3388

11 YOUR EMPLOYMENT ACTIVITIES

Fill in your employment activities beginning with the present (#1) and working backward 15 years. INCLUDE:

- all full-time work
- all part-time work
- all paid work
- active military duty
- self-employment
- all periods of unemployment

IN THE NUMBERED ACTIVITY SECTION USE ONE OF THESE CODES IN THE CODE BLOCK:

- | | | | |
|-----------------------------------|--|--|-----------|
| 1 - Active military duty stations | 5 - State Government (Non-Federal) employment | 7 - Unemployment (Enter name of person who can verify) | 9 - Other |
| 2 - National Guard/Reserve | 6 - Self-employment (Enter business name and/or name of person who can verify) | 8 - Federal Contractor (list Contractor, not Federal agency) | |
| 3 - U.S.P.H.S. Commissioned Corps | | | |
| 4 - Other Federal employment | | | |

FOR EACH ACTIVITY SECTION, provide information requested. For example, if you had worked at XY Plumbing in Denver, CO, for 3 separate periods of time, you would enter dates and information concerning the most recent period of employment first, and provide dates, position titles, and supervisors for the two previous periods of employment in the appropriate blocks below that information. (For locations outside the U.S., show city and country.)

#1	Month/Year Month/Year Present 10/94 To 1/93	Code 9	Employer's Name/Military Service/Unemployment or Self-Employment Verifier Bryan Cave (Law Firm)	Your Position Title Senior Partner		
Employer's/Verifier's Street Address 211 N. Broadway, Suite 3600			City (Country) St. Louis	State MO	ZIP Code 63102	Telephone Number (314) 259-2000
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year To	Your Position Title & Supervisor's Name	Month/Year Month/Year To	Your Position Title & Supervisor's Name
Month/Year Month/Year To		Month/Year Month/Year To	

#2	Month/Year Month/Year 1/93 To 1/81	Code 4	Employer's Name/Military Service/Unemployment or Self-Employment Verifier United States Senate	Your Position Title U.S. Senator/Illinois		
Employer's/Verifier's Street Address Washington, D.C.			City (Country)	State D.C.	ZIP Code 20510	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year To	Your Position Title & Supervisor's Name	Month/Year Month/Year To	Your Position Title & Supervisor's Name
Month/Year Month/Year To		Month/Year Month/Year To	

#3	Month/Year Month/Year 1/81 To 1/77	Code 5	Employer's Name/Military Service/Unemployment or Self-Employment Verifier State of Illinois	Your Position Title Secretary of State		
Employer's/Verifier's Street Address State Capitol			City (Country) Springfield	State ILL	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year To	Your Position Title & Supervisor's Name	Month/Year Month/Year To	Your Position Title & Supervisor's Name
Month/Year Month/Year To		Month/Year Month/Year To	

Enter your Social Security Number before going to the next page

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YOUR EMPLOYMENT ACTIVITIES (Continued)

#4	Month/Year Month/Year	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title		
	To					
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year	Your Position Title & Supervisor's Name	Month/Year Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

#5	Month/Year Month/Year	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title		
	To					
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year	Your Position Title & Supervisor's Name	Month/Year Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

#6	Month/Year Month/Year	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title		
	To					
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year	Your Position Title & Supervisor's Name	Month/Year Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

#7	Month/Year Month/Year	Code	Employer's Name/Military Service/Unemployment or Self-Employment Verifier	Your Position Title		
	To					
Employer's/Verifier's Street Address			City (Country)	State	ZIP Code	Telephone Number ()
Street Address of Job Location (if different than Employer's Address)			City (Country)	State	ZIP Code	Telephone Number ()
Supervisor's Name & Street Address (if different than Job Location)			City (Country)	State	ZIP Code	Telephone Number ()

PREVIOUS PERIODS OF THE SAME ACTIVITY AND LOCATION - IF CONTINUATION SHEET IS USED, SHOW BLOCK #

Month/Year Month/Year	Your Position Title & Supervisor's Name	Month/Year Month/Year	Your Position Title & Supervisor's Name
To		To	
To		To	

Enter your Social Security number before going to the next page

→ 3611-1181-313188

12 PEOPLE WHO KNOW YOU

List **two** people who know you and live in the United States.

• Don't list spouse, other relatives, or former spouses.

• Try not to list anyone mentioned in item 9, 10, or 11.

Name	Number Years Known	Telephone Number:
#1	40	b6 b7C
Home Address	City (Country)	State ZIP Code
		IL 61212-11
Name	Number Years Known	Telephone Number:
#2	30	
Home Address	City (Country)	State ZIP Code

13 YOUR OUTSIDE ACTIVITIES

List **any** activities which you may wish to have considered as reflecting favorably on your reputation for leadership, responsibility, honesty, and integrity in the last 15 years. (Response Optional)

Month/Year	Month/Year	Activity	Location of Activity
#1	1/81 To 1/93	U. S. Senator/Illinois; Majority Chief Deputy Whip; Chairman, Readiness, Sustainability & Support, U.S. Senate Armed Services Committee	City (Country) State Washington D.C.
#2	1/81 To 1/77	Illinois Secretary of State	Springfield IL
#3	1/77 To 1/71	Illinois State Treasurer	Springfield IL

14 YOUR FOREIGN ACTIVITIES

- a. Do you have any foreign property, business connections, or financial interests?
- b. Are you now or have you ever been employed by or acted as a consultant for a foreign government, firm, or agency?
- c. In the last 15 years, have you had continuing contact with a national of any foreign country designated by the agency instructing you to fill out this form? (NOTE: If the agency wants you to answer this question, it will provide you with a list of countries.)

If you answered "Yes" to a, b, or c, explain in the space below:

15 FOREIGN COUNTRIES YOU HAVE VISITED

List foreign countries you have visited, beginning with the most current (#1) and working backward 15 years.

• Do not include countries covered in items 9, 10, and 11.

• In the "Code" block, use one of these codes: 1 - Business

2 - Pleasure

3 - Education

4 - Other

Month/Year	Month/Year	Code	Country	Month/Year	Month/Year	Code	Country
#1	9/94 To 9/94	1	Spain	#3	8/85 To 8/85	1	Denmark
#2	9/94 To 9/94	1	Germany	#4	8/80 To 8/80	1	Japan

16 YOUR MILITARY HISTORY

- a. Have you served in the United States military?
- Have you served in the United States Merchant Marine?
- If your answer to both questions is "No," GO TO QUESTION 17.
- If your answer to either question is "Yes," GO TO b.

b. Starting with the most current (#1) and working backward, enter information for all periods of active service into the table below.

• Mark "O" block for Officer or "E" block for Enlisted.

• In the "Code" block, use one of these codes:

1 - Air Force 2 - Army 3 - Navy 4 - Marine Corps 5 - Coast Guard 6 - Merchant Marine 7 - National Guard

Month/Year	Month/Year	Code	Service/Certificate #	O	E	Status (Mark "X" in appropriate blocks - use State Code for National Guard)					
						None	Active Duty	Active Reserve	National Guard (show State)	Inactive Reserve	Retired
#1	4/45 To 9/46	3	Navy V-5		X						X
#2	1/45 To 4/45	2	Army ASTRP		X						X
#3	To										
#4	To										

Enter your Social Security Number before going to the next page

→ 3 16 11 - 1 18 - 3 13 88

17 YOUR RELATIVES

Give full names and enter the correct code for all relatives, living or dead; specified below:

1 - Mother (first)	4 - Stepfather	7 - Stepchild	10 - Stepbrother	13 - Half-sister	16 - Guardian
2 - Father (second)	5 - Foster parent	8 - Brother	11 - Stepsister	14 - Father-in-law	
3 - Stepmother	6 - Child (adopted also)	9 - Sister	12 - Half-brother	15 - Mother-in-law	

Full Name (if deceased, check box on the left before entering name)	Code	Date of Birth Month/Day/Year	Country of Birth	Country of Citizenship	Current Street Address and City (country) of Living Relatives	State
<input checked="" type="checkbox"/> Elsa Dixon	1	4/30/05	Belleville, IL USA	USA		
<input checked="" type="checkbox"/> William G. Dixon	2	12/07/05	Prairie DuRocher, IL USA	USA		
<input type="checkbox"/>			Belleville, IL USA	USA		
<input type="checkbox"/>			Belleville, IL USA	USA		
<input type="checkbox"/>			Belleville, IL USA	USA		
<input type="checkbox"/>			Belleville, IL USA	USA		
<input checked="" type="checkbox"/> Joseph Fox	14	12/23/97	Kansas City, MO USA	USA		
<input checked="" type="checkbox"/> Ann Elizabeth Fox	15	09/30/05	Portland, OR USA	USA		
<input type="checkbox"/>						
<input type="checkbox"/>						

b6
b7C**18 YOUR MARITAL STATUS**

Mark one of the following boxes to show your current marital status:

<input type="checkbox"/> 1 - Never married (go to question 19)	<input type="checkbox"/> 3 - Separated	<input type="checkbox"/> 5 - Divorced
<input checked="" type="checkbox"/> 2 - Married	<input type="checkbox"/> 4 - Legally Separated	<input type="checkbox"/> 6 - Widowed

b6
b7C

Current Spouse Complete the following about your current spouse.

Full Name: _____ Date of Birth: _____ Place of Birth (Include country if outside the U.S.): _____ Social Security Number: _____

Other Names Used (Specify maiden name, names used before marriage, and show dates used for each name)

(Maiden Name - birth to _____)

Country of Citizenship: _____ Date Married: _____ Place Married (Include country if outside the U.S.): _____ State: _____

USA

If Separated, Date of Separation (Mo./Day/Yr.): _____ Legally Separated, where is the Record Located? City (Country): _____ State: _____

Address of Current Spouse (Street, city, and country if outside the U.S.): _____ State: _____ ZIP Code: _____

Former Spouse(s) Complete the following about your former spouse(s), use blank sheets if needed.

Full Name: _____ Date of Birth: _____ Place of Birth (Include country if outside the U.S.): _____ State: _____

Country of Citizenship: _____ Date Married: _____ Place Married (Include country if outside the U.S.): _____ State: _____

Check One, Then Give Date Month/Day/Year If Divorced, Where is the Record Located? City (Country) State

☐ Divorced ☐ Widowed

Address of Former Spouse (Street, city, and country if outside the U.S.): _____ State: _____ ZIP Code: _____

19 PERSONS LIVING WITH YOU

Does the citizen of another country, or a United States citizen by other than birth, live at your residence? If "Yes," provide the information required below. If a United States citizen by other than birth lives with you, show both "United States" and prior country of citizenship below. Don't list your spouse or other relatives you provided in question 17.

Yes	No
	X

Name of Person	Country of Citizenship	Relationship

This concludes Part 1 of this form. If you have used Page 9, continuation sheets, or blank sheets to complete any of the questions in Part 1, give the number for those questions in the space to the right:

Enter your Social Security Number before going to the next page

→ 3 | 6 | 1 | - | 1 | 8 | - | 3 | 3 8 | 8 |

**QUESTIONNAIRE FOR
SENSITIVE POSITIONS
(For National Security)**

Part 2

20 YOUR SELECTIVE SERVICE RECORD

- a. Are you a male born after December 31, 1959? If "No," go to 21. If "Yes," go to b.
b. Have you registered with the Selective Service System? If "Yes," provide your registration number. If "No," show the reason for your legal exemption below.

Yes	No
	X

Registration Number Legal Exemption Explanation

21 YOUR MILITARY RECORD

- a. Have you ever received other than an honorable discharge from the military? If "Yes," provide:
Date of Discharge (Month and Year): Type of Discharge:
b. Have you ever been subject to court-martial or other disciplinary proceedings under the Uniform Code of Military Justice? If "Yes," list any disciplinary proceedings in the last 15 years and all courts-martial. (Include non-judicial and Captain's mast, etc.)

Yes	No
	X
X	

Month/Year	Charge or Specification / Action Taken	Place (City and county/country if outside the United States)	State
8/46	Use of another's ID: fine \$50.00	Great Lakes Naval Training Center, Waukegan	I, IL

22 YOUR EMPLOYMENT RECORD

Has any of the following happened to you in the last 15 years? If "Yes," begin with the most recent occurrence and go backward, providing date fired, quit, or left, and other information requested.

Yes	No
	X

Use the following codes and explain the reason your employment was ended:

- 1 - Fired from a job 3 - Left a job by mutual agreement following allegations of misconduct 5 - Left a job for other reasons under unfavorable circumstances
2 - Quit a job after being told you'd be fired 4 - Left a job by mutual agreement following allegations of unsatisfactory performance

Month/Year	Code	Specify Reason	Employer's Name and Address	State	ZIP Code

23 YOUR POLICE RECORD (Do not include anything that happened before your 16th birthday.)

- a. Have you ever been charged with or convicted of any felony offense?
b. Have you ever been charged with or convicted of a firearms or explosives offense?
c. Are there currently any charges pending against you for any criminal offense?
d. Have you ever been charged with or convicted of any offense(s) related to alcohol or drugs?
e. In the last 5 years, have you been arrested for, charged with, or convicted for any offense(s) not listed in response to a, b, c, or d above? (Leave out traffic fines of less than \$100.)

Yes	No
	X
	X
	X
X	
	X

If you answered "Yes" to a, b, c, d, or e above, explain your answer(s) in the space provided.

Month/Year	Offense	Action Taken	Law Enforcement Authority or Court (City and county/country if outside the U.S.)	State	ZIP Code
1/78	DUI	Pleaded to reckless driving	Magistrate Court Palm Springs	CA	

24 YOUR MEDICAL RECORD

- a. Have you experienced problems on or off the job because of any emotional or mental condition?
b. Have you ever seen a health care professional for any of the types of problems mentioned above?

Yes	No
	X
	X

If you answered "Yes" to questions a or b, explain below.

Month/Year	Month/Year	Explanation
To		
To		

Enter your Social Security Number before going to the next page

→ 3, 6, 1 - 1, 8 - 3, 38, 8

25 ILLEGAL DRUGS AND ALCOHOL

a. In the last 5 years, have you used, possessed, supplied, or manufactured any illegal drugs? When used without a prescription, illegal drugs include marijuana, cocaine, hashish, narcotics (opium, morphine, codeine, heroin, etc.), stimulants (cocaine, amphetamines, etc.), depressants (barbiturates, methaqualone, tranquilizers, etc.), hallucinogenics (LSD, PCP, etc.). **(NOTE: The information you provide in response to this question will not be provided for use in any criminal proceedings against you.)**

Yes	No
	X

b. Have you experienced problems (disciplinary actions, evictions, formal complaints, etc.) on or off a job from your use of illegal drugs or alcohol?

If you answered "Yes" to question a or b above, provide information relating to the types of substance(s), the nature of the activity, and any other details relating to your involvement with illegal drugs or alcohol. Include any treatment or counseling received.

Month/Year	Month/Year	Type of Substance	Explanation
To			
To			
To			

26 YOUR INVESTIGATIONS RECORD

a. Has the United States Government ever investigated your background? If "Yes," use the codes that follow to provide the requested information below. If "Yes," but you can't recall the investigating agency and/or the security clearance received, enter "Other" agency code or clearance code, as appropriate, and "Don't know" or "Don't recall" under the "Other Agency" heading, below. If your response is "No," or you don't know or can't recall if you were investigated and cleared, check the "No" box.

Yes	No
	X

Codes for Investigating Agency

1 - Defense Department
2 - State Department
3 - Office of Personnel Management
4 - FBI
5 - Treasury Department
6 - Other (Specify)

Codes for Security Clearance Received

0 - Not Required
1 - Confidential
2 - Secret
3 - Top Secret
4 - Sensitive Compartmented Information
5 - Q-Sensitive
6 - Q-Nonsensitive
7 - L
8 - Other

Month/Year	Agency Code	Other Agency	Clearance Code	Month/Year	Agency Code	Other Agency	Clearance Code

b. To your knowledge, have you ever had a clearance or access authorization denied, suspended, or revoked, or have you ever been debarred from government employment? If "Yes," give date of action and agency.

Yes	No
	X

Month/Year	Department or Agency Taking Action	Month/Year	Department or Agency Taking Action

27 YOUR FINANCIAL RECORD

a. In the last 5 years, have you, or a company over which you exercised some control, filed for bankruptcy, been declared bankrupt, been subject to a tax lien, or had legal judgment rendered against you for a debt? If you answered "Yes," provide date of initial action and other information requested below.

Yes	No
	X

Month/Year	Type of Action	Name Action Occurred Under	Name/Address of Court or Agency Handling Case	State	ZIP Code

b. Are you now over 180 days delinquent on any loan or financial obligation? Include loans or obligations funded or guaranteed by the Federal Government. (If an SF 171, Application for Federal Employment, will be attached, you do not need to repeat Federal Government delinquencies. See the instructions headed, "How is the SF 171 used with this form?")

Yes	No
	X

If you answered "Yes," provide the information requested below:

Month/Year	Type of Loan or Obligation and Account #	Name/Address of Creditor or Obligor	State	ZIP Code

Enter your Social Security Number before going to the next page

→ 3 16 11 - 1 18 - 3 138 86

28 YOUR ASSOCIATION RECORD

- a. In the last 15 years, have you been an officer or a member or made a contribution to an organization dedicated to the violent overthrow of the United States Government and which engages in illegal activities to that end, knowing that the organization engages in such activities with the specific intent to further such activities?
- b. In the last 15 years, have you knowingly engaged in any acts or activities designed to overthrow the United States Government by force? If you answered "Yes" to a or b, explain in the space below:

Yes	No
	X
	X

Continuation Space

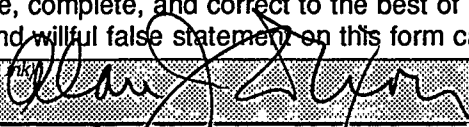
Use the continuation sheet(s) (SF 86A) for additional answers to questions 9, 10, and 11. Use the space below to continue answers to all other questions and any information you would like to add. If more space is needed than what is provided below, use a blank sheet(s) of paper. Start each sheet with your name and Social Security Number. Before each answer, identify the number of the question.

After completing Parts 1 and 2 of this form and any attachments, you should review your answers to all questions to make sure the form is complete and accurate, and then sign and date the following certification and sign and date the release on page 10. If you attach an SF 171, Application for Federal Employment, make sure that it is updated and that any information added to the SF 171 is initialed and dated.

Certification That My Answers Are True

I read each question asked of me and understood each question. My statements on this form, and any attachments to this form, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both.

Signature (Sign in ink)



Date

Oct 3 1994

Enter your Social Security Number before going to the next page



8 6 1 - 1 8 - 33 88

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I Authorize any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, and any authorized Federal agency, to obtain any information relating to my activities from schools, residential management agents, employers, criminal justice agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, and criminal history record information.

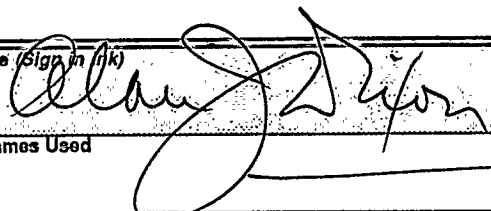
I Understand that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will or may be needed, and I may be contacted for such a release at a later date.

I Further Authorize the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, and any other authorized agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for, assignment to, or retention in, a sensitive position, in accordance with 5 U.S.C. 9101.

I Authorize custodians of records and sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I Understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for two (2) years from the date signed.

Signature (Sign in ink) 	Full Name (Type or Print Legibly) ALAN J. DIXON	Date Signed OCT 3, 1994
Other Names Used	Social Security Number/ 3611-118-3388	
Current Address (Street, City) APT 2, 7535 CLAYMONT CT, BELLEVILLE IL	State IL	ZIP Code 62243
		Home Telephone Number (Include Area Code) 1618 397-3050

SUPPLEMENT TO STANDARD FORM (SF-86)
(Attach additional pages if necessary)

- 1S. Please list names of all corporations, firms, partnerships or other business enterprises, and all nonprofit organizations and other institutions with which you are now, or during the past five years have been, affiliated as an officer, owner, director, trustee, partner, advisor, attorney or consultant. In addition, please provide the names of any other organizations with which you were affiliated prior to the past five years that might present a potential conflict or appearance of conflict of interest with your prospective appointment. (Please note that in the case of an attorney's client listing, it is only necessary to provide the names of major clients and those that might present a potential conflict or appearance of conflict of interest with the prospective appointment).

See attached list.

- 2S. Please list all your interests in real property, other than a personal residence, setting forth the nature of your interest, the type of property and the address.

Apt. #1001
Governor's Pointe
356 Golfview Road
North Palm Beach, FL 33408

- 3S. Have you or any firm, company or other entity with which you have been associated ever been convicted of a violation of any Federal, state, county or municipal law, regulation or ordinance? If so, please provide full details.

No

- 4S. Have you or any firm, company or other entity with which you have been associated ever been the subject of Federal, state or local investigation for possible violation of a criminal statute? If so, please give full details.

Not to my knowledge.

- 5S. Have you ever been involved in civil or criminal litigation, or in administrative or legislative proceedings of any kind, either as a plaintiff, defendant, respondent, witness or party in interest? If so, please give full details identifying dates, issues litigated and the location where the civil action is recorded.

1/78 - Arrested in Palm Springs, California on golf vacation; charged with DUI; case tried in Palm Springs Magistrate Court; pleaded to reckless driving, and paid fine of several hundred dollars.

1616 Re - 1000000-1

6S. Have you ever been disciplined or cited for a breach of ethics or professional conduct by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please give full details.

No

7S. Have you ever run for political office, served on a political committee or been identified in a public way with a particular organization, candidate or issue? Have any complaints been lodged against you or your political committee with the Federal Election Commission or state or local election authorities? If so, please describe.

Police Magistrate, Belleville, IL - 1949; U.S. Senator (IL) - 1980-1992;
State Representative (IL) - 1950-1962;
State Senator (IL) - 1962-1970;
State Treasurer (IL) - 1970-1976; No.
Secretary of State (IL) - 1976-1980;

8S. Are you currently, or have you ever been, a member or office holder in any club or organization that restricts or restricted membership on the basis of sex, race, color, religion, national origin, age or handicap? If so, provide the name, address and dates of membership for each.

Non resident member of Burning Tree Country Club, 8600 Burdette Rd.,
Bethesda, MD 20817, until 9/30/94. Male only club.

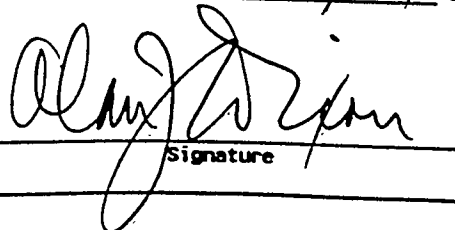
9S. Please identify any adults (18 years or older) currently living with you who are not members of your immediate family. Provide the names of those individuals, dates and places of birth, and whether or not they are United States citizens.

None

10S. Is there anything in your personal life that could be used by someone to coerce or blackmail you? Is there anything in your life that could cause an embarrassment to you or to the President if publicly known? If so, please provide full details.

None

I understand that the information being provided on this supplement to the SF-86 is to be considered part of the original SF-86 dated OCT 3, 1994 and a false statement on this form is punishable by law.


Signature

1s.

PARTNER/CLIENT/TRUSTEE/DIRECTOR LIST

Bryan Cave
Chicago Board of Trade
Chicago Mercantile Exchange
WMX Technologies, Inc.

[REDACTED]
Great Lakes Voice
Village of Glenview
Glenview Park District
Ameritech Illinois

[REDACTED]
Sonicraft
Leadership Council of
Southwestern Illinois
Trescomp

[REDACTED]
Astrum International
ABN AMRO North America, Inc.
Ligma Corp.
Plexsys International
American Council of State
Savings Supervisors
Munitions Industrial Base
Task Force
Trans World Airlines, Inc.
Bear Stearns & Co. Inc.
MAPS, Inc.
Talley Defense Systems
International Game Technology
Business Computer Training
Institute
HEREIU Welfare-Pension Fund
Mid-Citco/Mid-City Bank
International Asset Recovery
Corporation
Mark Twain Bank
National Futures Association
FGI Wireless, Ltd.
Doctors Hospital Hyde Park

b6
b7C

BRYAN CAVE

WASHINGTON, D.C.
LOS ANGELES, CALIFORNIA
NEW YORK, NEW YORK
PHOENIX, ARIZONA
KANSAS CITY, MISSOURI

ONE METROPOLITAN SQUARE
211 NORTH BROADWAY, SUITE 3600
ST. LOUIS, MISSOURI 63102-2750

(314) 259-2000

FACSIMILE: (314) 259-2020

IRVINE, CALIFORNIA
SANTA MONICA, CALIFORNIA
OVERLAND PARK, KANSAS
LONDON, ENGLAND
RIYADH, SAUDI ARABIA
FRANKFURT AM MAIN, GERMANY

ALAN J. DIXON

(314) 259-2550

BIOGRAPHY

Alan J. Dixon is a senior partner in the corporate and business department with the St. Louis based law firm, Bryan Cave. Bryan Cave also has offices in Washington, D.C., Los Angeles, New York, Phoenix, Kansas City, Johnson County, Orange County and Santa Monica; as well as offices overseas in London, Riyadh and Frankfurt.

Before joining Bryan Cave, Senator Dixon was a leader in the United States Senate for 12 years without ever losing sight of the goal that took him there -- taking care of the people of Illinois.

He retired from the U.S. Senate in 1993 due to a primary election defeat. Prior to that, however, Senator Dixon enjoyed an unbroken string of 29 election victories. In 1988, and again in 1990, his Senate party colleagues elected him unanimously to serve as the chief deputy whip, their number three leadership post.

During his Senate career, Alan J. Dixon held important committee positions on the Banking, Housing and Urban Affairs; Small Business; and the Armed Services Committees.

As a senior member of the Senate Banking Committee, Dixon was among the first to introduce legislation to bring to justice those guilty of savings and loan fraud.

A farsighted legislator, he looked into the commercial banking industry and saw some of the troubling signs that led to the thrift crisis. He was the first senator of the 102nd Congress to introduce legislation to help keep the banking industry vibrant and healthy, and to head off the burden of another multi-billion dollar taxpayer bailout.

Dixon won a major victory for Illinois with a 65-33 Senate vote to prevent the New York dominated Securities and Exchange Commission from seizing control of Commodity Futures Trading Commission (CFTC) regulated products. The CFTC accounts for 100,000 Illinois jobs, and deposits \$4 billion each night in Illinois banks.

Dixon's efforts to assure affordable housing bore fruit when, after months of hearings, the new head of the Federal National Mortgage Association informed Senator Dixon he was

announcing steps to make its home mortgage policies and procedures more amenable for working families in low- and moderate-income communities, and particularly, minority neighborhoods.

On the Senate Armed Services Committee, Alan J. Dixon chaired the subcommittee on readiness, which oversees 38 percent of the United States defense budget. Dixon's subcommittee was one of those responsible for making sure U.S. manpower and weapons systems employed in the Persian Gulf were adequate to the task.

Dixon's 43 year public career began when he was in law school, where he was elected Belleville Police Magistrate. Since then, he has served as Illinois Secretary of State, an office he won by a margin of 1.3 million votes. His 1.5 million re-election majority marked the first time a candidate of either political party ever carried all 102 Illinois Counties in an election, including all 30 townships in suburban Cook County and all 50 wards in the City of Chicago.

Dixon also served as State Treasurer, where his policies earned more than \$525 million for Illinois taxpayers, and he established investment incentives for Illinois banks that encouraged them to invest in their own communities.

In his state legislative career, which included the Illinois House and Senate, Dixon wrote or co-sponsored legislation that produced or nurtured the state's modern criminal code, the modern judicial article to the Illinois constitution, its community college system and its open meeting law.

He was the first Democratic statewide candidate to disclose the sources and amounts of all campaign contributions, and since 1970, his personal financial assets and liabilities were a matter of public record.

He is a graduate of the University of Illinois and holds a law degree from Washington University in St. Louis. He and [] have three children and seven grandchildren.

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September 1994

SENATOR ALAN J. DIXON: FACTS-AT-A-GLANCE

NAME: Alan J. Dixon

HOME ADDRESS: 7535 Claymont Court, Belleville, IL 62223

BUSINESS ADDRESS: Bryan Cave, One Metropolitan Square, 211 North Broadway, St. Louis, MO 63102

PROFESSION: Attorney

PHONE: Office: 314/ 259-2550

BIRTH: July 7, 1927; Belleville, IL

PARENTS' NAMES: William G. Dixon & Elsa Tebbenhoff (both deceased)

MARRIED TO: [REDACTED]

CHILDREN: [REDACTED]

SCHOOLS ATTENDED: Belleville Township High School, graduated 1945;
University of Illinois, graduated 1949;
Washington (St. Louis) University Law School, graduated 1949

CHURCH: Presbyterian

COMMITTEES & PUBLIC OFFICES: United States Senate, 1981 to 1993
Senate Chief Deputy Whip, 1988 to 1993
Member: Committee on Armed Services
(Chairman: subcommittee on Readiness, Sustainability, and Support)
Member: Committee on Banking Housing and Urban Affairs (Chairman: subcommittee on Consumer and Regulatory Affairs)
Member: Committee on Small Business
(Chairman: subcommittee on Government Contracting and Paperwork Reduction)

Secretary-Treasurer of the Illinois Congressional Delegation
Chairman of the Institute for Illinois
Co-Chairman of the Senate Anti-Terrorism Caucus
Co-Chairman of the Union of Councils for Soviet Jews
Congressional Call to Conscience
Member: Northeast-Midwest Senate Coalition

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Member: Senate Caucus on International Narcotics
Control
Founder and Co-Chairman of the Senate Corn Caucus

Illinois Secretary of State, 1977 to 1981
Illinois Treasurer, 1971 to 1977
Illinois State Senate, 1963 to 1971
Illinois State Representative, 1951 to 1963
Police Magistrate, Belleville, Illinois, 1949

**BOARD OF
DIRECTORS**

MEMBERSHIPS: Mid-Citco, Inc. (Mid-City National Bank, Chicago,
Illinois)
National Futures Association
St. Louis National Stockyards Co.
FGI Wireless, Ltd.
Doctors Hospital Hyde Park

ADVISORY BOARD

MEMBERSHIPS: Mark Twain Bank
- Chairman, Illinois Advisory Board

TRUSTEE

MEMBERSHIPS: Hotel Employees and Restaurant Employees
International Union Welfare-Pension Fund

MILITARY: U.S. Navy, 1945 to 1946

NCIC CHECK ROOM 1328 153, ATTN: [REDACTED] WANTED & CCH FILES

why 10/4

b6
b7C

FROM: SPECIAL INQUIRY UNIT, DIV 6, RM 4371, TL# 114
EXT: 2581 ATTN: PSS [REDACTED]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-17-2014 BY C66W46B11

SUBJECT: ALAN JOHN DIXON
AKA(S):

BUDED: 10/06/94

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED TO THE SPECIAL INQUIRY UNIT, RM 4371, VIA ROUTING SLIP MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT NAME: ALAN JOHN DIXON
DOB: 07/07/27
POB: BELLEVILLE, IL
SSAN: 361-19-3398
CURRENT ADDRESS: 7535 CLAYMONT CT
BELLEVILLE, IL 62223
EMPLOYMENT: BRYAN CAVE LAW FIRM
211 NORTH BROADWAY 3600 AVE ST LOUIS, MO

meg

CLOSE RELATIVES

RESULT	NAME	DOB	RESIDENCE

b6
b7C

CHECK CONDUCTED BY: [REDACTED]

ON

10/5/94

**SPECIAL INQUIRY
PLEASE EXPEDITE**

[REDACTED] NAM/DIXON, ALAN JOHN

.DOB/070727.SOC/361183388

[REDACTED]
NO NCIC WANT SOC/361183388

NO NCIC WANT DOB/070727 NAM/DIXON, ALAN JOHN

[REDACTED] NAM [REDACTED]

[REDACTED]
NO NCIC WANT DOB [REDACTED]

NAM [REDACTED]

[REDACTED] NAM [REDACTED]

.DOB [REDACTED]

[REDACTED]
NO NCIC WANT DOB [REDACTED]

NAM [REDACTED]

[REDACTED] NAM [REDACTED]

.DOB [REDACTED]

[REDACTED]
NO NCIC WANT DOB [REDACTED]

NAM [REDACTED]

[REDACTED] NAM [REDACTED]

.DOB [REDACTED]

[REDACTED]
NO NCIC WANT DOB [REDACTED]

NAM [REDACTED]

[REDACTED] NAM [REDACTED]

.DOB [REDACTED]

[REDACTED]
MKE/WANTED PERSON

ORI [REDACTED] NAM [REDACTED] SEX/F RAC/B POB/IL DOB [REDACTED] GT/411

WGT/140 EYE/BR0 HAT/BR0 SKN/MED

SMT/SC R WRIST SOC [REDACTED] DLS/IL OLY/93

OFF/FAILURE TO APPEAR - SEE MIS DOW/062789 OCA/891036670

MIS/WILL EXTRADITE FROM OREGON AND IDAH0 ONLY, THEFT 2ND, VERIFY

206-296-0970

ORI IS KING COUNTY DCS POLICE SEATTLE WA

DOB [REDACTED]

SMT/SC LF ARM SC L WRIST SC R EYE DISC L ARM DISC U LIP

SC RE ARM DISC L EYE BRCD EARS

SOC [REDACTED]

AKA [REDACTED]

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NIC/W540709541

IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

MKE/WANTED PERSON

ORI [REDACTED] NAM [REDACTED] SEX/M RAC/U DOB [REDACTED] HGT/509 WGT/201

EYE/BR0 HAI/BLK FBI [REDACTED]

SMT/SC N0SE FPC [REDACTED] SOC [REDACTED]

OFF/PAROLE VIOLATION - SEE MIS DOW/092993 OCA/5206870Z

MIS/QUEENS III 0FFICE 718 526-4545 212 594-5889

PL 15530 FEL GRAND LARCENY 4

ORI IS DIVISION OF PAROLE DELINQUENT UNIT ALBANY NY

DOB [REDACTED]

SOC [REDACTED]

AKA [REDACTED]

AKA [REDACTED]

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AKA
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AKA
AKA

NIC/W769638529

IMMED CONFIRM WARRANT AND EXTRADITION WITH ORI

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b7C
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b6
b7C
b7E

[REDACTED] NAM [REDACTED] . DOB [REDACTED]

[REDACTED] NO NCIC WANT DOB [REDACTED] NAM [REDACTED]

TO: NEXIS - ATTN: [REDACTED] (DATA BANK)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-17-2014 BY C66W46B11

FROM: SPECIAL INQUIRY UNIT, 4371, TL# 114
[REDACTED] 324-2581

b6
b7C

SUBJECT: ALAN JOHN DIXON

BLDED: 10/06/94

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE
BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS
BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED
TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION
CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS
REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR
NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED
TO THE SPECIAL INQUIRY UNIT, RM 4371, VIA ROUTING SLIP
MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT
+/-

NAME: ALAN JOHN DIXON

DOB: 07/07/27

POB: BELLEVILLE, IL

SSAN: 361-18-3388

CURRENT RESIDENCE: 7535 CLAYMONT CT
BELLEVILLE, IL 62223

EMPLOYMENT: BRYAN CAVE LAW FIRM
211 NORTH BROADWAY 3600 AVE ST LOUIS, MO

WP

Alan John w/z Dixon & (Bryan Cave Law Firm) - NS
Alan John w/z Dixon - NS
Alan w/z Dixon - over 1,000

CHECK CONDUCTED BY [REDACTED] ON 10-5-94
USING THE *NEXIS* DATABASE(S) AND THE FOLLOWING
PARAMETERS: -----

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**SPECIAL INQUIRY
PLEASE EXPEDITE**

DCII CHECK REQUEST FORM

** SUBJECT IDENTIFICATION **

PLEASE RETURN TO PSS ROOM 4371.
UNIT NAME: SPECIAL INQUIRY

b6
b7c

SUBJECT: DIXON, ALAN, JOHN

DOB: 27/07/07

SSAN: 361-18-3388

OTHER-NAMES:

no record

**SPECIAL INQUIRY
PLEASE EXPEDITE**

CHECK CONDUCTED BY: _____

ON: _____

10/4/94

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-17-2014 BY C66W46B11

PRESIDENTIAL BUDED

FAX

10/4/94

FROM: Director, FBI (161B - HQ -1080007)

TO: SACs, CHICAGO (No enc.)
LOS ANGELES (Enc.)
MIAMI (No enc.)
ST. LOUIS (Enc.)
SPRINGFIELD (Enc.)
ADIC, WMFO (Enc.)

ALAN JOHN DIXON

SPIN;

OO: FBIHQ

BUDED: COB 10/6/94 WITHOUT FAIL

Enclosed for LA, SL, SI AND WMFO is a copy of DIXON's SF-86 (including release of information form) dated 10/3/94.

Bureau has been requested by the White House to conduct a Level II background investigation (BI) of appointee in connection with a Presidential appointment which requires Senate confirmation. Appointee was born 7/7/27, at Belleville, IL; resides at 7535 Claymont Court, #2, Belleville, IL; and has SSAN 361-18-3388.

Field Offices are reminded that the investigative status of a BI, all deadlines and the position for which the appointee is being considered shall not be disclosed to any interviewees. If during the course of the investigation, interviewees provide the nature of the position, that information is not to be further divulged outside the FBI. Inquiries by interviewees concerning the nature of the position are to be handled as set forth in MIOG, Part II, Section 17-5 (5). Appointees making inquiries regarding the status of their BI are to be referred to the client agency.

Direct results/questions to PSS [redacted] SPIN Unit, FBIHQ, Room 4371, Ext. [redacted] SPIN Unit facsimile numbers are: (202) 324-2574 and (202) 324-1373.

Advise FBIHQ (PSS [redacted]) and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1).

10

Off done
10/4/94
161B-HQ-1080007-4

SEARCHED	INDEXED
SERIALIZED	FILED
OCT 04 1994	
FBI - WASH FIELD OFFICE	

b6
b7c

OCT 6 1994

BY COURIER

Hand delivered 10/6/94

53667
Mr. Abner J. Mikva
Counsel to the President
The White House
Washington, D.C.

Dear Mr. Mikva:

Based on a request received from your office dated October 4, 1994, a Level II background investigation has been conducted concerning Mr. Alan John Dixon. Enclosed is a copy of a summary memorandum containing the results of this investigation, along with a copy of an interview providing details of information contained in this summary memorandum.

This completes our investigation.

Sincerely yours,

TJCH

Thomas J. Coyle
Assistant Director
Personnel Division

Enclosures (2)

RZ:rz 23

141B-1080007

CLOSED

NOTE: This case was opened on 10/4/94. Mr. Dixon is currently employed by the Bryan Cave Law Firm, St. Louis, Missouri, as an Attorney and Partner. He is being investigated for a Presidential appointment which requires Senate confirmation. Investigation disclosed information contained in FBI files pertaining to Mr. Dixon and [REDACTED]

[REDACTED] Investigation also disclosed an FEC complaint was filed against Mr. Dixon. In addition, a check of the U. S. Attorney's Office, Washington, D. C., disclosed Mr. Dixon was a defendant in a lawsuit.

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b7c

Dep. Dir. _____
Chief of _____
Staff _____
Off. of Gen. _____
Counsel _____
Asst. Dir.: _____
Crim. Inv. _____
CJIS _____
Finance _____
Info. Res. _____
Insp. _____
Lab. _____
National Sec. _____
Personnel _____
Training _____
Off. of EEOA _____
Off. of Public _____
& Cong. Affs. _____
Director's Office _____

A copy of an interview of Mr. Dixon from St. Louis report dated October 6, 1994, was provided to the White House.

Investigation is complete.

MAIL ROOM ☒

FBI/DOJ

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-17-2014 BY C66W46B11

FD-302 (Rev. 3-10-82)

- 1 -

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/5/94

ALAN JOHN DIXON, date of birth July 7, 1927, place of birth Belleville, Illinois, residence, 7535 Claymont Court, Apartment #2, Belleville, Illinois 62223, telephone number (618) 397-3050, employed as a Senior Partner, BRYAN CAVE ATTORNEYS (law firm), 211 North Broadway, Suite 3600, St. Louis, Missouri 63102, telephone number (314) 259-2550, was interviewed at his place of employment. DIXON was advised of the identity of the interviewing Agent and of the fact that he was being interviewed in connection with a presidential appointment which will require a background investigation of his personal life. DIXON thereafter provided the following information:

DIXON advised that the information appearing on the Standard Form 86 (SF-86) executed on October 3, 1994, is accurate and complete to the best of his knowledge. The following additional information has been furnished:

DIXON stated that he has never had any credit problems nor has he had any personal property or realty subject to repossession. He has not had any delinquent credit payments, nor has he ever filed for bankruptcy. He is current on all of his federal, state and local taxes and has never had any such delinquent taxes.

DIXON advised that he has never been the plaintiff or defendant in any type of civil actions. DIXON advised that during the Summer of 1946, prior to him being discharged from the Naval Service, he had been picked up by the shore patrol in Waukegan, Illinois after going into a bar with another enlisted man and using another enlisted man's identification card. He appeared before the Captain's Mast and was fined approximately \$50.00. DIXON stated that he was not certain of the fine, however, he believes it was around \$50.00 or less and the time frame he had noted on his application was August of 1946, however, he was not certain of the exact date. DIXON also stated that he had been arrested during January of 1978 on a Driving Under the Influence (DUI) charge after playing golf at Palm

Investigation on 10/4/94 at St. Louis, Missouri File # 161B-HQ-1080007

by SA RWH:lmf 4 Date dictated 10/5/94

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This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

OCT 6 '94 12:40

3142415357 PAGE.005

FD-302a (Rev. 11-15-83)

161B-HQ-1080007

Continuation of FD-302 of ALAN JOHN DIXON, On 10/4/94, Page 2

Springs, California. He stated that being a public official he did not fight the charge, however, secured the services of an attorney and had the case pleaded down to Reckless Driving. He does not recall how much the fine was, however, paid the attorney several hundred dollars for handling his case. There was no accident, no property damaged, nor any other individuals involved in the DUI charge. DIXON denies being a suspect or subject of any other type of criminal investigation. He has never had any other arrests, nor has he been convicted in any other type of criminal proceeding.

DIXON advised that he drinks alcoholic beverages but not to excess and denies the use of any illegal drugs of any kind, including marijuana. He considers himself to be in good health and denies any type of prescription medication abuse. He denies any type of bad health which could presently be construed as cause of defect in judgement or reliability.

DIXON advised that he has never been denied employment, dismissed from any employment or left any employment under unfavorable circumstances, or denied a security clearance by any agency within the Federal Government.

DIXON advised that while traveling abroad he has never had any personal or continuing contact with representatives of any foreign country nor is he aware of any contact with a foreign national in the United States. DIXON advised that his travel outside of the United States as listed on his application was for official business. His passport number is 151665618 with an expiration date of July 13, 2004.

DIXON stated that he has never been the recipient of any professional complaints, nor has he ever had any grievances filed against him by any client. He has not been the subject of any equal employment opportunity complaints or Office of Missouri or Illinois Attorney General complaints. DIXON has never been the subject of any disciplinary proceedings.

DIXON advised that there are no potential conflict of interest allegations either personal or professional that may impact upon his appointment for the position to which he is being considered. If there is any type of such matter he would certainly reclude himself.

FD-302a (Rev. 11-15-83)

161B-HQ-1080007

Continuation of FD-302 of ALAN JOHN DIXON, On 10/4/94, Page 3

DIXON advised that he owns two pieces of real estate; one his present residence which is a condominium located at 7535 Claymont Court, Belleville, Illinois, and a winter home located at Governors Pointe, 356 Golfview Road, Apartment 1001, North Palm Beach, Florida 33408. DIXON further advised that [REDACTED]

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[REDACTED] On the land is a home and a small cottage which are rented out and the remaining land used for cattle grazing. DIXON said that he had listed [REDACTED] with the White House Council.

DIXON advised that he had been a non-resident member of the BURNING TREE COUNTRY CLUB, 8600 Burdette Road, Bethesda, Maryland 20817. The club was a male only club and to preclude any problems with his present appointment he resigned his membership on September 30, 1994. DIXON denies being a member of any other organization which has a restrictive membership based on race, sex, color, religion, national origin, age or handicap.

DIXON advised that he has never had any type of psychological or psychiatric counseling, to include marriage counseling. DIXON has never been involved in any organization which advocates the use of force to overthrow the U.S. Government or any involvement in the commission of sabotage, espionage or assistance of those individuals which could be construed as serving the interest of another government in preference to the interest of the United States.

DIXON stated that there are no current or past circumstances known to him that could have a bearing on his suitability for federal employment or access to classified information.

DIXON advised that he is not concealing any type of activity or conduct which could be used in any way to subject him to any type of influence, pressure, coercion or compromise which would impact negatively on his character, reputation, judgement or discretion.

DIXON stated that he has not published any articles, journals or any kind of books. Most of his speeches have been extemporaneous on base closings regarding the position for which he is being considered.

FD-302a (Rev. 11-15-83)

161B-HQ-1080007

Continuation of FD-302 of ALAN JOHN DIXON, On 10/4/94, Page 4

DIXON further advised that the partner/client/trustee/director list as part of his application mainly consists of clients that he has dealt with on a professional basis. The last seven listings are organizations of which he is a member of the Board of Directors. Those organizations and contacts for those organizations are as follows:

HEREIU WELFARE - PENSION FUND, Chicago, Illinois, appointee is a Trustee and a member of the Audit Committee. contact individual is [REDACTED] telephone number [REDACTED]

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MID-CITCO/MID-CITY BANK, Chicago, Illinois, appointee is a member of the Board of Directors. contact individual is [REDACTED] telephone number [REDACTED]

INTERNATIONAL ASSET RECOVERY CORPORATION was discontinued as of March of 1993.

MARK TWAIN BANK, Fairview Heights, Illinois, appointee is Chairman of the Advisory Committee and not a member of the Board of Directors although he is paid for that position. contact individual is [REDACTED] telephone number [REDACTED]

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NATIONAL FUTURES ASSOCIATION, Chicago, Illinois, appointee is a member of the Board of Directors, contact individual is [REDACTED] telephone number [REDACTED]

FGI WIRELESS LTD., Quincy, Illinois, appointee just started as a member of the board, contact individual is [REDACTED] telephone number [REDACTED]

DOCTORS HOSPITAL, Hyde Park, Chicago, Illinois, appointee is member of the Board of Directors. contact individual is [REDACTED] telephone number [REDACTED]

FD-302a (Rev. 11-15-83)

161B-HQ-1080007

Continuation of FD-302 of ALAN JOHN DIXON, On 10/4/94, Page 5

Also listed on the last page of appointee's application ST. LOUIS NATIONAL STOCKYARDS COMPANY, East St. Louis, Illinois, appointee is a member of the Board of Directors, contact individual is [REDACTED] KORTE CONSTRUCTION COMPANY, St. Louis, Missouri, telephone number [REDACTED]

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DIXON stated that while serving with the United States Senate and residing in Washington, D.C., he purchased a home which was located at 300 C Street, Northeast Washington, D.C. 20002. He owned the home for six years and then sold that residence after leaving the Senate and Washington, D.C.

For additional information, DIXON stated that while serving with the United States Senate he worked out of four offices in Illinois, the managers of those offices and locations are as follows:

Chicago - [REDACTED]
however, her whereabouts are unknown, contact [REDACTED]
[REDACTED] who is presently with the Mayor's Office of
Chicago, Illinois, telephone number [REDACTED]
[REDACTED] in that office.

Springfield, Illinois - the Office Manager has since
past away, contact [REDACTED]
[REDACTED] in that office, home telephone number [REDACTED]

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Mount Vernon, Illinois, contact [REDACTED] telephone
number [REDACTED]

East St. Louis, Illinois - contact [REDACTED]
telephone number [REDACTED]

DIXON's personal physician is [REDACTED] 4550
Memorial Drive, Belleville, Illinois, telephone number [REDACTED]
[REDACTED]

DIXON further advised that the last four pages of his application which contains his biography from BRYAN CAVE, two pages and two pages of facts at a glance provides a brief summary of additional background information.

FD-302a (Rev. 11-15-83)

161B-HQ-1080007

Continuation of FD-302 of ALAN JOHN DIXON, On 10/4/94, Page 6

DIXON is a member of only the Missouri and Illinois Bar Associations.



U.S. Department of Justice

Federal Bureau of Investigation

Washington, D.C. 20535

ALAN JOHN DIXON

This summary memorandum contains the results of a Level II background investigation concerning Mr. Dixon, which addressed the past fifteen years of his life. Inquiries were conducted in the United States as to Mr. Dixon's character, loyalty, and general standing, but no inquiries were made as to the sources of his income.

Birth

Mr. Dixon was born on July 7, 1927, in Belleville, Illinois.

Education

Mr. Dixon attended Washington University, St. Louis, Missouri, receiving a J.D. degree in September, 1949.

Military Service

Mr. Dixon enlisted in the United States Army Reserve on August 22, 1944, and served in an inactive until May 16, 1945, when he was honorably discharged as a private (E-2). He enlisted in the United States Navy Reserve (USNR) on May 25, 1945, and served in an inactive status until July 2, 1945, when he entered on active duty. Mr. Dixon was honorably discharged as a seaman recruit (E-1) by reason of acceptance of appointment as an officer in the USNR.

On April 28, 1949, he was appointed an ensign (O-1) in the USNR and served in an inactive status until June 30, 1961, when he was honorably discharged as a lieutenant junior grade. His military file contained no unfavorable information.

Employment

October, 1979, to
January, 1981

Continued to be employed by the
State of Illinois, Springfield,
Illinois, as Secretary of State

FD-263 (Rev. 4-30-85)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE MIAMI	OFFICE OF ORIGIN BUREAU	DATE 10/7/94	INVESTIGATIVE PERIOD 10/5/94-10/6/94
TITLE OF CASE ALAN JOHN DIXON		REPORT MADE BY SA [REDACTED]	TYPED BY: ar
		CHARACTER OF CASE SPECIAL INQUIRY - SPIN BUDED: 10/6/94	

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REFERENCE:

Bureau to Miami fax dated 10/4/94.

- RUC -

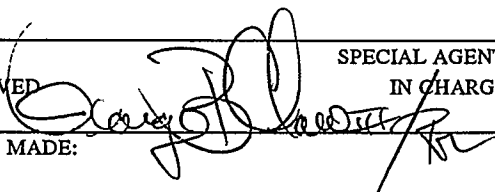

ADMINISTRATIVE:

All persons interviewed were apprised of the Privacy Act and none requested confidentiality.

ADDENDUM:

Miami indices were found to be negative regarding appointee and [REDACTED] on October 6, 1994, by IMA [REDACTED]

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APPROVED 	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: ③ - Bureau (161B-HQ-108007) (Attn: [REDACTED] SPIN, RM 4371) 1 - Miami (3) CC DESTROYED 					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations 3/RB. RM 4371			
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					

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FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:

SA [REDACTED]

Office: MIAMI

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Date:

October 7, 1994

Field Office File #:

161B-HQ-108007

Bureau File #:

161B-HQ-108007

Title:

ALAN JOHN DIXON

Character:

Special Inquiry

Synopsis:

Records of the UNITED STATES ATTORNEY'S OFFICE, Southern District of Florida, Miami, Florida, were negative on appointee.

Records of the PALM BEACH COUNTY SHERIFF'S OFFICE, West Palm Beach, Florida, were negative on appointee.

Details:

161B-HQ-108007

UNITED STATES ATTORNEY'S OFFICE

On October 5, 1994, [REDACTED] UNITED STATES ATTORNEY'S OFFICE, Southern District of Florida, Miami, Florida, reviewed their records and found them to be negative on appointee.

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161B-HQ-108007

ARRESTS

Records of the PALM BEACH COUNTY SHERIFF'S OFFICE, West Palm Beach, Florida, were checked on October 6, 1994, by SA [REDACTED] and were found to be negative on appointee.

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Records of the PALM BEACH COUNTY SHERIFF'S OFFICE cover all communities in the County of Palm Beach, Florida.

FD-263 (Rev. 4-30-85)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE WMFO	OFFICE OF ORIGIN BUREAU	DATE 10/6/94	INVESTIGATIVE PERIOD 10/4/94 - 10/6/94
TITLE OF CASE ALAN JOHN DIXON		REPORT MADE BY PSS [REDACTED]	TYPED BY: sef
		CHARACTER OF CASE SPIN (B) <i>[Signature]</i>	

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REFERENCE: Bureau airtel, dated 10/4/94.

-RUC-

ADMINISTRATIVE:

All individuals were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality, both limited and unlimited, have not been granted.

WMFO electronic and general indices revealed the following information regarding the appointee:

[REDACTED]
[REDACTED] No evidence of wrongdoing was discovered concerning the appointee.

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APPROVED <i>[Signature]</i> SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: 2-BUREAU (161B-HQ-1080007) (PSS [REDACTED]) 1-WMFO (161B-HQ-1080007) CC DESTROYED				
DISSEMINATION RECORD OF ATTACHED REPORT				
Agency				
Request Recd.				
Date Fwd.				
How Fwd.				
By				
Notations <i>3/RJ</i>				

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WMFO 161B-HQ-1080007

WMFO files [redacted] and [redacted] make reference to



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No further information was located.

FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: PSS [REDACTED]
Date: October 6, 1994

Office: WMFO

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Field Office File #: 161B-HQ-1080007

Bureau File #: 161B-HQ-1080007

Title: ALAN JOHN DIXON

Character: SPIN (B)

Synopsis: White House Office checked. Employment verified and favorable. Residence verified and favorable. PIS checked, no record. FEC checked. U.S.S.S. checked, no record. Law enforcement agencies checked, no derogatory information.

-RUC-

WMFO 161B-HQ-1080007

GHS:sef

1

EMPLOYMENT

The White House Office (WHO)
The Executive Clerk's Office
Old Executive Office Building (OEOB)
17th and Pennsylvania Ave., Northwest
Washington, D.C.

The following investigation was conducted by Special Agent (SA [redacted]) on October 5, 1994, regarding the appointee, ALAN JOHN DIXON.

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No record of appointment or nomination by the President of the United States could be located in this office. - - -

WMFO 161B-HQ-1080007

GHS:sef

1

EMPLOYMENT

Disbursing Office
United States Senate
Washington, D.C.

The following investigation was initiated by Special Agent (SA) [redacted] on October 4 and 5, 1994, regarding the appointee, ALAN JOHN DIXON:

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[redacted] United States Senator, stated that he has known the appointee for thirteen years and considers the appointee to be a fine and decent individual. He stated that the appointee is an individual who will contribute any amount of time to finish the work product. He continued to state that the appointee is a very responsible individual. Additionally, he stated that the appointee is well informed, obliging, and extremely hardworking.

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[redacted] United States Senator, stated he has known the appointee for thirteen years and has observed the appointee working long evening hours and early morning hours to accomplish his goals. He stated that he has observed the appointee having an excellent knowledge of his related subject matter, and in his opinion, the appointee has superior writing skills. Continuing, he stated that the appointee appears to be qualified for his position, and has demonstrated professionalism towards it.

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[redacted] United States Senator, stated he has known the appointee for thirteen years and considers the appointee to be suited for access to classified and sensitive materials. He stated that the appointee has an extremely pleasant personality and is considered to be trustworthy, intelligent, and discreet. He knew of nothing that would influence or pressure the appointee concerning his stability or discretion.

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[redacted] United States Senator, stated that he has known the appointee for since they were teenagers, and knows the appointee to be a person of integrity who has displayed outstanding leadership qualities. He stated that he considers the appointee to be a very ethical individual whose character and discretion is outstanding. He knew nothing that would compromise or coerce the appointee, and considers the appointee to be poised, mature, and dedicated.

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[redacted] United States Senator, stated that he has known the appointee for thirteen years and considers the appointee to be conscientious, professional, and qualified. He knows of no character or judgment flaws that would preclude him

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from receiving a position of trust and confidence with the United States Government. He was also questioned as to his knowledge of anything that could influence, pressure, or coerce the appointee, to which he answered in the negative. He stated he knew nothing of a derogatory nature regarding the appointee, and stated that he was stable, both physically and mentally. He concluded the interview by expressing his respect and admiration on the part of the appointee.

[redacted] United States Senator, stated that he has known the appointee for fourteen years and he has never observed any irrational or unprofessional behavior on the part of the appointee. He stated that the appointee is well mannered, industrious, and disciplined, and has been considered to be an asset to the staff. He stated that the appointee has established a strong working relationship with the other members of the staff, and is highly regarded by them. He also knew of nothing which would influence or compromise the appointee in any way.

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[redacted] United States Senator, advised that he has known the appointee for thirteen years and he has never observed any irrational or unprofessional behavior on the part of the appointee. He continued that the appointee is well mannered, industrious, and disciplined. He stated that the appointee has established a strong working relationship with the other members of the Senate, and is highly regarded by them. He also knew of nothing which would influence or compromise the appointee in any way.

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[redacted] United States Senator, stated he has known the appointee for thirteen years and has observed the appointee working long evening hours and early morning hours to accomplish his goals. He added that he has observed the appointee having an excellent knowledge of his related subject matter, and in his opinion, the appointee has superior writing skills. Continuing, he stated that the appointee appears to be qualified for this position, and has demonstrated professionalism towards it.

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[redacted] United States Senator, stated he has known the appointee for thirteen years and considers the appointee to be suited for access to classified and sensitive materials. He stated that the appointee has an extremely pleasant personality and is considered to be honest, trustworthy, intelligent, and discreet. He knew of nothing that would influence or pressure the appointee concerning his stability or discretion.

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[redacted] United States Senator, stated that he has known the appointee for ten years and considers the appointee to be a fine and decent individual. He stated that the appointee is an individual who will contribute any amount of time to finish

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WMFO 161B-HQ-1080007

3

the work product. He continued to state that the appointee is a very responsible individual. Additionally, he stated that the appointee is well informed, obliging, and extremely hardworking.

While being interviewed, the above listed individuals commented favorably regarding the character, associates, reputation, and loyalty to the United States Government of the appointee. Each individual was asked whether they knew of anything that would influence, pressure, coerce, or compromise the appointee in any way, or that could have had an adverse impact on his character, judgment, stability, discretion, trustworthiness, or responsibility. Each individual answered in the negative. Additionally, each individual stated that they knew nothing of a derogatory nature, including any illegal drug use, and no alcohol or prescription drug abuse. They stated the appointee remains in good physical condition, is mentally stable, and has lived within his financial means. They stated that the appointee is the type of person who could be trusted with classified and sensitive materials. They confirmed that the appointee does not harbor any biases or prejudices towards any race or class of citizens, and stated that they would recommend the appointee for a position of trust and confidence with the United States Government.

Office of Security
United States Senate
Washington, D.C.

advised that there is no record in the Security Office files regarding the appointee that is derogatory in nature.

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161B-HQ-1080007

CSB:csb

1.

EMPLOYMENT

Disbursing Office
United States Senate
Washington, D.C.

The following investigation was conducted by Special Agent (SA) [redacted] on October 4, 1994, regarding the appointee, ALAN JOHN DIXON:

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[redacted] advised the appointee took office as a United States Senator on January 3, 1981 and left office on January 3, 1993. He stated there is no derogatory information concerning the appointee located in the Personnel Computer System.

The following interview was conducted in connection with this employment:

[redacted]
Major League Baseball, telephone (202) 861-1730, advised that he was [redacted] for the appointee during his entire tenure as a United States Senator. He stated, however, that he has known the appointee for almost 20 years as he previously [redacted]

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[redacted] He considers the appointee to be a close personal friend as well as his former boss.

He advised he has only the highest regard for the appointee, stating he is one of the most reputable and upstanding politicians he has ever met. He characterized him as fair, honest, trustworthy, a person of integrity and a good family man. He stated the appointee enjoys an excellent reputation in the state of Illinois and he knows of nothing unfavorable regarding his character, associates, reputation or loyalty to the United States.

He has never known the appointee to abuse alcohol or prescription drugs or use, purchase, sell or distribute illegal drugs. He stated the appointee is a solid, stable individual who lives well within his financial means. He has never known the appointee to harbor or display any bias or prejudice towards an individual or group of individuals and knows of nothing in his background that could be used to influence, pressure, coerce or compromise him in any way or that could have an adverse impact on his character, judgment, stability, discretion, trustworthiness or responsibility.

161B-HQ-1080007

CSB:csb

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He stated the appointee is well suited for access to sensitive or classified information and has had access to sensitive information for years. He highly recommends him for any position of trust and confidence with the United States government.

WMFO 161B/HQ-1080007
JMF/jmf

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RESIDENCE

300 C Street, N.E.
Washington, D.C. (WDC)
From 1988 to 1993

On October 6, 1994, Special Agent (SA) [redacted] Northern Virginia Metropolitan Resident Agency, FEDERAL BUREAU OF INVESTIGATION (FBI), Falls Church, Virginia, conducted the following neighborhood investigation with regard to the candidate, ALAN JOHN DIXON.

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[redacted] advised that he moved into his current residence [redacted] one month prior to the candidate moving out. He added that during that one month that [redacted] to the candidate he was not aware of any derogatory or adverse information regarding the candidate's character, associates or reputation. [redacted] advised that he could not comment any further regarding the candidate as he did not know the candidate very well.

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[redacted] advised that she and her husband [redacted] and advised that they resided at that property from June, 1985, until early 1993. She added that she has known the candidate since 1988 when he moved in next door. She added that during the period of time that they were neighbors, she became close friends with the candidate's wife and has met the candidate's children and grandchildren. She described the candidate as a "congenial family man who was a good neighbor." She added that he never caused any problems or created any disturbances while residing next door. [redacted] advised that she had no reason to question the candidate's loyalty to the United States Government, his integrity, associates or reputation. She has seen no evidence to suggest the candidate abuses alcohol or prescription drugs, or engages in illegal drug use. She finds the candidate to be in very good physical and mental health. The candidate appears to be financially responsible and has no biases or prejudices toward any groups or individuals. She had no reason to believe the candidate would pose any type of security risk to the United States Government and concluded by recommending the candidate for a position of trust and confidence with the United States Government.

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Attempts to contact the neighbor residing at [redacted] [redacted] were unsuccessful.

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WMFO 161B-HQ-1080007
RLW:rlw

U.S. DEPARTMENT OF JUSTICE

PUBLIC INTEGRITY SECTION
Criminal Division
1400 New York Avenue, N.W.
Washington, D.C.

On October 4, 1994, Investigative Assistant (IA) [redacted]
[redacted] caused a search to be made of the files of the U.S.
Department of Justice (DOJ), Criminal Division, Public Integrity
Section, Washington, D.C., concerning the appointee ALAN JOHN
DIXON.

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[redacted] Public
Integrity Section, DOJ, advised IA [redacted] that a search of the
files concerning the appointee DIXON was met with negative
results.

WMFO 161B-HQ-1080007
PSD:psd

FEDERAL ELECTION COMMISSION (FEC)

999 E Street, N.W.
Washington, D.C.

On October 5, 1994, [redacted] Office of General Counsel, FEC, advised Investigative Assistant (IA) [redacted] that a review of records revealed MUR (Matter Under Review) #2218 regarding ALAN JOHN DIXON.

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On October 6, 1994, IA [redacted] reviewed the records at FEC and obtained the following information:

MUR #2218

COMPLAINANT [redacted] for the United States
Senate

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RESPONDENT The Dixon Committee

On 8/7/86, [redacted] for the United States Senate filed a complaint with the Federal Election Commission against Alan Dixon alleging violations of certain sections of the Federal Election Campaign Act of 1971, as amended.

On 12/18/86, Federal Election Commission reviewed these allegations and determined that on the basis of the information provided by Respondents, there is no reason to believe that a violation of the Federal Election Campaign Act of 1971 as amended ("the Act") has been committed by the Illinois Solidarity Party and [redacted] the Dixon Committee and [redacted] and the Stevenson Committee.

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No additional information available.

WMFO 161B-HQ-1080007

MH:mh

1

UNITED STATES ATTORNEY'S OFFICE

555 4th Street, Northwest
Washington, D.C.

[] On October 6, 1994, Investigative Assistant (IA) [] submitted a request for a search to be conducted regarding the appointee, ALAN DIXON. b6 b7C

[] On October 6, 1994, IA [] was contacted by [] U.S. Attorney's Office, Liaison of the Pending and Closed Cases of the NARCOTICS, PUBLIC CORRUPTION, ECONOMIC CRIME, TRANSNATIONAL/MAJOR CRIMES UNIT, of the above captioned agency. [] advised that no identifiable record could be located regarding the appointee, ALAN DIXON. b6 b7C

[] On October 6, 1994, IA [] was contacted by [] U.S. Attorney's Office, Liaison of the Pending and Closed Cases of the Civil Division. [] advised that File Number 89-0811 was located concerning the appointee, ALAN DIXON. b6 b7C

It should be noted that the index system of the Civil Division, U.S. Attorney's Office, contains only names of plaintiffs, except in Civil Actions brought by the United States against a particular defendant. Suits against government employees who are represented by the United States Attorney would be filed by plaintiff's name and docket number.

WMFO 161B-HQ-1080007
MLP:mlp

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION
Federal Records Center
4205 Suitland Road,
Suitland, Maryland

On October 6, 1994, Investigative Assistant (IA) [redacted]
[redacted] caused a search to be made of the above captioned
agency concerning the appointee, ALAN JOHN DIXON. The following
pertinent information was obtained:

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The record reflects under Civil Action number 89-0811
that a suit was filed on March 27, 1989, by ELDON LOWELL YOCUM,
Mwdical Building 10-F, Box 4000, Springfield, Missouri, against
U.S. SENATOR ALAN J. DIXON, ET AL., for events occurring in
Illinois.

On April 20, 1989, it was ORDERED that the above-
captioned case is hereby transferred to the United States
District Court for the Central District of Illinois pursuant to
28 U.S.C. & 1404 (a).

Attached is a copy of the ORDER.

Re:

Dear

On Apr. 26, 1989, this Court signed an order transferring the above-entitled case to your Court. Enclosed is a copy of that order together with our case file and a certified copy of the docket entries. (We do not normally transfer our case file for at least _____ days after the date of the transfer order.)

Please promptly acknowledge receipt of our file on the duplicate copy of this letter. Please indicate your case number somewhere on the receipt.

Very truly yours,

 Clerkb6
b7CBy: _____
Deputy Clerk

Enclosures

cc: All attorneys of record

Distribution of CO-215

Original and one copy to transferee Court
Copy to Front Office with Weekly Reader File

CO-215
Rev. 4/79
®

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA

ELDON L. YOCUM,

Plaintiff,

v.

U.S. SENATOR ALAN J. DIXON,
ET AL.,

Defendants.

Civil Action No. 89-0811

ORDER

Plaintiff, appearing pro se, sues a United States Senator from the State of Illinois and Federal Judges from the Central District of Illinois, for events occurring in Illinois. The Court directed plaintiff to show cause in writing by April 14, 1989 why this case should not be transferred to the United States District Court for the Central District of Illinois for the convenience of the witnesses and parties. Plaintiff submitted numerous papers to the Court but none satisfactorily responded to this Court's show cause Order. Accordingly, it is

ORDERED that the above-captioned case is hereby transferred to the United States District Court for the Central District of Illinois pursuant to 28 U.S.C. § 1404(a).


UNITED STATES DISTRICT JUDGE

April 25, 1989.

J. GAG

MAR 27 1989

89-0811

JS 44C
(Rev. 12/84) G

CIVIL COVER SHEET

This civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, nor are they to be used as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.)

I (a) PLAINTIFFS

Eldon Lowell Yocum
Medical Bldg. 10-F Box 4000
Springfield, MO 65583

DEFENDANTS

US SENATOR ALAN J. DIXON, et al

(b) COUNTY OF RESIDENCE OF FIRST LISTED PLAINTIFF 88888
(EXCEPT IN U.S. PLAINTIFF CASES)

COUNTY OF RESIDENCE OF FIRST LISTED DEFENDANT _____
(IN U.S. PLAINTIFF CASES ONLY)
NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED

(c) ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER)

Pro Se

ATTORNEYS (IF KNOWN)

II. BASIS OF JURISDICTION

(PLACE AN "X" IN ONE BOX ONLY)

- ☐ 1 U.S. Government Plaintiff
☒ 2 U.S. Government Defendant
☐ 3 Federal Question (U.S. Government Not a Party)
☐ 4 Diversity (Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES

(For Diversity Cases Only)

(PLACE AN "X" IN ONE BOX FOR PLAINTIFF AND ONE BOX FOR DEFENDANT)

	PTF	DEF		PTF	DEF
Citizen of This State	<input type="checkbox"/> 1	<input type="checkbox"/> 1	Incorporated or Principal Place of Business in This State	<input type="checkbox"/> 4	<input type="checkbox"/> 4
Citizen of Another State	<input type="checkbox"/> 2	<input type="checkbox"/> 2	Incorporated and Principal Place of Business in Another State	<input type="checkbox"/> 5	<input type="checkbox"/> 5
Citizen or Subject of a Foreign Country	<input type="checkbox"/> 3	<input type="checkbox"/> 3	Foreign Nation	<input type="checkbox"/> 6	<input type="checkbox"/> 6

IV. CAUSE OF ACTION (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE A BRIEF STATEMENT OF CAUSE. DO NOT CITE JURISDICTIONAL STATUTES UNLESS DIVERSITY)

28 USC 1332

V. NATURE OF SUIT (PLACE AN "X" IN ONE BOX ONLY)

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excl. Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veterans' Benefits <input type="checkbox"/> 160 Stockholders' Suits <input type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability	PERSONAL INJURY <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury PERSONAL PROPERTY <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability PRISONER PETITIONS <input type="checkbox"/> 510 Motions to Vacate Sentence <input type="checkbox"/> 520 Habeas Corpus <input type="checkbox"/> 540 Mandamus & Other <input checked="" type="checkbox"/> 550 Civil Rights	<input type="checkbox"/> 610 Agriculture <input type="checkbox"/> 620 Food & Drug <input type="checkbox"/> 630 Liquor Laws <input type="checkbox"/> 640 R.R. & Truck <input type="checkbox"/> 650 Airline Regs. <input type="checkbox"/> 660 Occupational Safety/Health <input type="checkbox"/> 690 Other LABOR <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Mgmt. Relations <input type="checkbox"/> 730 Labor/Mgmt. Reporting & Disclosure Act <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Empl. Ret. Inc. Security Act	<input type="checkbox"/> 422 Appeal <input type="checkbox"/> 423 Withdrawal <input type="checkbox"/> 28 USC 158 <input type="checkbox"/> 28 USC 157 PROPERTY RIGHTS <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark SOCIAL SECURITY <input type="checkbox"/> 861 HIA (1395f) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DMCV (405g) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405g) FEDERAL TAX SUITS <input type="checkbox"/> 870 Taxes <input type="checkbox"/> 871 IRS-Third Party <input type="checkbox"/> 26 USC 7609 <input type="checkbox"/> 875 Customer Challenge <input type="checkbox"/> 12 USC 3410	<input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce/ICC Rates/etc <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 810 Selective Service <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 892 Economic Stabilization Act <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 894 Energy Allocation Act <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 900 Appeal of Fee Determination Under Equal Access to Justice <input type="checkbox"/> 950 Constitutionality of State Statutes <input type="checkbox"/> 890 Other Statutory Actions

VI. ORIGIN

(PLACE AN "X" IN ONE BOX ONLY)

- ☒ 1 Original Proceeding
☐ 2 Removed from State Court
☐ 3 Remanded from Appellate Court
☐ 4 Reinstated or Reopened
☐ 5 Transferred from another district (specify) _____
☐ 6 Multidistrict Litigation
☐ 7 Appeal to District Judge from Magistrate Judgment

VII. REQUESTED IN COMPLAINT:

CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23 ☐

DEMAND \$ _____

Check YES only if demanded in complaint:
JURY DEMAND: ☐ YES ☐ NO

VIII. RELATED CASE(S) (See instructions) IF ANY

JUDGE _____ DOCKET NUMBER _____

DATE _____

SIGNATURE OF ATTORNEY OF RECORD

Pro Se

UNITED STATES DISTRICT COURT

WMFO 161B-HQ-1080007

DF:sef

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AGENCY CHECK

UNITED STATES SECRET SERVICE (USSS)
Investigative Support and Research Division (ISRD)
1800 G Street, Northwest
Washington, D.C.

On October 5, 1994, Investigative Assistant (IA) [REDACTED] caused a search to be made of the files of the United States Secret Service (USSS), Department of the Treasury, Washington, D.C., regarding the appointee, ALAN DIXON.

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On October 5, 1994, [REDACTED] ISRD, USSS, advised IA [REDACTED] that no record could be located concerning the appointee, ALAN DIXON. - -

No further pertinent information was available.

WMFO 161B-HQ-1080007
MLP:mlp

NATIONAL PARK SERVICE (NPS)
U.S. Park Police Department (PKPD)
1100 Ohio Drive,
Washington, D.C.

On October 4, 1994, Investigative Assistant (IA) [redacted]
[redacted] caused a search to be made of the records of the
above captioned agency concerning the appointee, ALAN JOHN DIXON.
[redacted] reviewed the records and
advised that no identifiable Adult Criminal record could be
located regarding the appointee.

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WMFO 161B-HQ-1080007
MLH:mlh

DRIVER'S RECORD

DEPARTMENT OF TRANSPORTATION
Bureau of Motor Vehicle Services
District of Columbia Government
Washington, D.C.

[redacted] On October 4, 1994, Investigative Assistant (IA) [redacted]
[redacted] searched the computerized records of the DEPARTMENT OF
TRANSPORTATION, Bureau of Motor Vehicle Services, for the
District of Columbia Government and determined that no record was
located regarding the applicant, ALAN JOHN DIXON, DOB: 07/07/27,
SSAN: 361-18-3388.

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No further information was obtained.

WMFO 161B-HQ-1080007

SEF:sef

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LAW ENFORCEMENT AGENCIES

On October 4, 1994, query was made of the Washington Area Law Enforcement System (WALEs) computer and it was determined that no record was located at the Metropolitan Police Department concerning the appointee.

It is noted that at all times an indefinite number of unidentified records may not be in the computer and not available for review.

1988

FD-263 (Rev. 4-30-85)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE ST. LOUIS	OFFICE OF ORIGIN BUREAU	DATE 10/6/94	INVESTIGATIVE PERIOD 10/4/94 - 10/5/94
TITLE OF CASE ALAN JOHN DIXON		REPORT MADE BY SA [REDACTED]	TYPED BY: bjd
		CHARACTER OF CASE SPECIAL INQUIRY	

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REFERENCE:

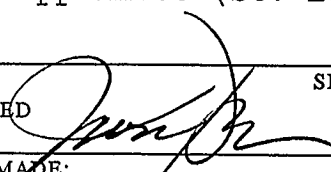
Bureau Facsimile to St. Louis, dated 10/4/94.
St. Louis teletype to Director, dated 10/4/94.

- RUC -

ADMINISTRATIVE:

All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted.

St. Louis Division General Indices and FOIMS were negative regarding the appointee with one exception of which the Bureau is aware. A letter of inquiry from a constituent directed to the appointee during which time he served as a United States Senator, dated March 28, 1983, and which was forwarded to the Chicago Division on May 3, 1983, by cover letter from the appointee (St. Louis file 197-71).

APPROVED 	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: (2) - Bureau (161B-HQ-1080007) Attn: PSS [REDACTED] SPIN UNIT, ROOM 4371 1 - St. Louis (161B-HQ-1080007)					
CC DESTROYED					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					

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3/82
4371

FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: SA [REDACTED]
Date: October 6, 1994

Office: ST. LOUIS

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Field Office File #: 161B-HQ-1080007

Bureau File #: 161B-HQ-1080007

Title: ALAN JOHN DIXON

Character: SPECIAL INQUIRY

Synopsis: Appointee interviewed and provided background information relevant to his suitability for the position which he is being considered. Education at WASHINGTON UNIVERSITY LAW SCHOOL, St. Louis, Missouri, verified. Appointee received a Bachelor of Laws Degree with a class standing of 2 in a group of 34. Appointee served honorably in the Army, Navy, and Navy Reserve as an enlisted man. He also served honorably in the Navy Reserve as an officer. Disciplinary action noted. Employment at BRYAN CAVE LAW FIRM verified. Partners and co-workers in the law firm highly recommend appointee for a position of trust and confidence. Personal reference and associates highly recommend. Membership on the Board of Directors of NATIONAL STOCKYARDS COMPANY verified. Secretary/Treasurer recommends. Criminal checks negative regarding appointee and inquiry at offices of United States Attorney, Eastern District of Missouri, St. Louis, Missouri, disclosed no reference to him in pending/cases civil/criminal files.

- RUC -

Details: AT ST. LOUIS, MISSOURI:

T A B L E O F C O N T E N T S

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161B-HQ-1080007

I. APPOINTEE INTERVIEW

161B-HQ-1080007

II. EDUCATION

161B-HQ-1080007
VXJ:lmf

St. Louis Division
at St. Louis, Missouri

EDUCATION

The following investigations were conducted by
Investigative Assistant [REDACTED] on October 5, 1994:

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A review of records at WASHINGTON UNIVERSITY LAW SCHOOL, St. Louis, Missouri, indicated ALAN JOHN DIXON was admitted to the school on February 9, 1948. He graduated on September 22, 1949, and received an Bachelor of Laws Degree. His class standing was shown as "2 in group of 34" and his grade point average (under the old grading system) was shown as 78.48. No disciplinary action or honors shown.

A check of the records at the WASHINGTON UNIVERSITY CAMPUS POLICE DEPARTMENT failed to locate a record for ALAN JOHN DIXON.

161B-HQ-1080007

III. MILITARY SERVICE

161B-HQ-1080007
JLS:bjd

St. Louis Division
at St. Louis, Missouri

MILITARY SERVICE

An analysis on October 5, 1994, by IA [REDACTED] of the military documents on file at the FEDERAL RECORDS CENTER, Military Branch, 9700 Page Avenue, indicated ALAN JOHN DIXON, service number 16-130-051, enlisted in the Army Reserve on August 22, 1944. He served inactively until May 16, 1945, when honorably discharged as a private (E-2) by reason of convenience of the government.

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He enlisted in the Navy Reserve on May 25, 1945, under service number 767-66-49, and entered on active duty in the Navy on July 2, 1945, at Chicago, Illinois. He was honorably discharged on July 21, 1946, as an apprentice seaman (E-2) at Great Lakes, Illinois, by reason of convenience of the government.

He re-enlisted in the Navy Reserve on July 12, 1948, and served inactively until April 27, 1949, when honorably discharged as a seaman recruit (E-1) by reason of acceptance of appointment as an officer in the Navy Reserve.

He was appointed an ensign (O-1) in the Navy Reserve under service number 522241 on April 28, 1949. He served inactively until June 30, 1961, when honorably discharged as a lieutenant junior grade (O-2).

He had no foreign service and was awarded the American Area Ribbon and the World War II Victory Ribbon.

His conduct and efficiency ratings were shown as excellent.

He received a Deck Court-Martial on May 29, 1946, for possession of an I.D. Card of another enlisted man, no further details were shown. He was sentenced to forfeiture of \$16.00 pay per month for two months.

No security clearance was indicated.

His military specialty was not shown.

His date and place of birth were shown as July 7, 1927, at Belleville, Illinois.

161B-HQ-1080007

IV. EMPLOYMENT

161B-HQ-1080007
RWH:bjd

St. Louis Division
at St. Louis, Missouri

EMPLOYMENT

Bryan Cave Law Firm
211 North Broadway
Suite 3600
St. Louis, Missouri 63102
January 1993 to Present

The following investigation was conducted by Special Agent (SA) [redacted] on October 4, 1994:

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[redacted] date of birth [redacted]
[redacted]
[redacted] BRYAN CAVE LAW FIRM, 211 North Broadway, Suite 3600, St. Louis, Missouri 63102. telephone number (314) 259-2000, residence [redacted] telephone number [redacted] was advised of the identity of the interviewing Agent and the fact that he was being interviewed in connection with the background investigation of ALAN JOHN DIXON. [redacted] thereafter provided the following information:

[redacted] advised that he and [redacted] after he lost the United States Senate race in 1992. [redacted] stated that appointee's personnel file reflects he began working with the firm on January 4, 1993, as a partner having an annual salary of \$400,000. As a partner, that salary is based on a projected income for the amount of clientele that the appointee brings to the law firm. The appointee is assigned to the civil division handling corporate financial cases. There was no derogatory information noted in the file. There was no record of any type of disciplinary action regarding the appointee. There was no record of any kind of grievances or complaints filed against the appointee. There was no record of any awards or commendations regarding the appointee.

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[redacted] stated that he has known the appointee for several years and during that time has never known the appointee to be in any kind of trouble or difficulty. He enjoys an outstanding reputation in the community and the political arena. [redacted] characterized the appointee as being very easy to get along with, even tempered, and very personable. He is very understanding, sincere, and compassionate. He has a great deal of good common sense and sound judgment.

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161B-HQ-1080007

[] considers the appointee to be extremely honest, trustworthy, reliable, and very dependable. His character, integrity, loyalty, and reputation are considered above reproach. His known personal and professional associates are of the same outstanding caliber as he. [] has never known the appointee to vocalize or demonstrate any bias or prejudice or any kind towards any individual or ethnic group.

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[] considers the appointee to be in good health and excellent physical condition. He maintains his fitness by working out occasionally and playing golf. [] is not aware of any organizational affiliations that the appointee had other than belonging to the ST. CLAIR COUNTY COUNTRY CLUB. [] does not believe that the appointee would belong to any organization that had any kind of restrictive memberships. He was not aware of the appointee's financial status, however, stated that he would be very surprised if the appointee was financially irresponsible.

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[] further advised that the appointee's legal abilities are excellent and that he is an outstanding asset to the law firm. The appointee has a keen ability to weigh conflicting testimony and to make factual determinations quickly. [] could not comment on the appointee's courtroom demeanor as he does very little courtroom litigation.

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[] stated that the appointee does not abuse alcohol or prescription drugs nor does he use illegal drugs of any kind, including marijuana. [] advised that he was not aware of any type of concealed activity or conduct in the appointee's background which could be used in anyway to subject him to any type of influence, pressure, coercion, or compromise which would impact negatively on his character, reputation, judgment, or discretion. He recommended the appointee highly for a position of trust and confidence with the United States government.

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161B-HQ-1080007
EJW:snd

St. Louis Division
at St. Louis, Missouri

EMPLOYMENT

BRYAN CAVE LAW FIRM
211 North Broadway, Suite 3600
St. Louis, Missouri 63102
January, 1993 to October, 1994

On October 5, 1994, the following investigation was
conducted by Special Agent (SA) [REDACTED]

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[REDACTED] residing at [REDACTED]
[REDACTED] telephone [REDACTED] and [REDACTED] in the
captioned law firm, telephone (314) 259-2000, furnished the
following information:

[REDACTED] has known the appointee for approximately 45
years as they "were friends during law school days", verified his
employment during the captioned time frame, sees him on a daily
basis as co-worker, and maintains an association with him as
partners in the captioned law firm, in addition to being very
close friends, where they oftentimes socialize together. He is
neat and well groomed at all times, dresses in a professional and
conservative manner, is incredibly even-tempered with no mood
swings, and has a personality as that of being outgoing,
gregarious, sincere, understanding, compassionate, and very
friendly and easy to get along with. He demonstrates excellent
common sense, is a very scholarly and well-learned individual,
methodical, and analytical, thorough, and is well read and
conversant in local and national events. His fairness as an
attorney is truly excellent, and his co-workers and professional
peers all regard him in the same manner, and highly admire his
exemplary level of professionalism.

161B-HQ-1080007

Regarding his legal ability, his overall legal competence is regarded as excellent, he handles mostly civil matters, has extensive trial experience, his courtroom demeanor is that of being poised and pleasant, and he has an excellent ability to weigh conflicting testimony and make factual determinations therefrom. He is universally regarded as "one who does his homework", and being extremely well prepared before appearing before a Judge, Corporate Board, or any other type of tribunal.

The appointee's character, integrity, loyalty and reputation are absolutely and unquestionably above reproach, he is honest, trustworthy, reliable, and discreet, unquestionably lives within his financial means, has never vocalized or demonstrated any bias or prejudice, and is not known to abuse alcohol or prescription drugs, nor to use illegal drugs of any kind, including marijuana. He enjoys excellent health, is very physically fit and active, and is "the all American Jack Armstrong type individual". His known personal and professional associates are of the same outstanding caliber as he. [redacted] advised that he is not aware of any activity or conduct in the appointee's background which could be used in any way to subject him to influence, pressure, coercion or compromise and/or would impact negatively on his character, reputation, judgment or discretion.

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[redacted] concluded by granting the appointee the very highest recommendation possible for a position of trust and confidence with the United States Government, adding that "I know of no one that I could rate any higher".

161B-HQ-1080007
MCW:snd

St. Louis Division
at St. Louis, Missouri

EMPLOYMENT

BRYAN CAVE LAW FIRM
211 North Broadway, Suite 3600
St. Louis, Missouri 63102
January, 1993 to Present

On October 5, 1994, Special Agent (SA) [redacted]
[redacted] conducted the following investigation:

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[redacted] white male, date of birth [redacted]
[redacted] residing at [redacted]
[redacted] and [redacted] BRYAN CAVE, 211 North
Broadway, Suite 3600, St. Louis, Missouri, was advised of the
identity of the reporting Agent and that he was being contacted
regarding the background investigation of ALAN JOHN DIXON.
[redacted] provided the following information:

[redacted] and the appointee are members of the
Democratic Party and graduated from the same law school,
WASHINGTON UNIVERSITY. After DIXON lost his Primary election,
[redacted] began considering DIXON for a position at BRYAN CAVE.
[redacted] advised that BRYAN CAVE found only positive information
about DIXON and hence the law firm hired DIXON approximately two
years ago [redacted] last contact with DIXON was either Friday
or Monday of the last week.

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DIXON is always dressed and groomed appropriately.
DIXON is honest and trustworthy and "does exactly what he tells
you." DIXON is reliable and always on time. DIXON exercises
sound judgment and good common sense. [redacted] has never
observed DIXON angry or moody and commented that DIXON is always
outgoing.

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161B-HQ-1080007

[redacted] advised that DIXON is a loyal United States Citizen and loyal to his place of employment. DIXON has a good reputation among the legal community. DIXON is not a "glad hander" and is deceptively smart. DIXON has a "good mind" and works as hard as anyone [redacted] knows. DIXON is a good lawyer and has had good success in attracting clientele. As DIXON resides in Illinois, [redacted] is not aware of any personal associates of DIXON. However, DIXON is a leader in Illinois.

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[redacted] advised that DIXON may be a member of a golf club in Washington, D.C. and Belleville, Illinois. [redacted] was unaware about any membership restrictions concerning the golf clubs.

[redacted] advised that he was unaware of any activity or conduct on behalf of DIXON which could be used in any way to influence, pressure, coerce or compromise or any ways impact DIXON's judgment. DIXON does not abuse alcohol nor use any illegal drugs, including marijuana. [redacted] has observed no abuse of prescriptive medication on behalf of DIXON. DIXON is financially responsible and has no biases or prejudices.

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[redacted] would recommend DIXON for a position of trust in the Federal Government. [redacted] advised that DIXON is bright, ambitious and hard working. DIXON dealt with his Primary loss well. DIXON also understands the Washington, D.C. crowd well and would be an asset to the Federal Government.

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[redacted] white male, date of birth [redacted]
[redacted] BRYAN CAVE, 211 North Broadway, Suite 3600, St. Louis, Missouri 63102, telephone (314) 259-2000, was advised of the identity of the reporting Agent and that he was being contacted regarding the background investigation of ALAN JOHN DIXON. [redacted] then provided the following information:

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[redacted] has known DIXON for approximately two and a half years since DIXON came to work at BRYAN CAVE. DIXON has done excellent work while at the law firm. [redacted] has "regular" contact with DIXON and last spoke with him by telephone one week ago. In all his contacts with DIXON, [redacted] has noted no improprieties regarding DIXON's dress or grooming habits.

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[redacted] advised that DIXON is honest, trustworthy and reliable. DIXON has exercised good judgment and is an upbeat person. [redacted] has no doubts concerning DIXON's loyalty to the United States or his place of employment.

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161B-HQ-1080007

DIXON has a fine reputation within the firm. DIXON's associates in the Belleville, Illinois area are persons of good standing. DIXON is affiliated with the Democratic Party as well as a golf club in Washington, D.C. and a golf club in Belleville, Illinois. [redacted] has no knowledge concerning the membership requirements of these golf clubs.

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b7c

[redacted] knew of nothing which could be used in any way to influence, pressure, coerce or compromise DIXON. [redacted] has no indication that DIXON abuses alcohol, uses any illegal drugs, including marijuana or abuse prescriptive medication. DIXON is financially responsible and has exhibited no biases or prejudices towards anyone.

[redacted] would recommend DIXON for a position of trust with the Federal Government. DIXON has been a successful elected public official in the past and would continue to do so.

161B-HQ-1080007
DWV:bjd

St. Louis Division
at St. Louis, Missouri

EMPLOYMENT

Bryan Cave Law Firm
211 North Broadway
Suite 3600
St. Louis, Missouri, 63102
Janaury 1993 to Present

On October 5, 1994, the following investigation was conducted by Special Agent (SA) [redacted]

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[redacted] date of birth [redacted] residence [redacted]
[redacted] telephone number [redacted]
[redacted] was contacted at his place of employment the law firm of BRYAN CAVE, 211 North Broadway, St. Louis, Missouri, 63102, telephone number (314) 259-2000. [redacted] was advised that the interviewing Agent was conducting a background investigation concerning ALAN JOHN DIXON, who was being considered for a position of trust and confidence in the United States government. In response to questions posed by the interviewing Agent, [redacted] provided the following information:

[redacted] had known the appointee for approximately one and three-quarters years since the time the appointee came to work at [redacted]. He last had contact with the appointee yesterday and normally has contact with the appointee one to two times a week within the context of his employment. The appointee always demonstrates a standard of dress and personal grooming that is appropriate for the occasion.

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b7C

[redacted] stated that he considered the appointee to have very good character, possessing honesty, reliability, common sense, judgment, and discretion. [redacted] stated he has never known the appointee to be temperamental or moody.

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[redacted] advised that he absolutely had no reason to doubt the candidate's loyalty to the United States or his loyalty in general. [redacted] stated that the appointee enjoys an outstanding reputation in the community and enjoys a excellent reputation among his co-workers "up and down the ladder at the firm from the secretary to the top people". [redacted] advised that the appointee has always been fair and equitable in his dealings.

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161B-HQ-1080007

[] stated he considered the appointee to be a very capable attorney. [] advised that both he and the appointee specialize in corporate law and commercial matters which often involves research concerning negotiations and various business dealings. [] stated that he has never had an opportunity to view the appointee in the context of a courtroom or litigation. [] stated he understood that the appointee was a litigator prior to his public service as a United States Senator, however, he could express no opinion as to the candidate's courtroom demeanor. [] stated that he believed that the appointee possessed excellent ability to weigh conflicting testimony and to make factual determinations based on the dealings that he has had with the candidate.

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[] advised that he has never known the appointee to associate with anyone on a personal or professional level that he considered questionable or that made him uncomfortable. [] has never known the appointee to be affiliated with an organization that had a restrictive membership policy. [] stated that he has never observed any indication in the appointee that the appointee abuses alcohol, prescription drugs, or uses illegal drugs, including marijuana.

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[] advised that he has never observed any signs of financial distress in the appointee or an inability to meet his obligations.

The appointee has never demonstrated any prejudice or bias towards any group or individual on the basis of race, sex, color, religion, national origin, age, or handicap. [] stated he is unaware of any information in the candidate's past and/or background that would be used in anyway to subject the appointee to undo pressure, coercion, or compromise him in anyway or that would impact him negatively with regarding to his character, reputation, judgment, or discretion.

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In summary, [] advised that he would recommend the appointee for a position of trust and confidence with the United States government.

161B-HQ-1080007

V. PERSONAL REFERENCE

161B-HQ-1080007
JRB:bjb

St. Louis Division
at St. Louis, Missouri

PERSONAL REFERENCE

The following investigation was conducted by Special Agent (SA) [redacted] FEDERAL BUREAU OF INVESTIGATION (FBI), St. Louis, Missouri on Wednesday, October 5, 1994:

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[redacted] date of birth [redacted]
[redacted] who resides at [redacted]
[redacted] telephone [redacted] and who is currently [redacted] with the law firm GODFREY & PADBERG, 1015 Locust Street, St. Louis, Missouri, telephone 314-621-3787, was contacted regarding his personal knowledge and association of the appointee, who is being considered for a White House appointment. [redacted] voluntarily provided the following information:

[redacted] The appointee has been a personal and social friend of [redacted] since the two attended the WASHINGTON UNIVERSITY School of Law in 1994. [redacted] last saw the appointee just three weeks ago when they had lunch together. They are close personal and social friends.

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Having known the appointee for many years, [redacted] knows him to be a professionally dressed, well groomed individual who is considered very honest, trustworthy, reliable and one who exercises good common sense. [redacted] initial response to whether or not the appointee was of good character was, 'My Goodness, Yes!'.

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The appointee is considered very loyal to the United States and to the legal profession. Furthermore, his reputation and integrity within the community are above reproach. [redacted] further described the appointee's reputation as exemplary.

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Professionally, the appointee is a very good lawyer who has worked with [redacted] a number of years ago in various civil matters. These cases were litigated prior to the appointee being elected to the UNITED STATES SENATE.

161B-HQ-1080007

The appointee only associates both personally and professionally with reputable people in the community. [redacted] mentioned [redacted] from Illinois and [redacted] with the BRYAN CAVE law firm as individuals well respected within the community whom were associates of the appointee.

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[redacted] is not aware of organizational affiliations of the appointee, but presumed such affiliations would be political in nature. He was not aware of his financial status. [redacted] knows the appointee is not biased or prejudiced toward any group and as a result would not belong to any organization which might discriminate in any way.

The appointee has never abused alcohol or used illegal drugs of any kind including marijuana. The appointee has been 'lucky' in that he has always enjoyed good health and fitness. [redacted] is not aware of any activity or conduct in appointee's background which could be used in any way to subject appointee to influence, pressure, coercion or compromise which would impact negatively on appointee's character, reputation, judgment or discretion.

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[redacted] stated in conclusion that he did not know of anything which would reflect unfavorably on the appointee. As a result, [redacted] certainly recommended the appointee to the position being considered. [redacted] commented, 'You couldn't name a better guy.'

161B-HQ-1080007

VI. ASSOCIATES

161B-HQ-1080007

DWV:bjd

St. Louis Division]
at St. Louis, Missouri

ASSOCIATES

On October 5, 1994, the following investigation was conducted by Special Agent (SA) [redacted]

[redacted] date of birth [redacted] residence [redacted] telephone number [redacted] was contacted and advised that the interviewing Agent was conducting a background investigation concerning ALAN JOHN DIXON. In response to questions posed by the interviewing Agent, [redacted] provided the following information:

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[redacted] advised that he maintains a business office at 800 St. Louis Union Station, St. Louis, Missouri, telephone number (314) 621-3219. [redacted] has known the appointee since childhood and stated that the appointee was friends with his parents and with his in-laws, noting that the appointee was approximately 20 years senior to [redacted]. [redacted] advised that he has had contact with the appointee within the last week. [redacted] associates with the appointee on a frequent and casual basis. [redacted] and the appointee are both members of the ST. CLAIR COUNTY COUNTRY CLUB and he would normally see the appointee there on weekends. The appointee has always demonstrated an appropriate standard of dress and grooming consistent with the occasion.

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[redacted] stated that the appointee has always demonstrated a level of honesty and trustworthiness that was above reproach. [redacted] considered the appointee to be reliable, possessing good common sense, judgment, and discretion, and he has never known the appointee to be moody or temperamental. [redacted] characterized the appointee as a "super gentleman".

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[redacted] advised that he had no reason whatsoever to doubt the loyalty of the appointee to the United States of America or his loyalty in general. [redacted] advised that he considered the appointee one of the most loyal Americans that he knows. The appointee enjoys a "flawless" reputation in the community. [redacted] advised that he has had an occasion to hire the candidate's law firm to do some legal research concerning some restricted stock which [redacted] owns. Within the context of this occasion, [redacted] became acquainted with some of the candidate's co-workers. [redacted] advised that these co-workers had nothing but the highest respect for the candidate. [redacted] further advised that he was impressed with the candidate's responsiveness, attention to detail, and understanding of complex

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161B-HQ-1080007

issues as was demonstrated by the appointee in conducting the legal research concerning [] ownership of this restricted stock.

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[] has never known the appointee to associate with anyone which he considered questionable or either a personal or professional level. [] advised that the appointee did not belong to any organizations that he [] was aware of that had any sort of restrictive membership policy. [] advised that the ST. CLAIR COUNTY COUNTRY CLUB does have female members as well as members of different races.

[] has never known the appointee to abuse alcohol, prescription drugs, or to use any illegal drugs, including marijuana. [] has never observed any signs of financial distress with respect to the appointee on his ability to meet his financial obligations. [] stated that he felt that the appointee maintained a conservative lifestyle relative to his position in society.

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[] stated that the appointee has never demonstrated any bias or prejudice towards any group or individual on the basis of race, sex, color, religion, national origin, age, or handicap.

[] advised that he is unaware of any activity or conduct in the candidate's background which could be used in anyway to subject the appointee to influence, pressure, coercion, or compromise or in anyway impact negatively on the candidate's character, reputation, judgment, or discretion.

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In summary [] advised that he would recommend the appointee for a position of trust and confidence with the United States government.

SL 161B-HQ-1080007
MJH:mjh

St. Louis Division
at St. Louis, Missouri

Associate

MC DONNELL DOUGLAS CORPORATION
MC DONNELL DOUGLAS AIRCRAFT COMPANY
James S. Mc Donnell Boulevard
St. Louis, Missouri
1982 to Present

Special Agent [] conducted the following investigation on Wednesday, October 5, 1994.

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[] (Social Security Account Number: []
[] Date of Birth: [] Residence: []
[] Business Address: MC
DONNELL DOUGLAS CORPORATION (MDC), Mailcode 1001460, Post Office
Box 516, St. Louis, Missouri 63166-0516; Business telephone:
[314] 232-9829), was advised of the identity of the interviewing
Agent and that he was being contacted in connection with the
background investigation of ALAN JOHN DIXON. [] provided the
following information:

[] first verified that he was currently []
[] of MDC STRATEGIC BUSINESS AND INTERNATIONAL
DEVELOPMENT. In that capacity, [] explained, he has daily
contact with government officials relative to MDC's development
in the aerospace industry. It was through his employment with
MDC that [] met and developed both a professional and social
relationship with DIXON. That relationship has extended for
approximately twelve years; however, the interviewee knew of the
appointee longer in hearing his father and relatives speak of the
Belleville native. The most recent contact between [] and
DIXON occurred approximately three weeks prior to the instant
investigation, when [] DIXON and their spouses went to dinner.
According to [] he and DIXON made a point of avoiding business
or career related discussions during such gatherings. []
stated that he learned of DIXON's possible appointment to oversee
military base closures in reading the local newspapers.

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The first meeting between [] and DIXON occurred when
DIXON headed the United States Senate Armed Services Committee.
[] was tasked with providing DIXON an overview of MDC's
military programs. [] interjected that he considered himself a
good judge of character. Having met, in his professional
maneuvers, a wide variety of political and corporate
personalities including JOHN and JACKIE KENNEDY, [] J.
EDGAR HOOVER, etcetera, [] prided himself on making accurate

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assessments of peoples' characters. Given that, [] believed DIXON to be, upon first impression, cordial, friendly, but business minded.

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In their first and subsequent meetings, [] never found DIXON to be associated with, influenced by, or suggestive of any type of impropriety. [] went on to state that he found the appointee to be completely trustworthy. "ALAN was a stickler for making sure there were no improprieties." [] then offered one of what he said were numerous examples of DIXON's honesty and integrity. Following DIXON's failure to be re-elected in 1993, the appointee went to work for MDC's outside counsel BRYAN CAVE. Given his background and Capital Hill associations, DIXON was consulted and his advice sought on military industrial matters as impacted by congressional action. On one occasion, [] asked DIXON if he would contact another senator or representative, [] claimed he could not recall the name of the individual.), for information which would have affected MDC business (also not recollected). According to [] the appointee, in no uncertain terms, advised him that he was not permitted to make such a contact for a certain period after leaving his Senate position. In this and other matters, DIXON was "respectable and fastidious" in his professional conduct.

In discussing DIXON's employment with BRYAN CAVE and counsel for MDC, [] explained that their use of DIXON was as more a consultant and advisor. The interviewee knew of no instances in which DIXON was called to represent the corporation in a civil or criminal adversarial situation. It was reiterated how the appointee's demeanor was completely professional. DIXON paid particular attention to his appearance. In addition to being impeccably dressed, the appointee was physically fit. "ALAN has perfect fitness. He works out. He's full of energy."

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The appointee's reliability, in [] opinion, was demonstrated by his keeping of appointments. DIXON was also open with [] "He would tell us up front if he could not support us in an issue." For [] DIXON maintained one of the highest value systems of anyone he ever met. The appointee's temperament was characterized as gracious and never argumentative. "ALAN is in total control," said [] On that topic, there were no situations recollected by the interviewee in which DIXON appeared stressed or temperamental. Of all those professional attributes maintained by the appointee, [] believed his fairness best suited him for whatever Presidential appointment he might receive, and especially if it involved the base closures. In being fair, DIXON always heard out arguments both for or against a particular issue. His decisions were then decisive. The appointee's loyalty to the UNITED STATES OF AMERICA, and MDC were interpreted as unquestioned. The interviewee knew of no suspicious or questionable associates of DIXON's on either a

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personal or professional level. DIXON's work practices and ethics were considered "meticulous." He provided very sound legal advice while at BRYAN CAVE. DIXON also assured that the advice was accurate and involved the proper authority or approval.

Socially, [] and DIXON enjoyed dinners and casual meetings. The appointee was observed to be very attached to his family. [] noticed that DIXON and his wife enjoyed activities together. DIXON seemed very proud of his children and grandchildren. Much of the time spent in their social contacts involved "swapping stories about the kids." The interviewee recalled in his last meeting with DIXON how the appointee cut the evening short because he realized he had to attend his grandson's baseball game. [] was not a drinker and therefore noticed such habits among individuals he met. In DIXON's case, the appointee was observed having one or two drinks during a typical gathering. The interviewee never observed any activities which might suggest the appointee abused alcohol. Similarly, [] saw no indication that the appointee abused or used prescription medications or illegal drugs. DIXON was not believed to be prejudiced or biased toward individuals or groups on the bases of ethnicity, religious background, age, gender, social status, or physical handicap. [] considered the opposite to be true. DIXON was "color blind" and his staff seemed well balanced. Also, DIXON was very concerned about individuals who had physical disabilities. As examples, [] mentioned a handicapped individual who was a mutual friend of the interviewee and appointee and of whom DIXON often asked.

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Among his peers, the appointee was observed to be very popular while he was in the Senate. That reputation apparently continued after leaving Congress. The interviewee was most familiar with the appointee on a professional level, and was not familiar with private or social organizations and clubs to which DIXON belonged. [] knew DIXON to be an avid golfer but, again, did not know what clubs at which he was a member. DIXON appeared, to the interviewee, to be financially secure. When asked if DIXON was in any way associated with MDC's board of directors, [] said he was not. In summarizing the appointee's suitability for a Presidential appointment, [] could think of no conduct or associations in DIXON's background which might be used in any way to embarrass, influence, pressure, coerce, compromise, or in any way negatively impact upon the appointee's character, reputation, judgement or discretion. At the conclusion of the interview, [] offered his, "highest recommendation" for whatever position the appointee might be appointed.

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161B-HQ-1080007
MMR:bjb

St. Louis Division
at St. Louis, Missouri

BUSINESS ASSOCIATE

The following investigation was conducted by Special Agent (SA) [REDACTED] FEDERAL BUREAU OF INVESTIGATION (FBI), St. Louis, Missouri on Wednesday, October 5, 1994:

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[REDACTED] telephonically contacted the interviewing SA and advised she had received the SA's message given to her secretary earlier in the morning. [REDACTED] advised she was calling from Rome, Italy where she is on business. [REDACTED] was introduced to the SA over the phone and also was told of the FBI's background investigation of former United States Senator ALAN JOHN DIXON because he has received a presidential appointment. [REDACTED] advised she had some time and agreed to be interviewed over the phone.

[REDACTED] stated that she is the [REDACTED] [REDACTED] for TRANSWORLD AIRLINES (TWA), 505 North 6th Street, St. Louis, Missouri 63101, work telephone number (314) 589-3225. She currently resides at [REDACTED]

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[REDACTED] advised that she first met the appointee approximately seven months ago when she called the law firm of BRYAN CAVE in order to speak with members of the firm about having the firm represent TWA's interest in St. Louis as it was necessary for TWA to find a local law firm in view of the move of their corporate headquarters from New York City, New York to St. Louis, Missouri.

[REDACTED] advised that she has met with the appointee five to seven times and has had two or three social contacts with him that she advised were "driven by business reasons." [REDACTED] advised she has been to lunch with the appointee and he also invited her to a baseball game.

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[REDACTED] advised that she has spoken with the appointee numerous times over the phone and stated that for a while she might speak with him everyday and after a couple of weeks or so might not have any contact for a month.

161B-HQ-1080007

[] advised that whenever she has seen the appointee he has been well dressed and that he creates a very professional appearance. [] said she absolutely believes that the appointee is honest, trustworthy and reliable. She commented that "as far as lawyers go" the appointee's reliability is much better than most. [] said that the appointee has shown excellent common sense and judgment in her presence and also in representing his firm's business dealings with TWA.

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[] advised that the appointee is extremely personable and conducts himself in such a manner as it is almost impossible not to like him. She described the appointee as the consummate politician.

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[] stated that some of her own professional associates have met the appointee and have been very impressed with him. [] said that members of the appointee's own firm seem to be much more in awe of him and "speak of him in hushed tones." [] said that the appointee is thought of almost as a guru by the other employees of the firm and she attributed this to the fact that the appointee is a former United States Senator.

[] advised she could not really form an opinion of the appointee's legal ability as she has never associated with him in that respect. She stated that the appointee does not do any legal work for TWA. It is the appointee's job to act as a manager and try to find the correct legal talent within his firm to handle the appropriate legal problem.

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[] advised she has met several of the appointee's business associates and they all seem to be respectable individuals. [] made the comment that when they went to the baseball game that the appointee spent a lot of time "backslapping" and it seemed to her that he knew almost everyone at the stadium. [] said that when someone is a politician and knows so many individuals one can never be sure of the characters of all of these persons.

[] advised she has no knowledge of any organizational affiliations by the appointee nor does she have any information regarding his financial stability. [] likewise said she has no information or suspicion to think that the appointee has ever used any kind of illegal drugs to include marijuana, nor does she know if he has ever abused prescription medications. [] said that she believes that the appointee does drink socially and said that when they went to the baseball game that the appointee had a couple of beers during the evening.

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[] advised that the appointee has never given her any evidence to make her think he is biased or prejudiced towards others because of their race, sex, age, religion or national origin. [] said that even if the appointee did harbor such thoughts, which she doubts, he would never publicly or even privately express them as if it became public it might ruin his career.

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[] stated she has only known the appointee for a very short period of time and probably many other people know him much better. Nevertheless, she has never heard of any past or current behavior by the appointee that if known by others would reflect negatively on his character or might make him susceptible to undue influence or pressure.

[] said she could not imagine anyone thinking that the appointee is anyone other than a very loyal and patriotic individual who strongly supports the United States military as well as the institutions of our government. [] said she would absolutely recommend the appointee as a person who could be appointed to a high government position of trust and confidence.

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161B-HQ-1080007

VII. BOARD OF DIRECTORS MEMBERSHIP

161B-HQ-108007

X TJO:lmf

St. Louis Division
at National Stockyards, Illinois

NATIONAL STOCKYARDS COMPANY
Board of Directors

On October 5, 1994, Special Agent (SA) [redacted] conducted the following investigation pursuant to the background investigation of appointee, ALAN JOHN DIXON:

[redacted] date of birth [redacted] who resides at [redacted] telephone number [redacted] was contacted and advised as to the official identity of the interviewing Agent and the purpose of the interview. [redacted] of NATIONAL STOCKYARDS COMPANY, Exchange Building, Room 301, National Stockyards, Illinois, 62071, telephone number (618) 274-6409, thereafter furnished the following information:

The appointee has been a member of the Board of Directors of NATIONAL STOCKYARDS COMPANY, the holding company of ST. LOUIS NATIONAL STOCKYARDS and OKLAHOMA CITY NATIONAL STOCKYARDS, since January 1, 1994. As a member of the board, the appointee attends four Directors' meetings each year. Two meetings are held in St. Louis and two are held in Oklahoma City.

In addition to being a Director, the appointee also sits on the company's Real Estate Committee, which directs any development of the company's property.

Directors are paid \$600.00 for each board meeting they attend. The appointee would also receive \$250.00 for each Real Estate Committee meeting he attends.

The appointee, as a member of the Board, oversees the general operation of the company, however, is not involved in the daily operation of the company. Basically, the Directors afford their opinions on matters relating to the company, however, the company is run by its officers.

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SL 161B-HQ-108007

[] is familiar with the appointee as a Director, knowing him only casually. [] is also familiar with the appointee's reputation as a United States Representative from Southern Illinois. Based upon her limited knowledge of the appointee, [] advised that he is well groomed and dressed in a conservative-professional manner when attending board meetings. The only exposure [] has to the appointee is during his attendance at board meetings, and therefore, she is not personally familiar with his character, loyalty, reputation or abilities. [] has heard other board members talk about the appointee and it has always been very favorable. [] has never heard anything derogatory about the appointee and is not aware of anything in his background that would impact negatively upon his ability to perform in the position in which he is being considered.

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[] was not aware of any activity or conduct in appointee's background which could be used in any way to subject the appointee to any influence, pressure, coercion or compromise which would impact negatively on appointee's character, reputation, judgment or discretion.

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[] was not familiar with the appointee's associates, organizational affiliations or financial responsibilities. [] is not aware of the appointee ever excessively using or abusing alcohol and does not know him to use illegal drugs, to include marijuana.

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[] has never witnessed or heard the appointee to express or display any bias or prejudice towards any group or individual.

[] advised that her knowledge of the appointee is very limited, but based upon that limited knowledge, she would recommend the appointee for a position of trust and confidence with the United States Government.

161B-HQ-1080007

VIII. AGENCY CHECKS

161B-HQ-1080007
VXJ:lmf

St. Louis Division
at St. Louis, Missouri

CRIMINAL CHECKS

The following was conducted by Radio Operator [REDACTED]
[REDACTED] on October 5, 1994:

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A computer check of the REGIONAL JUSTICE INFORMATION SERVICE, MISSOURI UNIFORM LAW ENFORCEMENT SYSTEM, and the NATIONAL CRIME INFORMATION CENTER, failed to locate a record or wanted notice for ALAN JOHN DIXON, date of birth July 7, 1994, and Social Security Account Number 361-18-3388.

161B-HQ-1080007
VXJ:lmf

St. Louis Division
at St. Louis, Missouri

U.S. ATTORNEY'S OFFICE CHECK

Inquiry at the OFFICES OF THE UNITED STATES ATTORNEYS,
Eastern District of Missouri, 1114 Market Street, St. Louis,
Missouri, failed to locate a record for ALAN JOHN DIXON, date of
birth July 7, 1927, in the pending/closed civil/criminal files.

FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of:

SA [REDACTED]

Office: Springfield

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Date:

October 6, 1994

Field Office File #:

SI 161B-HQ-1080007

Bureau File #:

161B-HQ-1080007

Title:

ALAN JOHN DIXON

Character:

BACKGROUND INVESTIGATION - SPECIAL INQUIRY

Synopsis:

Birth verified. Employment verified and favorable. Individuals knowledgeable of appointee recommended him for a position of trust and confidence with the U.S. GOVERNMENT. References recommend. Personal acquaintance recommends. Residence verified and neighbors commented favorably and recommended. Law enforcement officials commented favorably and recommended. U.S. Magistrate recommended. Social organization appointee affiliated with does not discriminate. Federal Judge spoke favorably and recommended. Minority community leader and personal physician recommended. Law enforcement checks negative. U.S. ATTORNEY'S OFFICE records negative. Appointee is a member of the ILLINOIS BAR in good standing. STATE BOARD OF ELECTIONS records negative. Records negative at ATTORNEY GENERAL'S OFFICE.

-RUC-

DETAILS:

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BIRTH

161B-HQ-1080007

JEM/jem

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BIRTH

The following investigation was conducted on October 5, 1994 by Investigative Assistant [REDACTED] at Springfield, Illinois.

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[REDACTED] Bureau of Vital Records, ILLINOIS DEPARTMENT of PUBLIC HEALTH, advised birth certificate number 2820222 filed July 12, 1927, reveals ALAN JOHN DIXON was born July 7, 1927, at Belleville, Illinois.

EMPLOYMENT

SI 161B-HQ-1080007

FDW/kss

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EMPLOYMENT:

The following investigation was conducted by Special Agent [redacted] on October 5, 1994, at Springfield, Illinois:

ILLINOIS SECRETARY OF STATE

[redacted] Office of the (Illinois) Comptroller, Illinois State Capitol Building, searched the archival payroll records of the Comptroller's Office and confirmed appointee's position as Illinois Secretary of State from January, 1977 through January, 1981, at which time appointee began duties as the United States Senator from Illinois.

[redacted] also confirmed appointee's position as Illinois State Treasurer from January, 1971 through January, 1977.

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SI 161B-HQ-1080007

FDW/kan

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EMPLOYMENT

The following investigation was conducted on October 5, 1994, by Special Agent [REDACTED]

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ILLINOIS SECRETARY OF STATE
U.S. Senate

[REDACTED] having offices at 413 West Edwards Street, Springfield, Illinois, telephone (217) 522-6121, was contacted regarding his knowledge of appointee. [REDACTED] advised that he has known appointee very well since graduating from college in 1972. From this time until 1988 [REDACTED] served as [REDACTED]. [REDACTED] verified appointee's employment as Illinois State Treasurer, ILLINOIS SECRETARY OF STATE, and U.S. Senator from Illinois, and provided no negative information whatever. During appointee's tenure as U.S. Senator, [REDACTED] served as appointee [REDACTED] at appointee's Springfield, Illinois, offices.

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[REDACTED] praises appointee's character as outstanding in all regards and characterizes appointee's associations as utterly beyond question. Appointee's reputation is described as being without blemish. Appointee is held to be a supremely loyal American who is dedicated to Democratic principles. [REDACTED] observed that appointee has a near genius IQ and is extremely hardworking and conscientious, routinely maintaining a very long work day. Appointee has never been observed to exhibit any inappropriate bias or prejudice against any person or groups. In describing appointee's financial responsibilities, [REDACTED] referred to appointee as parsimonious. [REDACTED] is certain that appointee does not use any illegal drugs and believes that appointee does not abuse prescription drugs or alcohol. Appointee is described as a moderate social drinker.

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[REDACTED] is not aware of any activity or conduct in appointee's background which could be used in any way to subject appointee to influence, pressure, coercion, or compromise and/or which would impact negatively on appointee's character, reputation, judgement, or discretion.

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Appointee is recommended most highly for any sensitive position of trust with the U.S. GOVERNMENT.

EMPLOYMENT

ILLINOIS SECRETARY OF STATE;
UNITED STATES SENATOR

The following investigation was conducted by Special Agent [redacted] on October 5, 1994.

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Illinois State Senator [redacted] ILLINOIS SENATE, was telephonically contacted at his office [redacted] regarding his knowledge of appointee. Senator [redacted] provided no negative information whatever.

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[redacted] has been acquainted with appointee all of his [redacted] adult political life. It is noted that appointee served in the Illinois Legislature with Senator [redacted]

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Appointee's character is described as very strong. Appointee has a very sound personality and is of the highest personal and professional integrity. Senator [redacted] did not feel qualified to comment in detail regarding appointee's personal life, except to note that appointee was not the subject of any negative information or rumors. [redacted] noted politically and professionally, appointee's associations and reputation were of the very highest regard. Appointee is considered a very loyal American and is regarded as a consensus builder with extremely great personal and professional abilities. Appointee has never exhibited any bias or prejudice against any persons or groups. Appointee is considered to be financially responsible and one who lives well within his means.

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Appointee is observed to be a moderate social drinker who is not believed to be abusive of alcohol or prescription medication and who is not known or suspected to have ever made any use, whatever, of illegal drugs.

Senator [redacted] is unaware of any activity or conduct in appointee's background which could be used in anyway to subject appointee to influence, coercion, or compromise, and or which might impact negatively upon appointee's character, reputation, judgement, or discretion.

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FBW:

2

Appointee receives Senator [] highest recommendation. Senator [] stated his belief that the United States Administration should consider itself lucky if appointee accepts a proffered position stating that appointee is extremely suitable for any sensitive position of authority with the UNITED STATES GOVERNMENT.

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b7C

Senator [] made no request for confidentiality regarding the comments made.

b6
b7C

EMPLOYMENT:

The following investigation was conducted by Special Agent [] on October 5, 1994:

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ILLINOIS SECRETARY OF STATE
United States Senator
Personal Knowledge

[]
[] doing business [] 340 North 11th Street, P.O. Box 187, Breeze, Illinois 62230, was telephonically contacted at his office regarding his knowledge of appointee. [] provided no negative information regarding appointee.

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b7C

[] has known appointee all of his political life, spanning approximately 40 years. He and appointee served together in the Illinois House of Representatives and Senate. [] confirmed appointee's past positions as Illinois State Treasurer, Illinois Secretary of State, and the United States Senator from Illinois. [] considers appointee a close personal friend, as well as a political colleague.

b6
b7C

Appointee's character, associates, and personal and professional reputation are praised in the highest terms. Appointee is an individual of high integrity, who is well versed in all aspects of government. [] noted that appointee tended not to join social or service organizations, perhaps in an effort to avoid influences. Appointee is a very loyal American, who is utterly devoted to democratic principles. Appointee is a highly capable individual, who is regarded as exceedingly intelligent.

b6
b7C

Appointee has never exhibited any biases or prejudices against any persons or groups. Appointee is regarded as a fiscal conservative. Appointee has always lived well within his means.

Appointee is not known or suspected to be abusive of alcohol or prescription medication. [] is certain that appointee has never made any use of illegal drugs. [] noted that appointee does not even use tobacco.

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b7C

[] is unaware of any activity or conduct in appointee's background which could be used in any way to subject appointee to influence, pressure, coercion or compromise and/or which would impact negatively upon appointee's character, reputation, judgement, or discretion.

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2

[] grants appointee his highest recommendation
for any position of trust and confidence with the United States
Government.

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b7C

[] made no request for confidentiality regarding
any comments made.

EMPLOYMENT:

The following investigation was conducted by Special Agent [redacted] on October 5, 1994:

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ILLINOIS SECRETARY OF STATE
United States Senate
Political Colleague

[redacted] was interviewed telephonically regarding his knowledge of appointee. [redacted] provided no negative information pertaining to appointee.

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b7C

[redacted] has been familiar with appointee for approximately 40 years and has known appointee well since approximately 1963. [redacted] was [redacted] during appointee's tenure as Illinois Secretary of State and, from personal knowledge, confirms appointee's employment as Illinois State Treasurer, Illinois Secretary of State, and as the United States Senator from Illinois.

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b7C

[redacted] apprised appointee's character in superlative terms, describing appointee as having very high personal and professional integrity, who has never been known to associate personally and professionally with any adverse or negative elements. Appointee's personal and professional reputation is highly regarded by all who know appointee. Appointee is considered to be an outstanding public servant, whose loyalty to the United States and to democratic principles is beyond reproach. Appointee's ability are praised in all respects. Appointee is considered a very intelligent individual and a great legislator. [redacted] is aware of no hint of scandal attributable to appointee.

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b7C

[redacted] noted that appointee has never exhibited any indication of bias or prejudice against any person or groups. Appointee is regarded as financially prudent and as one who has always lived well within his means.

b6
b7C

To [redacted] knowledge, appointee is not abusive of alcohol or prescription medication. There has never been any indication or rumor that appointee has made any use of illegal drugs.

b6
b7C

[redacted] is not aware of any activity or conduct in appointee's background which could be used in any way to subject appointee to influence, pressure, coercion or compromise and/or which would impact negatively upon appointee's character, reputation, judgement, or discretion.

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b7C

Appointee is most highly recommended for any sensitive position of influence with the United States Government.

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b7C

[redacted] believes that America would be well served by appointee's selection and confirmation.

[redacted] made no request for confidentiality regarding any comments made.

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b7C

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FDW/kan

1

EMPLOYMENT/POLITICAL ASSOCIATE

The following investigation was conducted on October 4, 1994, by Special Agent [REDACTED]

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ILLINOIS SECRETARY OF STATE
U.S. Senate

[REDACTED]
[REDACTED] was interviewed at his Springfield office regarding his knowledge of appointee. [REDACTED] has been a member of the Illinois Legislature for 24 years and has known appointee personally and professionally for a period of 35 to 40 years. [REDACTED] provided no negative information, whatever, pertaining to appointee.

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b7C

Appointee is praised as having outstanding character and as one whose personal and professional reputation as an outstanding public servant is held in high regard by the public and legislative colleagues of all parties. No personal or professional associations maintained by appointee have ever been the source of any negative rumors or information. Appointee is considered a very loyal American who is personally dedicated to Democratic principles. [REDACTED] noted that appointee was often observed to "vote for the people; not for party". [REDACTED] highly praises appointee's personal and professional abilities, describing appointee as an outstanding public servant who is very highly regarded and who is universally held to be a very intelligent and dedicated individual.

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Appointee has never been observed to exhibit any biases or prejudices against any persons or groups. [REDACTED] does not regard candidate as wealthy, but relatively well off. He describes appointee's income as honorable and noted that appointee has always lived well within appointee's means.

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b7C

Appointee is observed to be a moderate social drinker who is not known or suspected to be abusive of alcohol or prescription medication and who has never been known or suspected to have made use of any illegal drugs at any time.

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2

[] is not aware of any activity or conduct in appointee's background which could be used in any way to subject appointee to influence, coercion, or compromise, and/or which would impact negatively upon appointee's character, reputation, judgement, or discretion.

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b7C

[] extends appointee his highest recommendation for any position of trust and confidence with the U.S. GOVERNMENT. It is [] opinion that the President of the United States could make no finer choice than appointee.

[] made no request for confidentiality regarding any comments made.

EMPLOYMENT

(Illinois Secretary of State);

(U. S. Senator)/Personal Acquaintance

The following investigation was conducted by Special Agent (SA) [redacted] on October 5, 1994, at Springfield, Illinois:

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[redacted] STATE CAPITAL INFORMATION SERVICE, INCORPORATED (SCISI), 300 West Monroe Street, Suite 206, Springfield, Illinois 62701, telephone 217/523-6422, was interviewed regarding her knowledge of appointee. [redacted] provided no negative information whatsoever.

[redacted] stated she has known appointee well for a period of in excess of 20 years, both [redacted] and a personal friend. [redacted] first began working for appointee as [redacted] when appointee was Illinois State Treasurer; she worked for appointee continuously until 1981 serving as [redacted] until is election to the U.S. Senate. [redacted] stated [redacted]

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b7C

[redacted] has served as appointee's [redacted] for approximately 12 years.

[redacted] praises, in superlative terms, all aspects of appointee's character, associations, loyalty, and personal and professional ability. According to [redacted] appointee is a very genuine individual who is extremely intelligent and energetic. Appointee is compassionate and exhibits a great concern for the disadvantaged. Appointee has never exhibited any biases or prejudices against any persons or groups. With regard to personal financial responsibility [redacted] described appointee as parsimonious. A social drinker according to [redacted] appointee is not known or suspected to abuse alcohol or prescription medication. [redacted] is certain appointee has never made any use whatsoever of illegal drugs.

b6
b7C

[redacted] is unaware of any activity or conduct in appointee's background which could be used in anyway to subject appointee to influence, pressure, coercion or compromise and/or which would impact negatively on appointee's character, reputation, judgment or discretion.

b6
b7C

[redacted] extends appointee her highest recommendation for any position of trust and confidence with the U.S. Government.

[redacted] did not request confidentiality regarding any remarks made.

BUSINESS PARTNERS

The following investigation was conducted October 5, 1994 by Special Agent (SA) [redacted]

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[redacted] white male [redacted]
[redacted] 6400 West Main Street in Belleville, Illinois.
[redacted] advised he is familiar with the appointee, and advised he has known the appointee professionally and socially for forty years, and [redacted] advised he talks to the appointee probably two or three days per week. [redacted] advised appointee is the Chairman of the MARK TWAIN ILLINOIS BANK, Advisory Board.
[redacted] advised the appointee has no responsibility for operations, but the appointee and Advisory Board is responsible for setting bank policies. Appointee has been a member of the board since June 1993, and [redacted] advised the appointee is paid \$1,000 for each Advisory Board meeting he attends. [redacted] advised meetings usually take place on a monthly basis. [redacted] advised the appointee is a member of one other banking board, that being MID-CITY BANK in Chicago, Illinois. [redacted] described he appointee as an honest and trustworthy individual with the highest degree of personal integrity. [redacted] advised that both the appointee and his wife enjoy an excellent professional and social reputation in the community. [redacted] knows several of the appointees social acquaintances, and advised they are all reputable and responsible individuals. [redacted] is not aware of the appointee to be involved in any businesses which are less than reputable for someone of his stature in the community.
[redacted] has never had any indication the appointee has ever used any illegal drugs, abused any prescription drugs, or abused alcohol. [redacted] believes the appointee lives within his financial means, and [redacted] advised the bank did a background investigation on the appointee before he became a member of the Advisory Board, and there were no issues of concern. [redacted] advised the appointee does not possess any biases because of race, religion, or sex, and [redacted] advised the appointee is always attempting to help shape bank policy towards assisting low to moderate income areas with the bank's investments and loans.
[redacted] does not question the appointee's loyalty to the U.S. Government, and [redacted] is aware of nothing derogatory or anything that could be used to coerce appointee. [redacted] is aware of no health problems plaguing the appointee, and [redacted] feels that appointee is one of the most qualified persons for the position for which he is being considered. [redacted] recommends the appointee for a position of trust with the U.S. Government.

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b7C

EMPLOYMENT:

The following investigation was conducted by Special Agent [redacted] at Carbondale, Illinois, on October 5, 1994:

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[redacted] white male, date of birth [redacted] [redacted] telephone [redacted] advised he has known the appointee since approximately 1989 when he was hired as [redacted] served as [redacted]

[redacted] in Mt. Vernon, Illinois, until 1992, when the appointee lost his bid for re-election. [redacted] has maintained contact with the appointee through periodic telephone conversations since 1992.

[redacted] described the appointee as "the most outstanding person I've ever met." The appointee's character is that of an honest and kind person. [redacted] also stated that the candidate is a very intelligent person who is outgoing in his relationship with other people. [redacted] always observed the appointee to have a good sense of humor. [redacted] believes the appointee holds high moral standards and is responsible in his commitments. The appointee has never displayed any prejudices or biases toward any particular race or gender. [redacted] considers the appointee to have a well respected reputation, both personally and professionally.

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b7C

[redacted] knows some of the appointee's associates and considers them to be of good character. The appointee is considered to be a loyal United States citizen who could be trusted with classified or sensitive information. [redacted] has never observed the appointee to ever abuse alcohol or use illegal drugs. Appointee is also not known to abuse prescription drugs. [redacted] has no knowledge of the appointee living above his financial means. [redacted] considers the appointee to be in good physical and mental health. [redacted] knows of no complaints ever being made against the appointee by his senate staff for any form of harassment.

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b7C

[redacted] was not aware of any activity or conduct in the appointee's background that could be used to influence, pressure, coerce, or compromise him in any way, or that could have an adverse impact on his character, judgement, stability, discretion, trustworthiness, or responsibility. [redacted] recommended the appointee for a position of trust and confidence within the United States Government.

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FDW/kss

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EMPLOYMENT:

The following investigation was conducted by Special Agent [REDACTED] on October 4, 1994, at Springfield, Illinois:

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ILLINOIS SECRETARY OF STATE
United States Senator

Appointee's positions as Illinois Secretary of State from January, 1977 through January, 1981 and United States Senator from January, 1981 through January, 1993, were verified through entries in corresponding volumes of the "Illinois Blue Book," the State's official manual.

INDIVIDUALS KNOWLEDGEABLE OF APPOINTEE

The following investigation was conducted by Special Agent [redacted] on October 5, 1994.

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b7C

[redacted]
[redacted]
telephone number [redacted] advised that she has known the appointee since 1966 when they were neighbors. [redacted] related that she worked for the appointee from 1987 to 1992, as [redacted]
[redacted] stated that the appointee was easy to work for, describing him as honest, outgoing and pleasant, well liked by all. [redacted] advised that the appointee is an effective leader who is prepared when addressing complex issues. The appointee remains cool when under pressure. [redacted] was not aware of any factor that would expose the appointee to undue professional influence. The appointee appears to be physically healthy and mentally stable. [redacted] related that the appointee does not abuse alcohol. The appointee does not abuse prescription drugs or use illegal drugs. [redacted] stated that she has not observed the appointee exhibit signs of racism or bias. The appointee appears to live within his financial means. [redacted] stated that the appointee is loyal to the United States Government and is suitable for access to classified or sensitive information. [redacted] recommended the appointee for a position of trust and confidence with the United States Government.

INDIVIDUALS KNOWLEDGEABLE OF APPOINTEE

The following investigation was conducted October 5, 1994 by SA [redacted]

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b7C

[redacted] date of birth [redacted] was telephonically contacted at [redacted] home telephone number [redacted] from 1976 until 1991 [redacted] has known the appointee for about thirty years, and [redacted] advised that [redacted]

[redacted] area and they are close friends. [redacted] himself, is a former Belleville resident, and [redacted] advised that [redacted]

[redacted] for U.S. Senator from the State of Illinois. [redacted] advised that although he lost the election, he has never thought negatively about the appointee, and in fact said he knew of absolutely nothing derogatory regarding the appointee. [redacted] mentioned if there was anything derogatory about the appointee's conduct, associations, or otherwise, he certainly would have mentioned it during the election campaign. [redacted] advised that appointee's character is above reproach, and [redacted] explained that appointee has always been well respected in the community as well as within political circles. [redacted] advised that to his knowledge all of the appointee's associates are reputable individuals, and [redacted] advised he has never questioned the appointee's loyalty to the U.S. Government. [redacted] has no knowledge of the appointee ever having used any illegal drugs, having abused any prescription drugs, or having abused alcohol. [redacted] advised that appointee has always seemed to live within his financial means, and [redacted] has never had any indication that appointee has any biases or prejudices because of race, religion, or sex. [redacted] stated he is aware of no negative information, or anything the appointee may be hiding that could be used to coerce him. [redacted] recommends the appointee for any position of trust with the U.S. Government.

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INDIVIDUALS KNOWLEDGEABLE OF APPOINTEE

INDIVIDUAL KNOWLEDGEABLE OF APPOINTEE

The following investigation was conducted October 5, 1994 by SA PHILIP A. STALEY:

On 10/5/94, [REDACTED] 322 E. Main Street, Belleville, Illinois, telephone number 618-234-1046, said he has known the appointee since about 1968. His relationship has been mainly professional, with some social contact. He noted that the appointee's family is [REDACTED]
[REDACTED]

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[REDACTED] described the appointee as a very decent person of the highest character. All of the appointee's associates, of a personal, business or organizational nature, are all highly regarded. He stated the appointee's reputation was "A-Plus". He had no reason to question the appointee's loyalty to the U.S. government. He described the appointee as a hard worker, who will do whatever is needed to get the job done. He said he has never seen the appointee display any bias or prejudice toward anyone or any group of people. The appointee seems to live within his financial means. He has never seen the appointee abuse alcohol, use illegal drugs, or abuse prescription drugs.

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[REDACTED] said he knew of no derogatory information regarding the appointee and described him as a very dedicated individual and recommended him for a position of trust with the United States Government.

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b7C

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FDW/kan

1

PERSON WITH KNOWLEDGE OF APPOINTEE

The following investigation was conducted on October 5 and 6, 1994, by Special Agent [REDACTED]

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It was deemed appropriate to solicit the views of Illinois GOVERNOR JIM EDGAR regarding appointee's suitability, inasmuch as GOVERNOR EDGAR succeeded appointee as Illinois Secretary of State at the beginning of appointee's tenure as U.S. Senator from Illinois.

On October 5, 1994, [REDACTED] of the ILLINOIS GOVERNOR'S OFFICE, and the [REDACTED]

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[REDACTED] was contacted with a view to seeking an expedite appointment with the Governor. On October 6, 1994, [REDACTED] advised that the Governor's schedule was such as to preclude an opportunity for the Governor to schedule an additional interview on such short notice.

On behalf of the Governor, [REDACTED] pledged continued cooperation with future investigations.

INDIVIDUALS KNOWLEDGEABLE OF APPOINTEE

The following investigation was conducted October 5,
1994 by SA [REDACTED]

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b7C

[REDACTED]
[REDACTED] Belleville, Illinois [REDACTED] has known the
appointee professionally and socially for over thirty years.
[REDACTED] states the appointee has an outstanding reputation as a
national figure and within the local community. [REDACTED] states the
appointees' character is beyond reproach and that the appointee's
associates are of a similar nature. [REDACTED] feels the appointee is
a loyal citizen and is well qualified for the position in
question. To the best of [REDACTED] knowledge, the appointee has no
bias nor prejudices that would affect his decision making. [REDACTED]
states the appointee is financially responsible and lives well
within his means. [REDACTED] has no knowledge of any prescription
drug or alcohol abuse by the appointee. [REDACTED] stated appointee
does not use illegal drugs. [REDACTED] highly recommends the
appointee for the position in question.

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PAS/pas

INDIVIDUAL KNOWLEDGEABLE OF APPOINTEE

The following investigation was conducted October 5, 1994 by SA PHILIP A. STALEY:

On 10/5/94, [REDACTED] St. Clair County Jail, Belleville, Illinois, advised he has known the appointee for about 24 years, in both a professional and social setting.

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He described the appointee as having the highest character. He said he was fair-minded and eloquent. He stated the appointee was of excellent character and did not associate with anyone whose character was questionable. He described his reputation as beyond reproach. He said he had no reason to question the appointee's loyalty to the U.S. government. He said the appointee had the ability to do the job. He has never known the appointee to display any bias or prejudice towards anyone or any group of people. The appointee seems to live within his financial means. He has never known the appointee to abuse prescription drugs or alcohol or to use illegal drugs.

[REDACTED] knew of no derogatory information regarding the appointee and recommended him for this appointment.

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INDIVIDUALS KNOWLEDGEABLE OF APPOINTEE

The following investigation was conducted by Special Agent [redacted] on October 5, 1994.

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[redacted], Belleville, Illinois, telephone number [redacted] advised that he has known the appointee for approximately 23 years on a professional and social basis. Contact has been intermittent due to the appointee's travels. [redacted] related that he speaks with the appointee at area functions and at the ST. CLAIR COUNTRY CLUB when he is in town. Occasionally he would have contact with the appointee through [redacted] function as UNITED STATES ATTORNEY throughout the late eighties and early nineties. [redacted] advised that he had the highest regard for the appointee, adding that he is known for his honesty and compassion. [redacted] described the appointee as an effective and able administrator possessing the talent to strike fair compromises. The appointee handles pressure and stress more effectively than most people. [redacted] was not aware of any factor that would expose the appointee to undue professional influence. The appointee appears to be physically healthy and mentally stable. [redacted] related that the appointee does not abuse alcohol. The appointee does not abuse prescription drugs or use illegal drugs. [redacted] stated that he has not observed the appointee exhibit signs of racism or bias. [redacted] stated that the appointee appears to live within his financial means, adding that the appointee probably has money left from every position he has held. [redacted] stated that the appointee is loyal to the United States Government and is suitable for access to classified or sensitive information. [redacted] recommended the appointee for a position of trust and confidence with the United States Government.

b6
b7C

REFERENCES

REFERENCE

The following investigation was conducted by Special Agent DONALD H. TAYLOR on October 5, 1994.

[redacted] who is described as a white male, residing at [redacted] approximately [redacted] was interviewed concerning appointee. [redacted] for

approximately 37 years and currently [redacted]

[redacted] has been acquainted with appointee for approximately 45 years and has been associated with him both socially and as a business partner. Appointee and [redacted]

[redacted] for approximately four to five years and [redacted]

[redacted] while appointee was Illinois State Treasurer. Additionally, [redacted] had participated in appointee's political campaigns. [redacted] characterized his association with appointee as both social and business. [redacted] considered appointee to be a good friend. [redacted] stated in his opinion, appointee has an outstanding character and a person he considers truly trustworthy, honest, and loyal. [redacted] knows of no associates of appointee who could be considered disreputable or disloyal and is of the opinion the appointee enjoys a good reputation within the community and within the legal profession.

[redacted] considers the appointee to be extremely loyal and would have no reason to question his allegiance to the UNITED STATES GOVERNMENT. Appointee's ability is considered to be outstanding and [redacted] considers the appointee to have been very successful in any job he has undertaken. To the best of his knowledge, [redacted] believes the appointee has no bias or prejudice concerning any religious, racial, or ethnic group. To the best of his knowledge, appointee is considered to be financially responsible and lives well within his means. [redacted] advised he has never known appointee to use illegal drugs, nor abuse prescription drugs. [redacted] would describe appointee's use of alcohol as that of a social drinker and has never known appointee to abuse alcohol. [redacted] does not know of any derogatory information concerning the appointee and would recommend him, without reservation, for a position of responsibility and trust with the UNITED STATES GOVERNMENT.

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REFERENCES

The following investigation was conducted by Special Agent (SA) [redacted] on October 5, 1994, regarding the appointee ALAN JOHN DIXON:

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[redacted] born [redacted] residing at [redacted] telephone [redacted] advised that he has known the appointee for more than ten years. He advised that he first met the appointee when the appointee was a United States Senator from the State of Illinois. He stated that the appointee was also an attorney with the law firm of Brvan Cave, which is based in St. Louis. [redacted] 520 N. 30th Street, Quincy, Illinois, advised that the appointee was recently appointed to be one of the seven directors of the board for FGI Wireless, Ltd., and as such, DIXON has not yet attended a board meeting. [redacted] stated that his company has done military contract work in the past. [redacted] noted that because of the appointee's past experience on the Senate Armed Services Committee, FGI Wireless, Ltd, felt the appointee would be a valuable person to have on the Board of Directors of the company. [redacted] noted that as a member of the Board of Directors the appointee will be in a policy making position but that this responsibility will deal solely with business decision making. [redacted] advised his company does not involve itself in any discriminatory practices or restrictive admissions policies against any class of citizens. [redacted] advised that the appointee has never been known to engage in any discriminatory practices. [redacted] advised his company hires employees solely on their work abilities and does not discriminate in its hiring practices. [redacted] stated that the appointee is of good character and reputation. He advised that the appointee's associates are typically upstanding and contributing members of the community. He advised that the appointee enjoys a good reputation. [redacted] stated that the appointee is without question loyal to the United States. He felt the appointee's numerous achievements spoke highly of the appointee's ability and work ethic. He noted that DIXON is a financially responsible individual who lives within his financial means. [redacted] recommended the appointee without qualification or hesitation. [redacted] stated that the appointee does not abuse alcohol or prescription drugs and that to the best of his knowledge the appointee has never used any illegal drugs.

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161B-HQ-1080007
PAS/pas

REFERENCE

The following investigation was conducted October 4, 1994 by SA PHILIP A. STALEY:

On 10/4/94 [redacted]

[redacted] telephone number [redacted] advised [redacted] with KASSLY, BONE, BECKER, DIX, REAGAN and YOUNG, 5111 W. Main, Belleville, telephone number 618-235-0020. He said he has known the appointee for about 40 years, on both a social and professional basis. He said the appointee is of good character and is honest and trustworthy. He does not know the appointee to associate with anyone of questionable reputation or of disloyal nature. The appointee himself enjoys an excellent reputation. He stated he has no reason to question the appointee's loyalty to the United States. He said the appointee is a very capable attorney and an able administrator. He said he has never known the appointee to exhibit any bias or prejudice toward anyone. The appointee lives within his financial means and is financially responsible. The appointee has never abused prescription drugs or alcohol or used illegal drugs. He reiterated that the appointee is a "top-notch lawyer". He said the appointee has no blemishes on his career and possesses great political know-how.

He stated he knew of no derogatory information regarding the appointee.

[redacted] recommended the appointee for this position and felt he would do an excellent job.

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PERSONAL ACQUAINTANCES

SI 161B-HQ-1080007

DHT/kan

1

PERSONAL ACQUAINTANCES

The following investigation was conducted on October 4, 1994, by Special Agent DONALD H. TAYLOR:

[redacted] Belleville, Illinois, who resides at [redacted]

[redacted] advised that he has known appointee for approximately 25 years, both socially and politically. [redacted] first became acquainted with appointee through participation in appointee's political campaigns and later was acquainted with him for approximately 15 years on a more personal social basis.

[redacted] advised that appointee's character is above reproach and feels that he is extremely trustworthy, honest, and has never had any reason to doubt his integrity. [redacted] knows of no associates of appointee whom he would consider to be disreputable or disloyal in any way. It is [redacted] opinion that appointee enjoys a good reputation within the community as a whole and more specifically within the legal community. Appointee's loyalty is considered beyond reproach and his ability as a public servant is considered outstanding. [redacted] knows of no prejudices or biases on the part of appointee as pertains to religious, racial, or ethnic groups. He considers appointee to be financially responsible and feels that he lives well within his financial means. [redacted] advised that, to the best of his knowledge, appointee neither uses illegal drugs nor abuses prescription drugs. [redacted] indicated that appointee uses alcohol on a limited social basis and has never been known to abuse alcohol.

[redacted] knows of no reason why appointee should not be put in a position of trust and responsibility with the U.S. GOVERNMENT and he would fully recommend appointee for a position without reservation.

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NEIGHBORHOOD

NEIGHBORHOOD

7535 Claymont Court
Belleville, Illinois
June 1984 - Present

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The following investigation was conducted by October 4,
1994 by SA [REDACTED]

[REDACTED]
[REDACTED] has lived at this address for about five years. [REDACTED] does not know the appointee personally but has been casually acquainted with him for about twelve years. [REDACTED] describes the appointee as having high morals and states the appointees character is beyond reproach. [REDACTED] states the appointee associates with respectable people and has a good professional and civic reputation. [REDACTED] feels the appointee is a loyal citizen and has no bias nor prejudices which would adversely affect his judgement. [REDACTED] feels the appointee is financially responsible and lives well within his means. [REDACTED] has no knowledge of the appointee abusing alcohol or prescription drugs or using illegal drugs. [REDACTED] feels the appointee has the ability to perform the duties required of the appointed position and recommends the appointee for the position.

b6
b7C

[REDACTED]
[REDACTED] has lived at this address for six years. [REDACTED] only knows the appointee as a neighbor and does not know much about the appointees personal life. [REDACTED] states the appointee has an excellent reputation and to the best of her knowledge, associates with a respectable class of people. [REDACTED] describes the appointee as being of good character and having the ability to successfully perform the duties of an appointed position. [REDACTED] knows of no bias or prejudices the appointee has and feels the appointee is a loyal citizen. [REDACTED] feels the appointee lives within his financial means and has no knowledge of the appointee abusing alcohol or prescription drugs or using illegal drugs. [REDACTED] recommends the appointee for the position in question.

b6
b7C

[redacted]
[redacted] has lived at this address for ten years. [redacted] knows the appointee socially and states the appointee is of good character and associates with a responsible class of people. [redacted] states the appointee has an excellent reputation in the community and is a loyal citizen. According to [redacted] the appointee is very able to function as a government employee and has no bias nor prejudices which would affect his judgement. [redacted] states the appointee lives within his financial means and knows of no use of illegal drugs or abuse of prescription drugs or alcohol by the appointee. [redacted] recommends the appointee for the position in question.

LAW ENFORCEMENT OFFICIALS

LAW ENFORCEMENT OFFICIALS

b6
b7C

The following investigation was conducted October 5, 1994 by Special Agent [redacted]

[redacted] white male, [redacted] for the Southern District of Illinois at East St. Louis, Illinois. [redacted] advised he is familiar with the appointee and [redacted] has known the appointee for about 25 years. [redacted] advised that in about 1979 he worked for the appointee as [redacted] advised he worked [redacted] and reported directly to appointee who was then the ILLINOIS SECRETARY OF STATE. [redacted] knows the appointee both professionally and socially, and [redacted] advised they grew up together in the Belleville, Illinois area. [redacted] described the appointee as honest, trustworthy, and someone [redacted] completely trusts. [redacted] advised appointee has an excellent reputation in the community, and [redacted] does not question the appointee's loyalty to the U.S. Government. [redacted] knows many of the appointee's social acquaintances, and advised they are all reputable persons. [redacted] is aware of no business partners or other such acquaintances of appointee's that are less than trustworthy. [redacted] advised appointee has no biases or prejudices because of race, religion, or sex, and [redacted] advised that appointee lives within his financial means. [redacted] stated he has no knowledge of the appointee to have ever used any illegal drugs, to have abused prescription drugs, or to have abused alcohol. [redacted] advised that to his knowledge the only social club appointee belongs to is the ST. CLAIR COUNTY COUNTRY CLUB. [redacted] advised he is aware of nothing negative regarding the appointee, and [redacted] is aware of nothing that could preclude the appointee from serving the U.S. Government in a position of trust. [redacted] recommended appointee for government position.

b6
b7C

LAW ENFORCEMENT OFFICIALS

The following investigation was conducted October 5, 1994 by SA PHILIP A. STALEY:

On 10/5/94 [REDACTED] St. Clair County, Illinois, said he has known the appointee for about 25 years. Their association has been both professional and social. He said the appointee was of good character and he knew of no derogatory information concerning the appointee. The appointee's associates were all described as being of good character also, and that he knew of nothing to preclude the appointment of the appointee to this position. He said the appointee enjoys a better than average reputation. He stated there was no reason to question the appointee's loyalty to the U.S. government. He said the appointee has the ability to do an excellent job. He knows of no biases or prejudices held by the appointee and said he gets along with all people. He stated the appointee seems to live within his financial means. He said the appointee has never been known to abuse alcohol or prescription drugs and does not use illegal drugs. He recalled that the appointee had an old DUI arrest from a long time ago, but said he knew of no derogatory information about the appointee which would adversely affect his appointment.

[REDACTED] stated he endorsed and recommended the appointee for this position.

b6
b7C

UNITED STATES MAGISTRATE

UNITED STATES MAGISTRATE

The following investigation was conducted October 5, 1994 by Special Agent (SA) [redacted]

b6
b7C

[redacted] white male. [redacted]
of age is [redacted]
Southern District of Illinois and is currently [redacted]
[redacted] Southern District of Illinois at East St. Louis, Illinois. PROUD is familiar with the appointee. [redacted] advised he first met the appointee approximately twenty years ago when [redacted] worked in the United State's Attorney's Office and appointee was the ILLINOIS SECRETARY OF STATE. [redacted] advised most of his contact with the appointee has been of a professional nature; however, [redacted] has also socialized with the appointee at local political functions they both happened to be attending. [redacted] advised most of his contact with the appointee has revolved around working through the appointee's office staff when appointee was a U.S. Senator. [redacted] advised that because the appointee has been so involved in politics, he knew of him even before they met. [redacted] advised he has heard many people talk about the appointee, and [redacted] advised that everything he has heard regarding the appointee has been favorable. [redacted] has had no indication that there is anything negative or derogatory regarding the appointee or his conduct. [redacted] described appointee as honest and trustworthy, and advised appointee's social acquaintance are also reputable and responsible persons. [redacted] does not question the appointee's loyalty to the U.S. Government, and [redacted] advised that appointee and his family have an excellent community reputation. [redacted] has had no indication the appointee has ever used any illegal drugs, abused any prescription drugs, or abused alcohol. [redacted] advised the appointee appears to live within his financial means, and [redacted] has never had any indication the appointee possesses any biases or prejudices because of race, religion, or sex. [redacted] strongly recommends the appointee for a position of trust with the U.S. Government, and [redacted] believes appointee is an excellent choice for the position for which he is currently being considered.

b6
b7C

SOCIAL ORGANIZATION

161B-HQ-1080007
PAS/pas

SOCIAL ORGANIZATION

The following investigation was conducted October 4, 1994 by SA PHILIP A. STALEY:

On 10/4/94, [REDACTED] ST. CLAIR COUNTRY CLUB (SCCC), 7800 Foley Drive, Belleville, Illinois, reviewed his records and advised that the appointee has been a member of the SCCC since May 15, 1962. He was first made an honorary member because of being a U.S. Senator and then renewed his membership thereafter. To [REDACTED] knowledge, the appointee has never served on the Board of Directors for the SCCC. He said the SCCC does not discriminate against anyone because of gender or race or for any other reason.

b6
b7c

FEDERAL JUDGE

FEDERAL JUDGES

The following investigation was conducted October 6, 1994 by Special Agent (SA) [redacted]

b6
b7C

[redacted] white male, [redacted]
[redacted] for the Southern District of Illinois
at East St. Louis, Illinois. [redacted] advised he is familiar with
the appointee, and has known the appointee for over sixty years.
[redacted] advised he and appointee grew up only a block apart in the
Belleville, Illinois area. [redacted] described appointee as a
sincere, honest, hardworking individual, and as someone who has
dedicated his life to serving the public interest. [redacted]
advised there is absolutely nothing about the appointee's
character or lifestyle that is questionable. [redacted] advised that
appointee has been in the public eye since he was about twenty
years old, and there is nothing about his conduct that has been
questioned. [redacted] advised appointee and his family have an
excellent reputation in the community. [redacted] knows many of the
appointee's social acquaintances, and [redacted] advised that all of
appointee's social acquaintances and business interests are all
above reproach. [redacted] does not question the appointee's loyalty
to the U.S. Government. [redacted] has no knowledge of the appointee
ever having used any illegal drugs, having abused any
prescription drugs, or being an abuser of alcohol. [redacted]
advised the appointee lives within his financial means, and
[redacted] has never seen the appointee exhibit any signs of bias or
prejudice. [redacted] reiterated he is aware of nothing negative or
derogatory regarding the appointee, and [redacted] is aware of
nothing that could be used to coerce the appointee. [redacted]
advised he recommends the appointee for the position for which he
is being considered, and [redacted] advised he would unequivocally
recommend the appointee for any position of trust with the U.S.
Government.

b6
b7C

MINORITY COMMUNITY LEADER

161B-HQ-1080007
KHJ/

MINORITY COMMUNITY LEADER

The following investigation was conducted by Special Agent [REDACTED] on October 5, 1994:

b6
b7C

[REDACTED] NATIONAL ASSOCIATION FOR THE ADVANCEMENT OF COLORED PEOPLE (NAACP), 2149 Missouri Avenue, East St. Louis, Illinois, telephone number 618-271-4698, advised that he has met the appointee but does not know him personally. [REDACTED] reported that he has no negative information on the appointee. [REDACTED] stated that the NAACP does not object to the appointee being given a position of trust and confidence with the U.S. Government.

PERSONAL PHYSICIAN

161B-HQ-108007
DHT/cm
1*

PERSONAL PHYSICIAN

The following investigation was conducted by Special Agent (SA) DONALD H. TAYLOR on October 5, 1994:

[redacted]
[redacted] was contacted concerning appointee and advised he had been acquainted with appointee for approximately 20 years and had been [redacted] for approximately two years. Appointee is a close personal friend of [redacted] family, who have had both a personal and business relationship for a number of years. [redacted] stated he believes appointee has an outstanding and impeccable character and further described him as being honest and trustworthy in all aspects of his life. [redacted] knows of no associates of appointee who could be considered as having a bad reputation or being disloyal. [redacted] believes appointee has a good reputation within the community and a good reputation in the St. Clair County, Illinois area legal establishment. [redacted] has no reason to question appointee's loyalty or ability. [redacted] feels appointee has a very responsible attitude toward public duty and is attentive to the needs of the community.

b6
b7C

[redacted] knows of no biases or prejudices on the part of appointee towards any religious, racial, or ethnic group. He feels appointee is financially responsible and lives within his means. [redacted] stated that to the best of his knowledge, appointee does not use illegal drugs, nor abuse prescription drugs or alcohol. [redacted] knows of no derogatory information regarding appointee and stated he would highly recommend appointee for a position of responsibility and trust with the U. S. Government.

b6
b7C

In addition, [redacted] as appointee's [redacted] would consider appointee is in excellent physical condition and considers him to be psychologically stable and fit. [redacted] is unaware of any physical or psychological limitations concerning appointee's ability to perform an appointed position.

b6
b7C

LAW ENFORCEMENT AGENCIES

161B-HQ-1080007

JEM/jem

1

LAW ENFORCEMENT AGENCIES

The following investigation was conducted by
Investigative Assistant [REDACTED]

b6
b7C

On October 5, 1994, [REDACTED]
Records Section, Springfield, Illinois Police Department advised
a check of their records failed to reveal any arrests on file for
appointee.

On October 5, 1994, [REDACTED]
Sangamon County Sheriff's Office, Springfield, Illinois, advised
they have no record of any arrests on file for appointee.

161B-HQ-1080007

RLS/llm

1

LAW ENFORCEMENT AGENCY CHECKS

The following investigation was conducted by Special Agent [REDACTED] on October 5, 1994:

[REDACTED] MT. VERNON, ILLINOIS, POLICE DEPARTMENT, advised that a complete review of her records revealed no history of arrest for the appointee.

[REDACTED] JEFFERSON COUNTY SHERIFF'S DEPARTMENT, Mt. Vernon, advised that a complete review of his records revealed no history of arrest for the appointee.

b6
b7C

161B-HQ-1080007
BBS:bbs

ARREST CHECK

The following investigation was conducted October 5,
1994 by IA [REDACTED]

The below listed individuals advised their records are
negative regarding appointee:

[REDACTED]
Records,
ST. CLAIR COUNTY SHERIFF'S OFFICE,
Belleville, Illinois

[REDACTED]
Records
BELLEVILLE POLICE DEPARTMENT,
Belleville, Illinois

b6
b7C

[REDACTED]
Records
EAST ST. LOUIS POLICE DEPARTMENT
East St. Louis, Illinois

UNITED STATES ATTORNEY'S OFFICE

161B-HQ-1080007
LMS/

UNITED STATES ATTORNEY

The following investigation was conducted October 5,
1994 by SA [REDACTED]

b6
b7C

[REDACTED]
[REDACTED] has known the appointee for
several years. [REDACTED] states the appointees character is beyond
reproach and that the appointee associates with a respectable
class of people. [REDACTED] states the appointee has an excellent
professional reputation and is well respected as a loyal citizen
in the community. [REDACTED] feels the appointee has the ability to
perform the duties required by the appointed position. To the
best of [REDACTED] knowledge, the appointee has no bias nor
prejudices which would adversely affect his judgement. [REDACTED]
feels the appointee is financially responsible and lives well
within his means. [REDACTED] has no knowledge of the appointee
abusing alcohol or prescription drugs or using illegal drugs.
GRACE recommends the appointee for the position in question.

b6
b7C

161B-HQ-1080007

JEM/jem

1

UNITED STATES ATTORNEY'S OFFICE

The following investigation was conducted on October 5, 1994, at Springfield, Illinois, by Investigative Assistant

[REDACTED]

[REDACTED] United States Attorney's Office, Central District of Illinois, Springfield, Illinois, advised that there is no indication of federal prosecutive action (civil or criminal) in their closed or current files involving the appointee.

b6
b7C

161B-HQ-1080007

BBS:bbs

1

U.S. ATTORNEY'S OFFICE

The following investigation was conducted by IA [REDACTED]
[REDACTED] on October 6, 1994:

b6
b7C

[REDACTED] U.S.
ATTORNEY'S OFFICE, Fairview Heights, IL., advised her records
were negative regarding appointee.

BAR CHECKS

161B-HQ-1080007

JEM/jem

1

BAR CHECKS

The following investigation was conducted by
Investigative Assistant [REDACTED] at Springfield, Illinois:

b6
b7C

On October 5, 1994, [REDACTED]
Office of the Clerk, ILLINOIS SUPREME COURT, advised that the
appointee was admitted to the Illinois State Bar on January 9,
1950, by examination. The appointee is registered and licensed
to practice law in the State of Illinois. The above named agency
is the licensing agency for attorneys in the State of Illinois.

On October 5 1994, [REDACTED] ATTORNEY
REGISTRATION and DISCIPLINARY COMMISSION, advised that the
records of her office indicated that the appointee is registered
with the ATTORNEY REGISTRATION and DISCIPLINARY COMMISSION under
registration number 0644064.

[REDACTED] stated there are no complaints on file for
appointee.

[REDACTED] advised it is the ATTORNEY REGISTRATION and
DISCIPLINARY COMMISSION policy not to confirm, deny or provide
any information on closed files.

STATE BOARD OF ELECTIONS

161B-HQ-1080007

JEM/jem

1

STATE BOARD OF ELECTIONS

The following investigation was conducted on October 5, 1994, at Springfield, Illinois, by Investigative Assistant

[REDACTED]

b6
b7C

[REDACTED] Campaign Financing Section, Illinois State Board of Elections, advised a check of their records negative for any complaints filed against candidate.

ATTORNEY GENERAL'S OFFICE

161B-HQ-1080007

JEM/jem

1

ATTORNEY GENERAL

The following investigation was conducted on October 4, 1994, at Springfield, Illinois, by Investigative Assistant [REDACTED]

b6
b7C

[REDACTED] Attorney General's Office, State of Illinois, advised a check of their records failed to reveal any references for appointee.



FBI FACSIMILE
COVERSHEET

PRECEDENCE:

- ☒ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:

- ☐ Top Secret
☐ Secret
☐ Confidential
☐ Sensitive
☒ Unclassified

Time Transmitted: _____

Sender's Initials: _____

Number of Pages: _____
(including coversheet)To: DIRECTOR FBI

(Name of Office)

Date: 10-6-94Facsimile Number: 202-324-2574 or 1373 or 72 or 71

Attn: _____

Room: Rm 14371

Telephone: _____

From: FBI, SPRINGFIELD ILLINOIS

(Name of Office)

Subject: ALAN J. DIXONSPINBuled 10-6-94Special Handling Instructions: HAND CARRY TODOCKET / JUDGEMENT / COMPLAINT#89-3228

Originator's Name: _____

Telephone: _____

Originator's Facsimile Number: 217/535-4440

Approved: _____

OCT-06-1994 17:14 FROM FBI SPRINGFIELD

TO

92023242574

P.02

TERMED

Proceedings include all events.
3:89cv3228 Yocum v. Dixon, et al

32

TERMED 32

U.S. District Court
USDC for the Central District of Illinois (Springfield)

CIVIL DOCKET FOR CASE #: 89-CV-3228

Filed: 10/18/89

Yocum v. Dixon, et al
Assigned to: Judge Richard Mills
Demand: \$0,000
Lead Docket: None
Dkt # in N/D of IL : is 89-4230
Dkt # in Dist of Columbia : is 89-0811

Nature of Suit: 440
Jurisdiction: US Defendant

Cause: 42:1983 Civil Rights Act

7/31/89 7 MOTION by plaintiff Eldon L Yocum for injunction or
restraining order (ct) [Entry date 11/14/89]
11/6/89 23 MOTION by plaintiff Eldon L Yocum to compel transfer (ct)
[Entry date 11/13/89]
11/17/89 25 MOTION by plaintiff Eldon L Yocum for order to order the
Clerk to list and send all evidence requested by E.R.Yocum
related to civil No. 82-20054-01 (ct) [Entry date 11/16/89]
[Edit date 11/20/89]
11/21/89 26 MOTION by plaintiff Eldon L Yocum for writ of habeas
corpus Rule 9, Ineffective Counsel an Lack of Jurisdiction
& Criminal Behavior (br) [Entry date 11/30/89]
11/21/89 27 MOTION by plaintiff Eldon L Yocum for issuance of Summons
(Rule 4.(d)&(4) (br) [Entry date 11/30/89]
11/30/89 28 ADDITIONAL MOTION by plaintiff Eldon L Yocum for writ of

TERMED

Proceedings include all events.

3:89cv3228 Yocum v. Dixon, et al

32

1/29/90 33

ORDER by Judge Richard Mills: IT IS ORDERED The complaint filed in this cause (d/e 3) is DISMISSED with prejudice. The motions for a hearing on claims of federal agents misconduct (d/e 5, 8) are DENIED. The motion for an injunction or a restraining order (d/e 7) is DENIED. The motion to compel Greyford to investigate the Defendants named in the complaint (d/e 13) is DENIED. The petitions for writs of habeas corpus pursuant to 28 U.S.C. sect. 2254 (d/e 16, 24) are DISMISSED. The motion for a writ of mandamus (d/e 25) is DENIED. The petitions for writs of habeas corpus pursuant to 28 USC 2255 (d/e 20, 26, 28, 29) are TRANSFERRED to the United States District Court for the Central District of Illinois, Peoria Division, which is the court that sentenced Petitioner. It is further ORDERED that Yocum obtain leave of Court prior to filing any further pleadings or motions. The Clerk is directed not to file any documents submitted by Yocum unless he has obtained leave of Court prior to submitting the document for filing. terminating the case (cc: all counsel) (ct)

1/29/90 34

JUDGMENT in a civil case entered (cc: all counsel) (ct)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-18-2014 BY C66W46B11

FILED

AO 450 (Rev. 5/85) Judgment in a Civil Case ®

JAN 29 1990

United States District CourtJOHN M. WATERS, Clerk
U. S. DISTRICT COURT
CENTRAL DISTRICT OF ILLINOISCENTRAL DISTRICT OF ILLINOIS
SPRINGFIELD DIVISION

ELDON L. YOCUM

JUDGMENT IN A CIVIL CASE

V.

ALAN J. DIXON, LARRY LESSEN,
HAROLD A. BAKER, MICHAEL M. MIHM,
WALDO ACKERMAN

CASE NUMBER: 89-3228

- ☐ Jury Verdict. This action came before the Court for a trial by jury. The issues have been tried and the jury has rendered its verdict.
- ☒ Decision by Court. This action came to trial or hearing before the Court. The issues have been tried or heard and a decision has been rendered.

IT IS ORDERED AND ADJUDGED Pursuant to an order entered by the Honorable Richard Mills, the complaint filed in this cause (d/e 3) is DISMISSED with prejudice. The motions for a hearing on claims of federal agents misconduct (d/e 5, 8) are DENIED. The motion for an injunction or a restraining order (d/e 7) is DENIED. The motion to compel Greylord to investigate the Defendants named in the complaint (d/e 13) is DENIED. The petitions for writs of habeas corpus pursuant to 28 USC sect. 2254 (d/e 16, 24) are DISMISSED. The motion for a writ of mandamus (d/e 25) is DENIED. The petitions for writs of habeas corpus pursuant to 28 USC sect. 2255 (d/e 20, 26, 28, 29) are TRANSFERRED to the United States District Court for the Central District of Illinois, Peoria Division, which is the court that sentenced Petitioner. It is further ORDERED that Yocum obtain leave of Court prior to filing any further pleadings or motions. The Clerk is directed not to file any documents submitted by Yocum unless he has obtained leave of Court prior to submitting the document for filing. Case Closed.

A TRUE COPY
ATTEST:JOHN M. WATERS, CLERK
BY: *Christy Taylor*DEPUTY CLERK
U. S. DISTRICT COURT
CENTRAL DISTRICT OF ILLINOIS
DATE: 1.0.6/94

January 29, 1990

Date

Clerk

John M. Waters
Christy Taylor
(By) Deputy Clerk

34

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-18-2014 BY C66W46B11

A TRUE COPY
ATTEST:

JOHN M. WATERS, CLERK
BY: *Christy Lyle*

DEPUTY CLERK
U.S. DISTRICT COURT
CENTRAL DISTRICT OF ILLINOIS

DATE: 10/6/94...

FILED

JAN 26 1990

JOHN M. WATERS, Clerk
U. S. DISTRICT COURT
CENTRAL DISTRICT OF ILLINOIS

IN THE UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF ILLINOIS -- SPRINGFIELD DIVISION

ELDON L. YOCUM,)
)
Plaintiff,)
)
v.) No. 89-3228
)
ALAN J. DIXON, et al.,)
)
Defendants.)

OPINION

RICHARD MILLS, District Judge:

We deal here with a seemingly inexhaustible filing of paltry and trifling pro se claims.

This case illustrates the problem confronting a district court when a prisoner has too much free time and chooses to fill that time by inundating the Court with frivolous pro se pleadings and motions.

Eldon L. Yocum is currently imprisoned at the Federal Correctional Center in Springfield, Missouri. On March 27, 1989, Yocum filed a complaint in the United States District Court for the District of Columbia pursuant to 42 U.S.C. §1983 (civil action for deprivation of rights).

In the caption of the complaint, Yocum names United States Senator Alan J. Dixon, and Judges Harold A. Baker, Michael M. Mihm, J. Waldo Ackerman, and Larry Lessen. Judges Baker and Mihm are currently district judges for the Central District of Illinois. Judge Ackerman was also at one time a judge in the Central District of Illinois but passed away in 1984. Judge

Lessen is currently a bankruptcy judge in the Central District of Illinois.

One month after it was filed in the District of Columbia, Judge Gesell ordered the case transferred to the Central District of Illinois pursuant to 28 U.S.C. §1404(a) for the convenience of parties and witnesses. Judge Gesell noted that the events underlying the complaint took place in the Central District of Illinois and most of the Defendants reside in this district.

Through a clerical error the case was transferred to the Northern District of Illinois and came before Judge Zagel. While the file sat in the Northern District, an order of default was routinely entered on August 18, 1989. When this error was discovered, Judge Zagel vacated the order of default and transferred the case to this Court on September 21, 1989. Judge Zagel apparently chose the Springfield Division because this is the only court not named as a Defendant by Yocum.

To say that the complaint is not a model of the clear, unambiguous notice pleading required by federal courts is an understatement. Despite our efforts to decipher what Yocum alleges, all we can tell from his complaint is that he was convicted of some crime by Judge Mihm, is presently incarcerated in the Federal Correctional Center in Springfield, Missouri, is unhappy with his present situation, and wishes to be released from prison.

To provide an example of the flavor of Yocum's complaint, we quote a portion of it:

In or about May of 1973, Champaign County Court charged Mr. Yocum in Case No. 73X750.

In June of 1973, Judge Robert James Steigmann called a meeting for Mr. Yocum, wife, and attorney Bert Reeves to be in the Jury Room in the Court House. Steigmann had two Law Students with him as witnesses. All (6) persons were close to the end of the table where each would be able to hear what Steigmann had to say. Steigmann stated to Mr. Yocum: Just lisson [sic], and I will talk of what is to take place, "IF YOU DON'T DROP YOUR JURY TRIAL DEMANDS, I WILL SEE THAT YOU DIE IN PRISON."

* * *

Mr. Yocum was forced into court without any Due Process, or defense of any type. He was given 2 to 6 years for a CRIME THAT NEVER TOOK PLACE, AND WOULD NEVER BEEN BEEN PROVED IN COURT BY VALID EVIDENCE, AND THAT WAS THE REASON STEIGMANN WANTED TO CONVICT AS MANY AS POSSIBLE, AS HE WAS UP TO BE JUDGE IN A FEW WEEKS. The Court Records are EVIDENCE THAT THE THREAT WAS MADE OM [sic] YOCUM, AND STEIGMANN DID ADMIT HE MADE THE THREAT, AND THE WITNESSES DID LIE IN AN AFFIDAVIT, AND UNDER OATH BEFORE JUDGE, ROGER LITTLE. The EVIDENCE is Clear that Alan J. Dixon was the RINGLEADER OF ORGANIZED CRIME IN ILLINOIS, In 1979 ALAN J. DIXON made an affidavit to the Springfield, MO USDC court to TRY AND HAVE YOCUM MURDERED BY DRUGS IN THE USFMC PRISON.

* * *

Alan J. Dixon has used the Courts of Illinois, City Police, his Illinois State Police and lawyers to do his dirty tricks, as if he had the BOOK of DIRTY TRICKS BY THE CIA & FBI, to use. Every time Yocum tried to CLEAR UP THE GOVERNMENT FRAUD AGAINST HIM, The law officials would kidnapp [sic] him and pick new charges to attempt to put him away, so they would not be investigated for such felony acts the Records show them guilty of.

* * *

The arrest, in fact, was KIDNAPPING. IT WAS TERRORISM FROM 1982 in Nov. until March 1989.

Standing alone, this portion of the complaint (which is

the majority) amply illustrates the enormous problems created for this Court by individuals such as Yocum. However, this is only the beginning of the story. Yocum has continued to file numerous motions and petitions with this Court which we will turn to in due course. But before addressing these other submissions, we will deal with the complaint filed in this cause.

We have thoroughly examined the record and read the submissions filed by Yocum giving him the full benefit of the doubt as is proper with a pro se litigant. See Hughes v. Rowe, 449 U.S. 5, 9-10 (1980); Abdul-Wadwood v. Duckworth, 860 F.2d 280, 287 (7th Cir. 1988). Despite our efforts to decipher what Yocum alleges, there appear to be several insurmountable barriers in his path to recovery.

I

The first barrier that Yocum is unable to overcome is that he is attempting to bring a section 1983 action against federal officials. Section 1983 clearly provides that "every person who, under color of any statute, ordinance, regulation, custom, or usage, of any state or territory or the District of Columbia ..." deprives an individual of his federal constitutional or statutory rights shall be liable in an action at law. 42 U.S.C. §1983 (emphasis added). Federal officials, who are not acting in concert with state agents, are not considered to be state actors for the purpose of section 1983 and thus are not acting under color of state law. See Stonecipher v. Bray, 653 F.2d 398 (9th Cir. 1981), cert. denied, 454 U.S. 1145 (1982)."

The second insurmountable barrier in Yocum's path is the

doctrine of judicial immunity which has been recognized for over 100 years. Bradley v. Fisher, 13 Wall. 335 (1872). "Few doctrines were more solubly established at common law than the immunity of judges from liability for damages for acts committed within their judicial jurisdiction" Pierson v. Ray, 386 U.S. 547, 553-554 (1967). Judicial immunity "applies even when the judge is accused of acting maliciously and corruptly, and it 'is not for the protection or benefit of a malicious or corrupt judge, but for the benefit of the public, whose interest it is that the judges should be at liberty to exercise their functions with independence and without fear of consequences.'" Id. at 544 (quoting Scott v. Stansfield, L.R.3 Ex. 220, 223 (1868)). Judges Baker, Mihm, Ackerman, and Lessen are therefore clearly immune from liability under section 1983 for any action within the sphere of their offices.

The third reason Yocum's complaint must be dismissed is that the judges named as Defendants in the caption of the complaint are not even named in the body of the complaint. After thoroughly examining the complaint, the only comprehensible assertion against any of the named Defendants is an allegation that Senator Dixon was the "ringleader of all organized crime in the State of Illinois." A naked allegation such as this falls far short of even the liberal notice pleading accepted by the federal courts. Therefore, on this Court's own motion we will dismiss Yocum's complaint pursuant to Fed. R. Civ. P. 12(b)(6) for failure to state a cause of action.

II

The next document filed by Yocum is a motion for an injunction or a restraining order which was filed on July 28, 1989, with the Northern District of Illinois. Specifically, Yocum asks that we "order the U.S. Western District to file an affidavit as to jurisdiction." Yocum further "claims that the Illinois court, and the Missouri court absconded his rights for justice, for lack of authority and without criminal evidence." Yocum also alleges that "no probable cause existed for his conviction and that the arrest warrant was barred by the United States Constitution and hearsay rules of evidence." Several of these grounds are patently meritless and the remaining grounds are properly addressed to the sentencing judge in a petition for habeas corpus pursuant to 28 U.S.C. §2255 (Yocum has filed several of these petitions as well). Therefore, Yocum's motion for an injunction or restraining order will be denied.

III

Yocum has also filed two motions entitled "motion for hearing on Eldon Lowell Yocum's claim of federal agents misconduct and for appointment of Gerard Lynch, professor (criminal law at Columbia University's Law School who is regarded as a RICO expert, for plaintiff's claim.)" These motions reflect that they were filed by counsel Thomas H. Koonen, P.O.A. on behalf of Yocum. However, Koonen did not sign either of these motions. We suspect that Koonen is not actually an attorney at law but most likely is another resident of the mental health unit at Springfield, Missouri. In support of these motions, Yocum once again alleges

that federal officials engaged in misconduct such as making false statements, conspiracy, felony perjury, and mob action to convict him in prior criminal cases. Once again, these motions fail to state a claim upon which relief can be granted and will be denied.

IV

On September 7, 1989, Yocum filed yet another motion. This time, the motion was captioned "Motion to compel Greylord to investigate all defendants herein named or with abettors." This motion is apparently brought pursuant to 28 U.S.C. §1361 which empowers district courts to grant writs of mandamus. Apparently, Yocum wants this Court to grant mandamus and order the investigators involved in the "Operation Greylord" investigation in Chicago to investigate Senator Dixon and the federal judges named as Defendants in Yocum's section 1983 complaint. This motion reads, in its entirety, "Defendants must be investigated, as the check and Balance must document the RICO conduct, and Court of Appeals Judge Wm Bauer is the whip, and has a duty to also investigate Judge Michael M. Mihm, Alan J. Dixon with all other defendants guilty of terrorism violations." Once again, this appears to be little more than the disturbed ramblings of an individual who is badly in need of professional psychiatric help. This motion will also be denied.

V

Yocum has also filed two petitions for writs of habeas corpus pursuant to 28 U.S.C. §2254. A petition for a writ of habeas corpus under this section is intended to provide relief for a person who is in custody pursuant to the judgment of a state

court when that judgment is in violation of the Constitution or laws of the United States. In his first petition for a writ of habeas corpus under section 2254, Yocum attacks his January 1974 conviction by the Champaign County Circuit Court. Yocum states that the offense for which he was convicted was "incest with a 16 year old girl." Yocum further indicates that the length of his sentence was 2 to 6 years' imprisonment. In his second petition for a writ of habeas corpus pursuant to section 2254, Yocum attacks his conviction by Judge Mihm in federal district court for the offense of collection of extensions of credit by extortionate means in violation of 18 U.S.C. §894. This conviction was entered on March 22, 1984, and Yocum was sentenced to a period of imprisonment of 9 years according to his petition.

We have thoroughly reviewed both of these petitions filed by Yocum and, pursuant to Rule 4 of the rules governing habeas corpus petitions filed under section 2254, have determined that from the face of the petitions it plainly appears that Petitioner is not entitled to relief in this Court. Therefore, we will dismiss these petitions without requiring the Government to answer.

This Court has recently been criticized by the Seventh Circuit Court of Appeals for summarily dismissing frivolous petitions for writs of habeas corpus under section 2254. Russell v. Lane, No. 88-1598 (Nov. 29, 1989, 7th Cir.)(Posner, J.). In the Russell case, this Court summarily dismissed 4 out of 6 grounds raised by Russell in support of his petition for a writ of habeas corpus pursuant to section 2254. Upon discovering that

this Court had been a member of the Illinois Appellate Court panel which affirmed Russell's state court conviction, Russell's remaining two claims were transferred to Judge Mihm of the Peoria Division. Judge Mihm thereafter dismissed both of the remaining claims. Upon appeal, the Seventh Circuit remanded the case to Judge Mihm stating that this Court "spent so little time considering" the four claims it summarily dismissed that there would be little if any wasted effort by allowing Judge Mihm to revisit those four claims. While this Court certainly agrees that summary dismissal is only to be used when it is plain that the claims raised by the Petitioner are groundless, a district court should not be hesitant in dismissing frivolous claims. It serves no purpose whatever to require the Government to respond to claims and allegations upon which a petitioner has absolutely no hope of prevailing.

The petitions filed in the instant case provide an excellent example. In his first petition pursuant to section 2254, Yocum indicates that the date of his conviction was 1974 and that the maximum amount of time that he possibly could have served was six years. Furthermore, Yocum is presently incarcerated in the Federal Correctional Center in Springfield, Missouri. Therefore, he is clearly not "in custody" at the present time pursuant to a judgment of a state court and cannot bring a petition for a writ of habeas corpus under section 2254 for relief. See Escobedo v. Estelle, 655 F.2d 613 (5th Cir. 1981). Therefore, we are certain that Yocum could prove no set of facts under this petition which would entitle him to relief and will

summarily dismiss it without requiring the Government to respond.

In his second petition for a writ of habeas corpus under section 2254, Yocum does not even attack a judgment of conviction by a state court. Rather, he is attacking his conviction in the federal district court presided over by Judge Mihm. While Yocum is currently "in custody" pursuant to this judgment, it is not a judgment by a state court and therefore Yocum cannot bring a petition for writ of habeas corpus under section 2254 for relief. Yocum's sole remedy to attack this conviction at this time is a petition for a writ of habeas corpus under 28 U.S.C. §2255 which is properly addressed to the sentencing judge. Yocum has filed several of these petitions and they will be transferred to the United States District Court for the Central District of Illinois, Peoria Division, where Yocum was sentenced. Thus, we can also dismiss Yocum's second petition for a writ of habeas corpus pursuant to section 2254.

VI

Yocum has also filed a motion to compel transfer pursuant to 28 U.S.C. §§1361, 1404(a). This motion was captioned with the United States Supreme Court and addressed to Justice Blackmun. In this motion, Yocum states that "plaintiff, being documented as 100% innocent, moves this court of jurisdiction to order the Vermilion County Circuit Court to transfer the case in 1959 to this court to set aside that case against James E. Burke and Eldon L. Yocum, as Thomas Melton Sandy made a plea of guilty of having committed all three crimes, and no other person did them." This motion was originally docketed by the Clerk of the

United States Supreme Court and then transferred to the Clerk's Office for the Central District of Illinois. From the motion, we are unable to determine exactly what case in Vermilion County Circuit Court Yocum wishes transferred and are doubtful of our ability to order a case transferred from the state court to federal court. This motion will also be denied at this time.

VII

The last motion that we will address at this time is a motion by Yocum pursuant to 28 U.S.C. §1361 for a writ of mandamus ordering the Clerk of Court to provide him with undisclosed evidence relating to his federal criminal case. In support of this motion, Yocum asserts that he contacted Attorney F. Lee Bailey who refused a \$1 million fee to take his case but allegedly provided him with some advice as to how to proceed. Because Yocum has made no showing whatsoever that the Clerk of this Court has failed to comply with his duties in any manner, this motion will be denied.

VIII

Yocum has also filed four petitions for writs of habeas corpus pursuant to 28 U.S.C §2255. Section 2255 provides a remedy whereby a person in custody under a sentence of a federal court may attack his conviction on the grounds that it is unconstitutional, was imposed by a court without jurisdiction to impose such a sentence, or that the sentence was in excess of the maximum authorized by law. Such a petition is properly addressed to the court which sentenced the Petitioner and thus these four petitions will be transferred to the United States District Court

for the Central District of Illinois, Peoria Division, where Petitioner was sentenced.

Orders

Yocum's litigious behavior is well known to this Court. On May 25, 1988, Judge Mihm entered an order directing Yocum to seek leave of Court prior to filing any further pleadings or motions. In view of Yocum's continuing abuse of the system, and the enormous amount of work created for the Court's staff and Clerk's office in having to deal with such ridiculous allegations, we also will enter such an order. See In re McDonald, 109 S. Ct. 993 (1989).

Ergo, for all of the foregoing reasons, it is hereby ORDERED that:

The complaint filed in this cause (d/e 3) is DISMISSED with prejudice.

The motions for a hearing on claims of federal agents misconduct (d/e 5, 8) are DENIED.

The motion for an injunction or a restraining order (d/e 7) is DENIED.

The motion to compel Greylord to investigate the Defendants named in the complaint (d/e 13) is DENIED.

The petitions for writs of habeas corpus pursuant to 28 U.S.C. §2254 (d/e 16, 24) are DISMISSED.

The motion for a writ of mandamus (d/e 25) is DENIED.

The petitions for writs of habeas corpus pursuant to 28 U.S.C. §2255 (d/e 20, 26, 28, 29) are TRANSFERRED to the United States District Court for the Central District of Illinois, Peoria

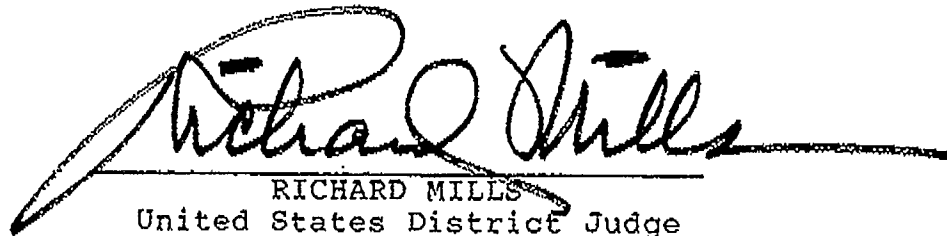
Division, which is the court that sentenced Petitioner.

It is further ORDERED that Yocum obtain leave of Court prior to filing any further pleadings or motions. The Clerk is directed not to file any documents submitted by Yocum unless he has obtained leave of Court prior to submitting the document for filing.

Case CLOSED.

ENTER: 76 January, 1990.

FOR THE COURT:


RICHARD MILLS
United States District Judge

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DATE 12-18-2014 BY C66W46B11

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA
WASHINGTON, D.C. 20001

FILED

MAR 27 1989

ELDON L. YOCUM,
R# I Box 209A
Waynesville, MO 65583
(314) 774-5476

PLAINTIFF, Pro Se

vs.

US SEN. ALAN J. DIXON
SENATE OFFICE BUILDING
WASHINGTON, D.C. 20545

JUDGES: LARRY LESSEN,
HAROLD A. BAKER,
MICHAEL M. MIHM, and
WALDO ACKERMAN for the
CENTRAL DISTRICT OF ILLINOIS
DANVILLE, ILLINOIS 61832

DEFENDANTS.

WITHOUT PREPAYMENT
OF COSTS

CLERK, U.S. DISTRICT COURT
DISTRICT OF COLUMBIA

89- 0811

CIVIL ACTION NO.

A TRUE COPY

ATTEST:

21 Mar 89

Leave to file without JOHN M. WATERS, CLERK
prepayment of costs BY: *Christy Say*

CMS DEPUTY CLERK
U.S. DISTRICT COURT
CENTRAL DISTRICT OF ILLINOIS
United States District Judge *10/6/94*

COMPLAINT (Rule 10(a), (LR106(a))

(For Declaratory Judgment and Injunctive Relief)/LR 106(e)/

ESELL, J. GAG

1. This Court has jurisdiction pursuant to Title 28, Section 1332 and §522 (a)(4)(9B) (FRCP 8(a)(1)), in that there is true diversity of citizenship and the amount in controversy exceeds TEN THOUSAND DOLLARS (\$10,000.00).

2. Plaintiff is a citizen of the United States and a resident of the State of Missouri.

3. Defendant ALAN J. DIXON, is a citizen of the United States and is a resident of the district of Columbia.

4. On or about July 20, 1977, in the Central District of Illinois, at Danville, IL Mr. Yocum filed Civil Class Actions before Judge, Henry G. Wise as his Right pursuant to The First Amendment. First, in Vermilion County Court for Danville, IL, in 1958 Three charges were filed against three different persons. THOMAS MELTON SANDY, made a guilty plea, and admitted having committed all three crimes, and no other person or persons did those (3) CRIMES. Yocum and JAMES E. BURKE were each sentenced for the same crimes, of which Yocum and Burke did not have any part in the acts. Yocum won that Civil Action at the DEADLINE in Jan. 1978 by the WRITTEN LAWS, and US COURTS are PROHIBITED from RULING CONTRARY to the WRITTEN LAWS.

5. In or about May of 1973, Champaign County Court Charged Mr. Yocum in Case No. 73 X 750. In June of 1973, Judge, Robert James Steigmann called a meeting for Mr. Yocum, wife, and Attorney Burt Greaves to be in the Jury Room in the Court House. Steigmann had two Law Students with him as witnesses. All (6) persons were close to the end of the table where each would be able to hear what Steigmann had to say. Steigmann stated to Mr. Yocum: just listen, and I will talk of what is to take place, "IF YOU DON'T DROP YOUR JURY TRIAL DEMANDS, I WILL SEE THAT YOU DIE IN PRISON." Burt Greaves Said, just out side that room I have been an attorney for 41 years, and I have never heard any one talk like that before, you will have to fine an attorney for your defense. He kept the \$1,000.00 fee, and Yocum paid Ewight Doss \$7,800. to hold a JURY TRIAL. After Doss was paid in cash, in full he talked to Steigmann, and refused to have a JURY TRIAL.

12

-2-

Mr. Yocum was forced into Court without any Due Process, or defense of any type. He was given 2 to 6 years for a CRIME THAT NEVER TOOK PLACE, AND WOULD NEVER BE PROVEN IN COURT BY VALID EVIDENCE, AND THAT WAS THE REASON STEIGMANN WANTED TO CONVICT AS MANY AS POSSIBLE, AS HE WAS UP TO BE JUDGE IN A FEW WEEKS. The Court Records are EVIDENCE THAT THE THREAT WAS MADE ON YOCUM, AND STEIGMANN DID ADMIT HE MADE THE THREAT, AND THE WITNESSES DID LIE IN AN AFFIDAVIT, AND UNDER OATH BEFORE JUDGE, ROGER LITTLE. The EVIDENCE is Clear, that Alan J. Dixon was the RING LEADER OF ORGANIZED CRIME IN ILLINOIS, and The Atty. Gen. Office (WM J. SCOTT) and Champaign County States Attorney filed BRIEFS that had over NINETY (90) lies in each of them. The Springfield, IL Appellate and Supreme Courts Ruled in FAVOR OF THE LIES, AND DENIED THE TRUTH BY YOCUM. THE VIOLATIONS are Cited in Title 18 U.S.C. as mail fraud, extortion, false statements, armed robbery, kidnapping, terrorism, and RICO CONDUCT IN GENERAL. In 1979 ALAN J. DIXON made an affidavit to the Springfield, MO USDC Court to TRY AND HAVE YOCUM MURDERED BY DRUGS IN THE USFMC PRISON. The Court and BOP Records prove three doctors who did not test YOCUM after he invoked the Fifth Amendment, but they went to Court about the next day after Yocum filed a Civil Action, and they each, KNOWINGLY LIED, stating that each found Yocum to be INCOMPETENT, AND MOVED THE COURT TO DISMISS ALL ACTIONS AGAINST MR. YOCUM, WHY? Because Senator, Kennedy told WARDEN RALSTON TO ARRANGE TO GET YOCUM OUT OF THAT PRISON. Alan J. Dixon has used the Courts of Illinois, City Police, his Illinois State Police and Lawyers to do his dirty tricks, as if he had the BOOK OF DIRTY TRICKS BY THE CIA & FBI, to use. Every time Yocum tried to CLEAR UP THE GOVERNMENT FRAUD AGAINST HIM, The law officials would kidnapp him and pick new charges to attempt to put him away, so they would not be investigated for such felony acts the Records show them guilty of. I feel sure the US ATTY. GEN. and OTHERS refuse to investigate them, is that Yocum is HONEST WITH HIS COMPLAINTS. NOTE: Before Judge, Mikh, the Carol Clinic doctor, his reports have helped the Courts win, about 60% of the times, they use him. His reports are made to the form necessary, for the Courts needs, to put an innocent party away. I feel I am to have one million from each of these four doctors who lie under oath, for the Court's needs. Plus, the reports to be destroyed.

6. The Court file under Judge Mikh, is proof that the Court was without jurisdiction - authority, and FAILED TO PROVE INMAN MADE A DOWN PAYMENT TO YOCUM, THUS, MEANING THERE WAS NEVER "PROBABLE CAUSE". The arrest, in fact, was KIDNAPPING. IT WAS TERRORISM FROM 1982 in Nov. until March 1989.

WHEREFORE, the premises considered, the plaintiff demands judgment against the defendants, and pursuant to 28 U.S.C. 1361, Mikh be Ordered to set aside the conviction Order, and Order Yocum's civil action entered that Judge, Waldo Ackerman sent Mikh to take Care of in case No. 85-3036-CV, and for this Court, Order the Parole Officer (JANE THOMASON) to release Yocum INSTANTLY. If this Court wishes to Grant Yocum a Demanded Trial by Jury, he would ask the Jury to Rule on Case Law in his favor.

RESPECTFULLY REQUESTED,

Eldon L. Yocum
 ELDON L. YOCUM, Plaintiff

R # 1 Box 209A
 Waynesville, MO 65583
 (314) 774-5476

Dated Feb. 23, 1989

ALL INFORMATION CONTAINED
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DATE 12-18-2014 BY C66W46B11

A F F I D A V I T
§ 522 (a) (4) (B)

TO: NOTICE TO DISTRICT OF COLUMBIA, US ATTORNEY, & US ATTORNEY
GENERAL.

The Central District of Illinois did not have EVIDENCE FOR PROBABLE
CAUSE FOR CASE NO. 82-20054. The Court did not have EVIDENCE that
Daniel R. Inman had NOT DEFAULTED THE YOCUM CONTRACTS. THE COURT
USED FALSE STATEMENT TO THE Grand Jury, and again to the Trial Jury.
The Transcript is proof the Government and Court DIDNOT GO FORWARD
WITH EVIDENCE TO SHOW E.L. YOCUM GUILTY OF ANY WRONG DOING. This
pattern was the same issues in the 1958, 1973, 1979 and 1982 acts.
The PAROLE OFFICER used the same UNLAWFUL LIES, to violate Title 18
U.S.C. § 1001, § 1201, § 1203, and Mail Fraud started in Peoria, IL
and was completed in Washington, as the Fraud Reports from Judge
Mihm went to the Offices of the F.B.I. & B.O.P. AND TO THE Fed.
Prisons, to cause Yocum as much damages as possible.
THEREFORE, Plaintiff Demands the Court Order the Attorney General
that he is to INVESTIGATE CASE LISTED IN THE YEARS ABOVE, IN Vermilion
County, IL and Champaign County, IL, as the Court Records shall prove
Yocum INNOCENT IN EVERY ACTION AGAINST HIM, AS RICO CONDUCT AND
TERRORISM.

WHEREFORE, Plaintiff prays This Court shall rule on the truth
in evidence, and Grant him leave, and the right to Relief. Other
Relief known to the Court. OATH

I declare and state under penalty of perjury that the foregoing
is true and correct, Executed on Feb. 28, 1989.

Respectfully,

Eldon Lowell Yocum

Eldon Lowell Yocum, Plaintiff
Medical Bldg. 10-F . Box 4000
Springfield, MO 655808

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-18-2014 BY C66W46B11

W89-811

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF MISSOURI
SOUTHERN DIVISION

FILED

APR 6 1989

United States of America

Plaintiff,

v.

Case No. 89-2030-01-PC

Eldon Lowell Yocum

Defendant.

CLERK, U.S. DISTRICT COURT
DISTRICT OF COLUMBIA

A TRUE COPY
ATTEST:

JOHN M. WATERS, CLERK
BY:

Christy Taylor
DEPUTY CLERK
U.S. DISTRICT COURT
CENTRAL DISTRICT OF ILLINOIS

DATE: 10/6/94

COMPLAINT

(For Declaratory Judgment & Injunctive Relief)

1. This Court has jurisdiction pursuant to Title 28, Sec. 1332; § 522 (a) (4) (B) in D.C., in that there is true diversity of citizenship and the amount in controversy exceeds Ten Thousand Dollars, (\$10,000.00).

2. Plaintiff is really Mr. Yocum, a citizen of the U.S. and a resident of the State of Missouri. (Waynesville, Mo.)

3. The defendants in this case and 1979-80, are citizens of the United States and a resident of the District of Missouri, and the District of Columbia.

4. On January 13, 1989 at the home of Eldon Yocum near Waynesville, Mo. some armed men in numbers of twelve (12) or more, raided the home by criminal trespass, and terrorism from a parole officer who attempted to have Mr. Yocum murdered from her larger number of known lies given (Complaint No 6, has 36 lies alone) then with intent to poison there EVER minds, so they would shoot Yocum dead. The criminal procedure (18 U.S.C.A.) Rules tells that a Crime has to have been committed for a Probable Cause, Warrant to be signed BEFORE an arrest, is made by law. The Parole Officer had not personal knowledge, that the Peoria, Ill. judge used Due Process and legal evidence to convict Mr. Yocum. She had no personal knowledge, of who owned the house that Yocum Construction Co. has owned from 1965 to 1989. She has violated many rights, in 63 A Am. Jur. 2d Prohibition, § 23. "Generally..... possessing incidentally judicial powers, and known as quasi-judicial tribunals, and also in extreme cases to purely ministerial bodies when they usurp and attempt to exercise judicial functions. In other words, it is not requisite to the granting of the writ the tribunal whose action is to be arrested thereby shall actually, in name and in law, be endowed with definite judicial powers and functions, but only that it is attempting to

exercise such power or function in a particular case without lawful authority." Had Yocum had a legal defense attorney, he would have proved himself not guilty in the Courts after 1925. His witness had personal knowledge that the Ill. (P.S.I.) report was Government Fraud from a long list of false statements (18 U.S.C. § 1001, § 1621, and Perjury.

Wherefore, the premises considered, Mr. Yocum demands judgement against the Parole Officer, et al, in the amount shown in his Civil Action against the trial court in Peoria, Ill. from case law used from Dr. John Wild in Minn. in 1972, plus Terrorism Acts of Kidnapping and held illegally in a Seg. room per day, and \$1,000.00 per day for slave labor in Constitutional violations, or Defendant demands Trial by Jury, without written instructions to the Jury.

Respectfully

Eldon L. Yocum
 Eldon L. Yocum
 Box 4000
 Springfield, Mo. 65808

Case Law, and Exhibits pursuant to Civil Rule 10 (c) added for Courts answer to Show Cause in 1979-80 actions by this Court to affirm by _____ as follows:

1. Alan J. Dixon made an affidavit to this Court for an arrest warrant on the statements by his staff, that Mr. Yocum had a high powered, bolt action, rifle, with a scope. Also stated; Yocum may try to kill Mondale in Illinois.

2. The officers with the warrant knew the affidavit and statements to be perjury at the Yocum home, as he has never had a gun like that in the house, ever. Also, he was dressed in old work clothes, and unable to drive or fly to Springfield, Ill. to look for W.C. Mondale. The Marshall's and Court were of Federal Rules of evidence at Rule 602, 606 and 607, that is a Bar to a witness liar.

If Raymond Conroy, defense attorney, had been competent in his duty, he would of moved the Court to have dismissed the case for lack of jurisdiction, perjury, criminal trespass and armed robbery by the U.S. Marshalls, as Darlene Yocum was called to come pick-up the guns that had been stolen by the U.S. Marshalls, before she had a day off to drive to Springfield, where the stolen guns were held illegally by the Marshalls, she had a call that the Marshalls had refused to return them. I think they are in contempt of court and theft.

Yocum had evidence that the (3) U.S. Medical Center doctors did lie

to this Court under Oath, as Yocum has never been incompetent after 1925. Edward M. Kennedy believed Yocum to be both Competent, and innocent, and he directed Warden Reiston to arrange to get Yocum out of that Federal Prison. Richard Count sign the order at once, and had attorney Comstock be his defense attorney. Fourteen days later, Fulton State Hospital doctor, ruled Yocum as being Competent, after being subjected to eight months of Government Fraud by R.I.C.C. and Terrorism, after the armed robbery and theft of the costly guns, from the home of Darlene Yocum. I request, in the interest of justice, this Court impeach the Parole Officer Complaints by known lies, and murder attempt, and order Yocum released instantaneously from this Neg. Ward.

Sincerely,

Eldon L. Yocum
Eldon L. Yocum

Exhibits to Wash., D.C.
pursuant to Title 18, § for Reward in Terrorism Tip. (3/7/)

Lower. 4th andTrained6. How many you

5 Courts who failed to
prove Yocum guilty

d Doctors who are right 25%
and 75% wrong by U.S. Supreme
Court (3) Justices in 1980 in
Rarifast case and A.P.A. Reports

1. Vermilion County Circuit Court - Danville, Ill. (Crime by Tom Sandy)

2. Champaign County denied due process in 1972 failed in evidence.

3. " " " Death threats, Perjury, and RICO conduct
by Robert James Steigmann in Case No. 73X-750 & Child Abuse Case.

After Yocum filed Complaints against Govt. Fraud / Terrorism
Defamation Counts

1. 1973 Steigmann Ordered Yocum before doctors (Carl Clinic) 2. Ill

2. 1979 Alan J. Dixon - Sheldon - Kevin - ^{Perjury} ^{Perjury} ^{Perjury} ^④ Kaul - Fulton, Mo (State) 4. Mo

3. BOP at Joparkana Prison doctor on second floor. 1985 1. TX

4. BOP at Bastrop Prison doctor in hospital and Unit 2 bldg. 1986 2. TX

"Judge H.A. Baker & Michael M. Mihm" Ill.

5. 1983 twice in BOP doctor Leach - Ordered twice to Carl Clinic 4. Mo

6. 1987. Mo. before doctor Kaul and Leach 2. Mo

7. 1988 Cherry Chase, Mary Beath Kainwater and unknown doc 2. Mo

8. 1989. doctors: Leach and Kaul et al (BOP) 1. MO

Threats, Conspiracy & Attempts for Mental Prison & Defamation total 18.

Case Law damages for each Terrorism Act, \$16,128,000,000 + 10M 800,000.00

\$27,600,000 X 18 =

Plus: 21 U.S.C. § 881 and

Total \$496,500,000.00

Unlawful Imprisonment by Case Law, E. L. V. March 30, 1989

Богдан Павлович

d. Doctors who are right 25 %
and 75 % wrong, by U.S. Supreme
Court(3) justices in 1980 in
Rorofast Case and A.P.A. Reports.

Courts who failed to
prove Gocum guilty:

1. Vermilion County Circuit Court - Danville, Ill (Crime by the Mr. Sandy)
2. Champaign County denied due process in 1972 failed in evidence.
3. ¹¹ ¹² ¹³ Death threats, Perjury, and RICO conduct by Robert James Steigmann in Case No. 73X750 & Child Abuse Case.

after you've filed Complaints against Govt. Fraud / Terrorism
Defamation Counts

1. 1973 Steigmann Ordered a cocaine before doctors (Carl clinic) 2, TX
2. 1979 Alan J. Dixon - Sheldon - Kevin - ^{Perry Perry Perry} Taub - Fulton, Mo. (State) 4, MO
3. BOP at Texarkana Prison doctor on second floor. 1985 1, TX
4. BOP at Bastrop Prison doctor in hospital and Unit 2 Bldg. 1986 2, TX
5. 1983 Judge H.A. Baker & Michael M. Milum "Ill." 4, MO
6. 1987. Mo. before doctor Taub and Leach 2, MO
7. 1988 Cherry Chase, Mary Beath Kainwater and unknown den 2, MO
8. 1989. doctors: Leach and Taub et al (BOP) 1, MO
- Threats, Conspiracy & Attempts for Mental Prison & Defenition: total 18.
- Case Law Damages for each Terrorism Act. \$ 16,128,000 + 10M 800,000. ¹⁰

Plus: 21 U.S.C. § 881 and Unlawful Imprisonment Key Case Law, E. A. v. March 30, 1989

~~several, various~~Failed~~6 more names~~

4. Courts who failed to
prove Gocum guilty:

Doctors who are right 25%
and 75% wrong by U.S. Supreme
Court (3) justices in 1980 in
Renofoot Case and A.P.A. Reports.

1. Vermilion County Circuit Court - Danville, Ill. (Crime by T.M. Soudy)

2. Champaign County. Denied Due Process in 1972 failed in evidence.

3. " " " Death threats, Perjury, and RICO Conduct
by Robert James Steigmann in Case No. 73X 750 & Child Abuse Case.

After Gocum filed Complaints against Govt. Fraud / Terrorism
Defamation Counts

1. 1973 Steigmann Ordered Gocum before doctor (Earl clinic) 2, IL

2. 1979 Alan J. Dixon - Sheldon - Kevin - Bub - Fulton, Mo. (State) 4, MO
Perjury, Perjury, Perjury

3. BOP at Joparkana Prison doctor on second floor. 1985 1, TX

4. BOP at Bastrop Prison doctor in hospital and Unit 2 Bldg. 1986 2, TX

"Judge H.A. Baker & Michael M. Mihm" Ill.

5. 1983 twice in BOP doctor Leach - Ordered twice to Earl clinic 4, MO

6. 1987. Mo. before doctor Taub and Leach 2, MO

7. 1988 Cherry Chase, Mary Beth Rainwater and unknown doc. 2, MO

8. 1989. doctors: Leach and Taub et al (BOP) 1, MO

Threats, Conspiracy & Attempts for Mental Prison & Defamation total 18.
Case Law Damages for each Terrorism Act, \$16,128,000,000 + 10M 800,000.00

\$27,600,000 X 18 =

Plus: 21 U.S.C. § 881 and Total \$496,800,000.00

Unlawful Imprisonment by Case Law, E. L. of March 30, 1989

Eldon L. Yocum
Box 4000
Springfield, MD 65808

Bill L. Loyd, Atty.
205 W. Walnut
Springfield, MD

March 30, 1987

Re: First, Criminal case files,
Second, Civil Cases won by
the Written Laws.

Dear Sirs:

I am in need of Court papers from the Court here,
Peoria, Illinois, Danville, Ill, and State Court in Urbana, Ill.

In the City here, I need the Court Records from Nov. 1979 to
July 1980 (79-2074) I think the case number is. Also Greene County
Probate Court in June 1980, and the Case no. of "my Civil action."

Most important, is the Affidavit signed by Alan J. Dixon,
and each Federal Agent who (so called) arrested or kidnapped me.

In U.S.D.C. Peoria, Ill., Case no. 82-20054, I want the evidence
used before both, Grand-Jury and the written instructions
to the Trial Jury. The transcript will prove the Govt. & Court
failed to go forward with evidence to prove Mr. Yocum
guilty of "my Wrong Doing." Brief by Atty. Bruce Bornstein
shall affirm this, also. Judge Michael M. Mishin took
my Sales Agreement from me in Court, so I was unable
to prove Shuman had a claim, only until the downpayment
was demanded, at that point Shuman defaulted his contract.

.2.

The Court had to prove Daniel R. Kruman did make a downpayment, and he DID NOT do so after 1966 when he moved in to the Yocum property. Next, is the F.S.I. report that came from the Danville, Ill. Division Court House. My wife is an eye witness, with personal knowledge that I had no Due Process, Defense, and no evidence was ever shown for Probable Cause or a conviction on March 22, '84. The F.S.I. was not given to Mr. Yocum to read, and his wife read about 5 minutes, to learn it contained many false statements.

In Springfield, Illinois I need all Records from the Sec. of State Office made between Alan J. Dixon against Mr. Yocum from 1977 to Dec. 1979, as he sent his Affidavit here in this Court in Nov. 1979. He used lies, and revoked my Commercial Driving Right at the time, I had a safety Driving Record for over 36 years, plus 38 States I drove in for Ryder.

Urbana, Ill, Sixth Judicial Circuit Court, files from Jan. 1972 until March 1989. In Case No. 73X750 Judge, Robert James Steigmann, made a Death Threat on the life of Mr. Yocum to attempt to stop his Jury trial demands. He also, made an Affidavit, stated he "Did not" make the threat," and one of his witnesses signed one. In 1975 Mr. Yocum had Post Conviction hearing. He proved the threat and lies on there, plus many others made lies in the Appeals to the Appellate & Supreme Courts. Champaign County State City.

I want to pay for this much, and later have you do more.
 Danville, Eldon L. Yocum

FD-263 (Rev. 4-30-85)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE CHICAGO	OFFICE OF ORIGIN BUREAU	DATE 10/06/94	INVESTIGATIVE PERIOD 10/06/94
TITLE OF CASE ALAN JOHN DIXON		REPORT MADE BY SA 	TYPED BY: cld
		CHARACTER OF CASE SPIN	

b6
b7C

REFERENCE: Springfield and St. Louis teletypes to Chicago dated 10/05/94.

- RUC -

ADMINISTRATIVE:

Where appropriate, Privacy Act (E) (3) data was furnished to persons interviewed. Express promises of confidentiality, both limited and unlimited, have been noted where granted.

Chicago general indices reveal no derogatory information on the appointee.

APPROVED <i>WDB/BJ</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: ② - BUREAU (161B-HQ-1080074) ATTN: PSS Room 4371 1 - CHICAGO (161B-HQ-1080007) CC DESTROYED					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency					<i>3/12.3.</i>
Request Recd.					
Date Fwd.					
How Fwd.					
By					

b6
b7C

FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: SA [REDACTED]
Date: October 6, 1994

Office: CHICAGO

Field Office File #: 161B-HQ-1080007

Bureau File #: 161B-HQ-1080007

Title: ALAN JOHN DIXON

Character: SPECIAL INQUIRY

b6
b7C

Synopsis: Verified former office in Chicago, Illinois. Verified membership on Board of Directors at HEREIU Pension Fund, MID CITY Bank and NATIONAL FUTURES ASSOCIATION. [REDACTED] as well as former [REDACTED] and [REDACTED] all recommend.

- RUC -

DETAILS:

EMPLOYMENT

UNITED STATES SENATE
1984-1992

On October 6, 1994, [redacted] Mayor RICHARD DALEY'S OFFICE, 121 West Washington, Street, Chicago, Illinois, (312) 744-2728, advised that she was the [redacted] when he maintained an office at 230 S. Dearborn, Chicago, Illinois [redacted] [redacted] stated that she has know the appointee since 1984 and characterized their relationship as professional [redacted] had only positive comment to make regarding the appointee.

b6
b7C

[redacted] characterized the candidate as a fine, upstanding man, whom she has always known to be honest and trustworthy. [redacted] stated that the candidate is a very intelligent and compassionate man who is easy to get along with and she added that the candidate was always an extremely hard worker who put in many long hours.

b6
b7C

[redacted] stated that the candidate enjoys a good reputation and is admired and respected by those who know him. [redacted] only knows the candidate to associate with people of good reputation and she has never known the candidate to be affiliated in any way with questionable individuals.

b6
b7C

[redacted] stated that the candidate is an emotionally stable man who leads his life in an exemplary fashion. [redacted] stated that the candidate has no financial problems and lived within his means. [redacted] has never known the candidate to be in any trouble, either personally or professionally.

b6
b7C

[redacted] knows of nothing in the candidate's background that would be embarrassing to the government or that could be used against him, and she does not believe that he is concealing anything that could have an adverse impact on his stability, reasoning or judgement.

[redacted] stated that the candidate does not abuse alcohol or prescription medication and to her knowledge, the candidate has never bought or sold illicit drugs.

b6
b7C

[redacted] believes the candidate holds no prejudices against any race, religion, age, sex or ethnic group, and she does not believe the candidate belongs to any discriminatory organizations. [redacted] stated that she has never known the candidate to take an extreme position on any issue.

[] believes the candidate will keep confidential any information with which he may come into contact, as he is mature and discreet. [] has never known the candidate to belong to any group or to support any ideology subversive to the United STATES Government. [] stated that the candidate is loyal to the UNITED STATES, and a strong supporter of the Constitution.

b6
b7c

[] highly recommended the candidate for a position of trust and confidence with the United States Government, and makes this recommendation based on his good character, intelligence and many positive experiences with him over the eight years that they worked together.

161B-HQ-1080007

MEMBERSHIP ON BOARDS

HOTEL EMPLOYEES AND
RESTAURANT EMPLOYEES
WELFARE AND PENSION FUND, (HEREIU)

On October 6, 1994, [REDACTED] HEREIU WELFARE PENSION FUND, 40 Shuman Blvd., Naperville, Illinois, (708) 355-0958, verified that the appointee has been on the Board Of Trustees of the Pension Fund since 9/23/93. [REDACTED] added that this is an unsalaried position. [REDACTED] stated that there is on one else available to discuss the appointee at this time.

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b7C

MID CITY BANK
303 EAST WACKER DRIVE
CHICAGO, ILLINOIS

On October 6, 1994, [REDACTED] advised and verified that the appointee has been on the Board of Directors of the bank since 2/11/93. [REDACTED] added that this is a salaried position insofar as [REDACTED] meeting. [REDACTED] stated that he has know the appointee for over thirty-five (35) years and considers himself to be a close personal friend. [REDACTED] has only the highest praise and admiration for the appointee.

b6
b7C

[REDACTED] stated that he appointee's character, reputation, morals and integrity are all of the highest caliber. He added that he appointee is well liked and respected within their circle of friends and by other business associates. He stated that he has met several of the appointee's associates over the years, and has found them to be fine and decent people in their own right. He added that he has never heard anything of a derogatory nature concerning the appointee, and does not believe that he would conceal anything that could have a negative impact on his service to the Government.

b6
b7C

[REDACTED] stated that the appointee is a good family man who is financially responsible and lives his life in an exemplary fashion. He added that the appointee does not abuse alcohol, prescription medication nor does he use, buy or sell illegal drugs, now or in the past. He added that the appointee does not harbor biases of any kind, nor does he belong to any exclusive organizations. [REDACTED] stated that he appointee is a truly loyal American who is a strong supporter of the Constitution.

b6
b7C

[REDACTED] stated that he has no reservation about the appointee having access to classified material, as he has been entrusted with confidential material concerning the Bank's business and has never been careless with that trust.

b6
b7C

161B-HQ-1080007

[] highly recommended the appointee for a position of trust and confidence with the United States Government, and makes this recommendation based on his many positive experiences with him over the past thirty-five years.

b6
b7C

NATIONAL FUTURES ASSOCIATION
200 WEST MADISON
CHICAGO, ILLINOIS

ON October 6, 1994, []

[] advised that the appointee has sat on the Board of Directors of the captioned association since 2/10/92. [] stated that he has known the appointee for twelve years, and characterized their relationship as professional. [] stated that he personally sought out the appointee to sit on the Board because of his vast knowledge of the Futures industry. [] stated that he believed that the appointee would make a significant contribution to the Board, and he added that he has not been disappointed with the appointee's performance.

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b7C

[] described that appointee as a highly capable and intelligent man who has a superior understanding of the economics and legalities of the Futures trade. [] stated that the appointee has an excellent reputation among his peers and associates, and he has never heard anything derogatory about him. He added that he personally views the appointee as a man of impeccable character, morals, integrity and trustworthiness.

b6
b7C

[] stated that the appointee chooses his associates with good judgement and appears to live his life in a manner that is exemplary to others.

[] stated that to his knowledge, the appointee does not abuse alcohol, prescription medication, or use, buy or sell illegal drugs. He added that the appointee appears to be financially responsible and live within his means. He added that the appointee is keenly aware of the rights of others and does not appear to harbor biases of any kind. [] added that the appointee is cautious and responsible with privileged information. He is unaware of anything about the appointee that could be used to embarrass the Government or coerce the appointee.

b6
b7C

[] stated that he never questioned the appointee's loyalty to the United States, and he highly recommended him for a position of trust and confidence with the United States Government. [] makes this recommendation based on his good character and obvious abilities.

b6
b7C

REFERENCE:

FORMER ILLINOIS

ELECTED OFFICIALS:

On October 6, 1994, [redacted] STATE OF ILLINOIS, phone number [redacted] advised that he met the appointee approximately twenty-five (25) years ago when [redacted] and the appointee was the Secretary of State. [redacted] characterized their relationship as that of good friends as well as professional associates and he had only positive comments to make about the appointee.

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[redacted] characterized the appointee as a fine, upstanding man whom he has always known to be impeccably honest and forthright. [redacted] stated, "His word is good, and in politics that is a rarity". [redacted] added that he has always known the appointee to be honest and trustworthy. [redacted] stated that the appointee is a very intelligent individual who worked excessively hard in the U.S. Senate and always held the best interest of the people of the state of Illinois at heart. [redacted] added that the appointee is highly personable, easy to get along with and is held in very high regard by those that meet him.

b6
b7C

[redacted] stated that the appointee enjoys an excellent reputation among his peers, and is admired and respected by those who know him. [redacted] only knows the appointee to associate with people of good reputation and he has never known the appointee to be affiliated in any way with questionable individuals.

b6
b7C

[redacted] stated that the appointee is an emotionally stable individual who lives his life in an exemplary fashion. [redacted] has found the appointee to be financially responsible and always able to live within his means. [redacted] has never known the appointee to be in any trouble, either personally or professionally. [redacted] has never known the appointee to be involved in any form of litigation and [redacted] knows of nothing in the appointee's background that would be embarrassing to the Government or that could be used against the appointee. [redacted] added that he does not believe that the appointee would conceal anything in his background that could have an adverse impact on his ability to serve the Government to the best of his ability.

b6
b7C

[redacted] stated that he has never known the appointee to abuse alcohol or prescription medication and [redacted] stated that he does not believe the appointee has ever used, bought or sold illicit drugs. [redacted] believes the appointee holds no prejudices against any race, religion, age, sex or ethnic group, and he does not believe the appointee belongs to any discriminatory organizations. [redacted] stated that he has never known the appointee to take an extreme position on any issue.

b6
b7C

161B-HQ-1080007

[] has no reservations concerning the appointee having access to classified material. [] has never known the appointee to belong to any group or to support any ideology subversive to the United States Government, and [] stated that the appointee is a loyal and patriotic American.

[] highly recommended the appointee for a position of trust and confidence with the United States Government, and makes this recommendation based on the appointee's outstanding reputation as a United States Senator.

b6
b7c

On October 6, 1994, [redacted]
[redacted] from the STATE OF ILLINOIS, advised that he has known the appointee as a personal friend and professional associates for approximately twenty-five (25) years and considers himself to be a good friend of the appointee. [redacted] stated that the appointee is very highly thought of by himself and others in the political arenas in Chicago, the State of Illinois and on Capital Hill as well. [redacted] had only positive comments to make regarding the appointee.

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[redacted] described the appointee as an outstanding U.S. Senator, who is very bright, hardworking, energetic and easy to get along with. He added that one of the appointee's strengths was his ability to work very well with "all kinds of people". [redacted] views both the appointee and his work as "terrific". He stated that his verbal skills, ability to analyze problems as well as his writing abilities are "superb". He stated the the appointee is a pleasure to work with. He added that he has observed him in political settings and views his style as "excellent". [redacted] stated that the appointee possesses excellent managerial and leadership qualities, and he added that he frequently hears positive comments from other legislators concerning the appointee.

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[redacted] has never heard anything derogatory about him, and according to [redacted] his reputation, integrity and character are all above reproach. He added that he does not believe that the appointee would conceal anything in his personal life that could have an adverse impact on his ability to serve the Government to the best of his ability.

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[redacted] described the appointee as a very mature man, who did not use, buy or sell illegal drugs, or abuse alcohol or prescription medication. He added that as far as he could see, the appointee has always lived within his means and leads an exemplary life-style. [redacted] added that he knows of no derogatory information concerning the appointee that could be used to compromise him or embarrass the Government.

b6
b7C

[redacted] stated that the appointee uses good judgement concerning his choice of associates. [redacted] has no knowledge of the appointee's involvement with any exclusive club or membership in any organization that restricts membership.

b6
b7C

[] added that the appointee has never done or said anything indicating that he harbors prejudices of any kind, and he added that the appointee has a keen sense of fairness and is "truly humanitarian in his views of others". [] stated that he has no reservations about the appointee having access to classified materials, as he is very mature, responsible and discreet. He added that the appointee always impressed him as a loyal and patriotic American.

b6
b7c

[] highly recommended the appointee for a position of trust and confidence with the UNITED STATES Government, and makes this recommendation based on the appointee's good character, reputation, capabilities and ability to do an excellent job at whatever task he is assigned.

b6
b7c

REFERENCE:

On October 6, 1994, [REDACTED] CITY OF CHICAGO, [REDACTED] advised that he has known the appointee since they both worked in State Government in 1970.

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[REDACTED] added that [REDACTED] with the appointee. [REDACTED] described their relationship as that of friends as well as professional associates.

[REDACTED] stated that he worked with the appointee many times over their years in politics, and has always held him in very high regard as a truly fine legislator. [REDACTED] stated that the appointee is very comfortable in the political arena and, "loves to work for the people". He added that one of the appointee's strengths is that he is very intelligent and articulate and is able to relate well to all levels of people without ever appearing arrogant or condescending. He stated that he was very effective in the Senate, always well prepared and always bearing in mind what was best for the people of Illinois. [REDACTED] stated that the appointee was well thought of by the his constituency and colleagues alike.

b6
b7C

[REDACTED] stated that the appointee's character, reputation and integrity are all above reproach. He added that he is admired and respected by those who know and work with him and he has always enjoyed an excellent reputation among their circle of friends as well as in local and state politics. He added that he uses good judgement concerning his choice of associates and leads an exemplary lifestyle. He added that the appointee drinks alcohol in moderation and he had never seen him intoxicated. [REDACTED] highly doubted that the appointee abuses prescription medication, and to his knowledge he does not use, buy or sell illegal drugs.

b6
b7C

[REDACTED] added that the appointee never exhibited prejudices of any kind, is financially responsible and is emotionally stable. [REDACTED] added that the appointee has a pleasing personality and is easy to get along with. He added that he knows of nothing in the appointee's background that could be used to compromise him or embarrass the Government.

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b7C

[REDACTED] stated that he has no knowledge of the appointee's membership in any exclusive clubs or organizations, and he stated that as far as he knows, the appointee is a loyal American.

b6
b7C

[REDACTED] highly recommended the appointee for a position of trust and confidence with the UNITED STATES Government and [REDACTED] makes this recommendation based on the appointee's obvious abilities, excellent work ethic and ability to do an outstanding job at whatever task he is assigned.

b6
b7C

RECEIVED
TELETYPE UNIT
6 OCT 94 02 07Z
FEDERAL BUREAU
OF INVESTIGATION

Dep. Dir.	
Asst. Dir.:	
Adm. Serv.	
Crim. Inv.	
Ident.	
Intell.	
Lab.	
Legal Coun.	
Off. Cong. & Public Aff.	
Rec. Mgmt.	
Tech. Serv.	
Training	
Off. of Public Aff.	
Telephone Rm.	
Director's Sec'y	

4371

0012 MRI 00046

PP RUCNFB

DE FBILA #0002 2790104

ZNR UUUUU

P 060049Z OCT 94

FM FBI LOS ANGELES (161B-HQ-1080007) (RUC)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

CITE: //3410:0642:AP-1//

PASS: PSS [REDACTED] SPIN UNIT, ROOM 4371.

SUBJECT: ALAN JOHN DIXON; SPIN; OO: FBIHQ; BUDED 10/6/94 W/O
FAIL.

RE DIRECTOR AIRTEL TO CHICAGO, 10/4/94, REQUESTING LOS
ANGELES VERIFY DUI CONVICTION OF APPLICANT IN 1978 IN PALM
SPRINGS, CALIFORNIA.

ON 10/4/94, CONTACT AT MUNICIPAL COURT, PALM SPRINGS,
CALIFORNIA, DETERMINED THAT ALL CRIMINAL FILES HAVE BEEN MOVED
TO THE INDIO, CALIFORNIA, COURTHOUSE AS OF JANUARY, 1993.

ON 10/4/94, [REDACTED] MUNICIPAL

b6
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b6
b7C

CC DESTROYED

PAGE TWO DE FBTLA 0002 UNCLAS

AND SUPERIOR COURT, INDIO, CALIFORNIA, WAS CONTACTED AND

ADVISED SHE IS UNABLE TO VERIFY ABOVE CONVICTION. [REDACTED]

STATED THAT 1978 MISDEMEANOR CONVICTIONS HAVE BEEN REDUCED TO
MICROFILM WHICH IS PURGED AFTER EIGHT YEARS. [REDACTED] ADVISED
THAT ABOVE RECORDS WOULD HAVE BEEN DESTROYED IN 1986.

ON 10/5/94, [REDACTED]

PALM

SPRINGS POLICE DEPARTMENT (PSPD), ADVISED THAT IN 1986, THE
PSPD DESTROYED ALL FILES OLDER THAN FIVE YEARS WITH THE
EXCEPTION OF CASES DEALING WITH SERIOUS FELONIES. THEREFORE,

[REDACTED] IS UNABLE TO RETRIEVE ANY CASE FILE WHICH WOULD
REFLECT THE APPLICANT'S DUI ARREST IN 1978.

AS ALL REQUESTED INVESTIGATION HAS BEEN COMPLETED, CASE
IS RUC'D AT LOS ANGELES.

BT

#0002

NNNN

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b7c

RECEIVED
TELETYPE UNIT
5 OCT 94 18 02Z
FEDERAL BUREAU
OF INVESTIGATION

Dep. Dir.	
ADD Adm.	
ADD Inv.	
Asst. Dir.:	
Adm. Servs.	
Crim. Inv.	
Ident.	
Info. Mgmt.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Tech. Servs.	
Training	
Cong. Affs. Off.	
Off. of EEO	
Off. Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

0068 MRI 01241

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DE FBICG #0003 2781800

ZNR UUUUU

O 051800Z OCT 94

FM FBI CHICAGO (161B-HQ-108007) (RUC)

TO DIRECTOR FBI (161B-HQ-108007)/IMMEDIATE/

BT

UNCLAS

CITE: //3150:SQ12//

PASS: PSS [REDACTED] SPIN UNIT, FBIHQ, ROOM 4371.

SUBJECT: ALAN JOHN DIXON; SPIN; OO: FBIHQ; BUDED COB OCTOBER
6, 1994 WDF.

RE BUFACSIMILE DATED OCTOBER 4, 1994.

CHICAGO GENERAL INDICES/FOIMS CONTAINED NO IDENTIFIABLE
INFORMATION RE [REDACTED]

CHICAGO CONDUCTING NO FURTHER INVESTIGATION.

BT

#0003

NNNN

CC DESTROYED

FD-263 (Rev. 4-30-85)

FEDERAL BUREAU OF INVESTIGATION

REPORTING OFFICE KANSAS CITY	OFFICE OF ORIGIN BUREAU	DATE 10/5/94	INVESTIGATIVE PERIOD 10/5/94
TITLE OF CASE ALAN JOHN DIXON		REPORT MADE BY SA [REDACTED]	TYPED BY: cjd
		CHARACTER OF CASE SPIN	

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b7C

REFERENCES: Director airtel to CG, etal, dated 10/4/94 and SL teletype to Director, dated 10/5/94.

-RUC-

ADMINISTRATIVE:

Kansas City FOIMS and general indices negative re candidate.

"All persons interviewed were furnished the appropriate provisions of the Privacy Act. Express promises of confidentiality have not been granted."

WmC

APPROVED <i>DKP/pu</i>	SPECIAL AGENT IN CHARGE	DO NOT WRITE IN SPACES BELOW			
COPIES MADE: ② Bureau (Attn: PSS [REDACTED]) SPIN UNIT, RM 4371) 1 - Kansas City (161B-HQ-1080007)					
CC DESTROYED <i>WmC</i>					
DISSEMINATION RECORD OF ATTACHED REPORT		Notations			
Agency					
Request Recd.					
Date Fwd.					
How Fwd.					
By					

b6
b7C

3/22

FD-204 (Rev. 3-3-59)

UNITED STATES DEPARTMENT OF JUSTICE
Federal Bureau of Investigation

Copy to:

Report of: SA [REDACTED] Office: KANSAS CITY
Date: October 5, 1994
Field Office File #: 161B-HQ-1080007 Bureau File #:
Title: ALAN JOHN DIXON

b6
b7c

Character: SPIN - PRESIDENTIAL APPOINTEE

Synopsis: MISSOURI BAR ASSOCIATION records indicate candidate is currently a member in good standing. However, candidate allowed his MISSOURI BAR ASSOCIATION membership to lapse for the period 1980 - 1993. Candidate re-admitted on March 23, 1993. No complaints or grievances were located regarding candidate.

-RUC-

DETAILS

161B-HQ-1080007

PHF:phf

The following investigation was conducted by SA [REDACTED]
[REDACTED] at Jefferson City, Missouri:

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b7C

MISSOURI BAR ASSOCIATION

On October 5, 1994, [REDACTED] Missouri Bar Association, Disciplinary Office, 3355 American Boulevard, Jefferson City, Mo., made available for review their file for ALAN JOHN DIXON.

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b7C

A review of DIXON's file, which did not reflect his date of birth, but listed his social security number as 361-18-3388, revealed he was admitted to the Missouri Bar on December 10, 1949, and also admitted to practice law before the Missouri Supreme Court on that date. The file did not reflect his employer at the time of his application. The file also did not reflect the law school from which DIXON received his law degree.

DIXON's file reflects he is currently a member in good standing with the Missouri Bar Association. However, DIXON allowed his Missouri Bar Association membership to lapse for the period 1980 to 1993. DIXON was re-admitted on March 23, 1993. He listed the reason he allowed his membership to lapse was because he was not practicing law in the state of Missouri during the period 1980 - 1993.

No derogatory information was located in the files of the Missouri Bar Association concerning DIXON, and no complaints or grievances were located. The file reflected a current address for DIXON as being 7535 Claymont, Belleville, Illinois. The file did not reflect DIXON's current employer.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-18-2014 BY C66W46B11



FEDERAL BUREAU OF INVESTIGATION
LOS ANGELES FIELD OFFICE
RIVERSIDE RESIDENT AGENCY
P O BOX 20317

RIVERSIDE, CA 92516

TELEPHONE (909) 686-0335 FASCIMILE (909) 686-0595

CLASSIFICATION:

- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

PRECEDENCE:

- ☐ IMMEDIATE
- ☐ PRIORITY
- ☐ ROUTINE

TO: FBI HQ

FAX# 202-324-2574

ATTENTION: PSS

Spin Unit
Room 4371

FROM: RIVERSIDE RA

RE: Correction of name: ALAN JOHN Dixon

Spin; OO: HQ

DATE: 10/5/94

TOTAL PAGES SENT: 3

APPROVED: _____

INITIALS OF SENDER: ps

b6
b7C

FD-36 (Rev. 8-29-85)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-18-2014 BY C66W46B11

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ AIRTEL

PRECEDENCE:

☐ Immediate
☒ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 10/5/94

FM FBI LOS ANGELES (161B-HQ-1080007) (RUC)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

CITE: //3410:0642:AP-1//

PASS: PSS SPIN UNIT, ROOM 4371.b6
b7C

SUBJECT: ALAN JOHN DIXON; SPIN; OO: FBIHQ; BUDED 10/6/94 W/O
FAIL.

RE DIRECTOR AIRTEL TO CHICAGO, 10/4/94, REQUESTING LOS
ANGELES VERIFY DUI CONVICTION OF APPLICANT IN 1978 IN PALM
SPRINGS, CALIFORNIA.

ON 10/4/94, CONTACT AT MUNICIPAL COURT, PALM SPRINGS,
CALIFORNIA, DETERMINED THAT ALL CRIMINAL FILES HAVE BEEN MOVED
TO THE INDIO, CALIFORNIA, COURTHOUSE AS OF JANUARY, 1993.

ON 10/4/94, MUNICIPAL
AND SUPERIOR COURT, INDIO, CALIFORNIA, WAS CONTACTED AND

b6
b7CJAS/NO
Approved: CP/0258 Original filename: _____

Time Received: _____ Telprep filename: _____

MRI/JULIAN DATE: _____ ISN: _____

FOX DATE & TIME OF ACCEPTANCE: _____

^PAGE 2 (161B-HQ-1080007) (UNCLAS)

ADVISED SHE IS UNABLE TO VERIFY ABOVE CONVICTION. [REDACTED]

b6
b7C

STATED THAT 1978 MISDEMEANOR CONVICTIONS HAVE BEEN REDUCED TO MICROFILM WHICH IS PURGED AFTER EIGHT YEARS. [REDACTED] ADVISED THAT ABOVE RECORDS WOULD HAVE BEEN DESTROYED IN 1986.

ON 10/5/94 [REDACTED]

PALM

b6
b7C

SPRINGS POLICE DEPARTMENT (PSPD), ADVISED THAT IN 1986, THE PSPD DESTROYED ALL FILES OLDER THAN FIVE YEARS WITH THE EXCEPTION OF CASES DEALING WITH SERIOUS FELONIES. THEREFORE, [REDACTED] IS UNABLE TO RETRIEVE ANY CASE FILE WHICH WOULD REFLECT THE APPLICANT'S DUI ARREST IN 1978.

AS ALL REQUESTED INVESTIGATION HAS BEEN COMPLETED, CASE IS RUC'D AT LOS ANGELES.

BT

FD-36 (Rev. 8-29-85)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-18-2014 BY C66W46B11

FBI

TRANSMIT VIA:

☒ Teletype
☐ Facsimile
☐ AIRTEL

PRECEDENCE:

☐ Immediate
☒ Priority
☐ Routine

CLASSIFICATION:

☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☒ UNCLAS

Date 10/5/94

FM FBI LOS ANGELES (161B-HQ-1080007) (RUC)

TO DIRECTOR FBI/PRIORITY/

BT

UNCLAS

CITE: //3410:0642:AP-1//

PASS: PSS [REDACTED] SPIN UNIT, ROOM 4371.

SUBJECT: JOHN DIXON; SPIN; OO: FBIHQ; BUDED 10/6/94 W/O FAIL.

RE DIRECTOR AIRTEL TO CHICAGO, 10/4/94, REQUESTING LOS ANGELES VERIFY DUI CONVICTION OF APPLICANT IN 1978 IN PALM SPRINGS, CALIFORNIA.

ON 10/4/94, CONTACT AT MUNICIPAL COURT, PALM SPRINGS, CALIFORNIA, DETERMINED THAT ALL CRIMINAL FILES HAVE BEEN MOVED TO THE INDIO, CALIFORNIA, COURTHOUSE AS OF JANUARY, 1993.

ON 10/4/94, [REDACTED] MUNICIPAL AND SUPERIOR COURT, INDIO, CALIFORNIA, WAS CONTACTED AND ADVISED SHE IS UNABLE TO VERIFY ABOVE CONVICTION. [REDACTED]

b6
b7C

b6
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JAS/no

Approved: CS/PPF Original filename: _____

Time Received: _____ Telprep filename: _____

MRU/JULIAN DATE: _____ ISN: _____

FOX DATE & TIME OF ACCEPTANCE: _____

UCT-05-1994 14:11 FROM FBI RIVERSIDE

TO

12023242574 P.02

^PAGE 2 (161B-HQ-1080007) (UNCLAS)

STATED THAT 1978 MISDEMEANOR CONVICTIONS HAVE BEEN REDUCED TO MICROFILM WHICH IS PURGED AFTER EIGHT YEARS. [REDACTED] ADVISED THAT ABOVE RECORDS WOULD HAVE BEEN DESTROYED IN 1986.

ON 10/5/94, [REDACTED] PALM SPRINGS POLICE DEPARTMENT (PSPD), ADVISED THAT IN 1986, THE PSPD DESTROYED ALL FILES OLDER THAN FIVE YEARS WITH THE EXCEPTION OF CASES DEALING WITH SERIOUS FELONIES. THEREFORE, [REDACTED] IS UNABLE TO RETRIEVE ANY CASE FILE WHICH WOULD REFLECT THE APPLICANT'S DUI ARREST IN 1978.

AS ALL REQUESTED INVESTIGATION HAS BEEN COMPLETED, CASE IS RUC'D AT LOS ANGELES.

BT

b6
b7c

RECEIVED
TELETYPE

5 OCT 94 18

FEDERAL BUREAU
OF INVESTIGATION

Dep. Dir.	
ADD Adm.	
ADD Inv.	
Asst. Dir.:	
Adm. Servs.	
Crim. Inv.	
Ident.	
Info. Mgmt.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Tech. Servs.	
Training	
Cong. Affs. Off.	
Off. of EEO	
Off. Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

0064 MRI 01167

00 P12 FBICG FBIWMFO

DE FBISI #0001 2781655

ZNR UUUUU

O 051654Z OCT 94

FM FBI SPRINGFIELD (161B-HQ-1080007) (P)

TO DIRECTOR FBI (161B-HQ-1080007)/IMMEDIATE/

FBI CHICAGO/IMMEDIATE/

FBI WMFO/IMMEDIATE/

BT

UNCLAS

CITE: //3860//

PASS: HQ, PSS [REDACTED] SPIN UNIT, RM 4371, EXT 2568.

SUBJECT: ALAN JOHN DIXON; SPIN; OO: BUREAU; BUDED: COB
10/6/94 WITHOUT FAIL.

RE SI TELCAL TO PSS [REDACTED] 10/5/94; BUFAF TO CG, SI,
ET AL, 10/4/94, CAPTIONED AS ABOVE.

BUREAU HAS ADVISED NEED TO INTERVIEW FORMER HIGH-LEVEL
ILLINOIS OFFICIALS HOLDING OFFICE DURING PERIOD OF APPOINTEE'S
TENURE AS IL SECRETARY OF STATE (1/77-1/81) AND U.S. SENATOR

CC DESTROYED

b6
b7C

b6
b7C

PAGE TWO DE FBISI 0001 UNCLAS

(1/81-1/93) RE APPOINTEE'S SUITABILITY.

CHICAGO AT CHICAGO: LOCATE AND INTERVIEW [REDACTED]

WMFO: LOCATE AND INTERVIEW [REDACTED]

DIRECT RESULTS IN ACCORDANCE WITH RE BUFAX.

BT

#0001

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b7C

RECEIVED
TELETYPE UNIT

5 OCT 94 01 07Z

FEDERAL BUREAU
OF INVESTIGATION

Dep. Dir.	
Asst. Dir.:	
Adm. Serv.	
Crim. Inv.	
Ident.	
Info. Mgmt.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Tech. Servs.	
Training	
Off. of Cong. & Public Affs.	
Off. of EEO	
Off. of Liaison & Int. Affs.	
Off. of Public Affs.	
Telephone Rm.	
Director's Office	

0001 MRI 00009

00 P12 FBICG FBIKC FBISI FBIWMFO

DE FBISL #0001 2780011

ZNR UUUUU

O 050010Z OCT 94

FM FBI ST LOUIS (161B-HQ-1080007) (P)

TO DIRECTOR FBI/IMMEDIATE/

FBI CHICAGO/IMMEDIATE/

FBI KANSAS CITY/IMMEDIATE/

FBI SPRINGFIELD/IMMEDIATE/

FBI WMFO/IMMEDIATE/

BT

UNCLAS

CITE: //3730//

PASS: ATTN PSS [REDACTED] SPIN UNIT, ROOM 4371.

SUBJECT: ALAN JOHN DIXON; SPIN; BUDED COB 10/6/94 WITHOUT
FAIL; OO: FBIHQ.

REFERENCE BUREAU FACSIMILE TO ST. LOUIS ET AL, 10/4/94.

FOR INFORMATION OF RECEIVING OFFICES THE FOLLOWING
ADDITIONAL LEADS ARE BEING SET FORTH AS A RESULT OF CANDIDATE

CC DESTROYED

b6
b7c

PAGE TWO DE FBISL 0001 UNCLAS

INTERVIEW ON 10/4/94.

LEADS:

CHICAGO AT CHICAGO, ILLINOIS, VERIFY CANDIDATES POSITION
AS A MEMBER OF THE BOARD OF DIRECTORS FOR THE FOLLOWING
ORGANIZATIONS: HEREIU WELFARE-PENSION FUND, CONTACT [REDACTED]

[REDACTED] PH. (708) 355-0958, EXT. 271; MID-CITCO/MID CITY BANK,

CONTACT [REDACTED] PH. (312) 633-0300;

NATIONAL FUTURES ASSOCIATION, CONTACT [REDACTED] PH. (312)

781-1300; DOCTORS HOSPITAL, HYDE PARK, CONTACT [REDACTED]

[REDACTED] CONTACT [REDACTED]

[REDACTED] AT THE MAYOR'S OFFICE IN CHICAGO, PH. (312) 744-2728,

REGARDING HER KNOWLEDGE OF CANDIDATE WORKING OUT OF HIS

CHICAGO OFFICE WHILE WITH THE UNITED STATES SENATE. THE [REDACTED]

[REDACTED] AT THAT TIME WAS [REDACTED] WHO'S PRESENT

WHEREABOUTS ARE UNKNOWN.

KANSAS CITY AT JEFFERSON CITY, MISSOURI, CHECK MISSOURI
BAR ASSOCIATION FOR MEMBERSHIP/GRIEVANCES, NOTE REFERENCED
COMMUNICATION HAS ALREADY BEEN FAXED TO KANSAS CITY DIVISION
THIS DATE.

SPRINGFIELD, ILLINOIS AT FAIRVIEW HEIGHTS, ILLINOIS, RA,
CONDUCT SAME LEAD AS CHICAGO DIVISION FOR MARK TWAIN BANK,

b6
b7c

PAGE THREE DE FBISL 0001 UNCLAS

CONDUCT [REDACTED] CANDIDATE MAINTAINED
TWO ADDITIONAL OFFICES WHILE SERVING WITH THE U.S. SENATE AT
EAST ST. LOUIS, ILLINOIS CONTACT [REDACTED]
[REDACTED] AND MOUNT VERNON, ILLINOIS, CONTACT [REDACTED]
[REDACTED]

b6
b7C

SPRINGFIELD AT SPRINGFIELD, ILLINOIS, CANDIDATE
MAINTAINED AN OFFICE WHILE SERVING WITH THE U.S. SENATE IN
SPRINGFIELD, ILLINOIS, CONTACT [REDACTED]

b6
b7C

[REDACTED] CONCERNING HIS KNOWLEDGE OF THE CANDIDATE AT THAT
LOCATION.

SPRINGFIELD AT QUINCY, ILLINOIS, CANDIDATE WAS A BOARD OF
DIRECTORS OF FGI WIRELESS, LTD., CONTACT [REDACTED]
[REDACTED]

b6
b7C

WMFO AT WMFO, CANDIDATE WHILE SERVING WITH THE U.S.
SENATE AND RESIDING IN WASHINGTON, D.C. OWNED THE RESIDENCE
AT, 300 C STREET, NORTHEAST, WASHINGTON, D.C., 20002.
CANDIDATE RESIDED AT THAT LOCATION FOR 6 YEARS PRIOR TO
LEAVING THE SENATE IN 1993. RESIDENCE WAS SOLD AFTER
CANDIDATE LEFT THE SENATE. VERIFY RESIDENCE AT THAT LOCATION.

INVESTIGATION CONTINUING AT ST. LOUIS.

PAGE FOUR DE FBISL 0001 UNCLAS

BT

#0001

NNNN

Classification ~~CONFIDENTIAL~~

Date Received: 10/04/94

Requester: FBI

Section:

<input type="radio"/>	I
<input checked="" type="radio"/>	II
<input type="radio"/>	III

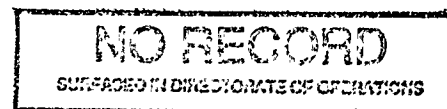
Subject:

no DIXON, ALAN JOHN; DPOB: 07/07/27, BELTSVILLE, IL;
OCC: SR LAW PARTNER W/BRIAN CADE LAW FIRM);
(SPIN UNIT);

Disposition:

Analyst:

Date Sent:



05 OCT 1994 *dm*

called 1030 0507

REQUEST FOR CREDIT CHECK

OCT 5 9 06 AM '94

DATE 10/04/94

TO: CONTRACTOR
FROM: SPECIAL INQUIRY UNIT (SPIN), CID

ATTENTION: [REDACTED] ROOM 4371 [REDACTED]

b6
b7C

----- RESPONSE CRITERIA -----

5 WORK DAYS

----- TYPE OF REQUEST -----

SUITABILITY

SUBJECT'S NAME: DIXON, ALAN JOHN

MAIDEN:

DATE OF BIRTH (DOB): 07/07/27 PLACE OF BIRTH (POB): BELLEVILLE, IL

SEX: M SOCIAL SECURITY ACCOUNT NUMBER (SSAN): 361-18-3388

SPOUSE'S NAME:
AKA:

MAIDEN:

b6
b7C

SUBJECT'S CURRENT
ADDRESS:

7535 CLAYMONT CT, BELLEVILLE, IL 62223

SUBJECT'S
ADDRESS(ES)
FOR LAST
SEVEN (7) YEARS:

7535 CLAYMONT CT, BELLEVILLE, IL 62223

**SPECIAL INQUIRY
PLEASE EXPEDITE**

ENCLOSURE - RELEASE FORM TO BE ATTACHED

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-18-2014 BY C66W46B11

UNITED STATES OF AMERICA

AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I **Authorize** any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, and any authorized Federal agency, to obtain any information relating to my activities from schools, residential management agents, employers, criminal justice agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, and criminal history record information.

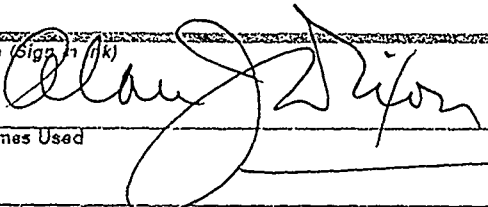
I **Understand** that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will or may be needed, and I may be contacted for such a release at a later date.

I **Further Authorize** the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, and any other authorized agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for, assignment to, or retention in, a sensitive position, in accordance with 5 U.S.C. 9101.

I **Authorize** custodians of records and sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I **Understand** that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for two (2) years from the date signed.

Signature (Sign in Ink)	Full Name (Type or Print Legibly)	Date Signed
	ALAN J. DIXON	OCT 3 1994
Other Names Used	Social Security Number	
	3611-118-3388	
Current Address (Street, City)	State	ZIP Code
APT 2, 7535 CLAYMONT CT, BELLEVILLE IL	IL	62243
		Home Telephone Number (Include Area Code)
		1618 397-3050

***** EQUIFAX ACROFILE PLUS CREDIT REPORT W/ON-LINE DIRECTORY (DF) *****
* Your Company Name *

DATE: 10-05-1994 TIME: 08:09:25 SUBJECT ID: SPIN 24 HR

NM-DIXON, ALAN, JOHN, , ,
CA-7535, CLAYMONT, CT, BELLEVILLE, IL, 62223.
ID-SSS-361-18-3388, BDS-07/07/27.

* 362 CSC ST LOUIS,
652 NORTH BELT E STE 133, BOX 674402, HOUSTON, TX, 77267-4402, 314/849-5080

*DIXON, ALAN, J SINCE 05/07/81 FAD 03/03/93 FN-204
300, C, ST NE, WASHINGTON, DC, 20002, TAPE RPTD 04/91
7535, CLAYMONT, CT, BELLEVILLE, IL, 62223, TAPE RPTD 07/89
1300, CRYSTAL, DR, ARLINGTON, VA, 22202, DAT RPTD 07/88
BDS-07/07/27, SSS-361-18-3388
01 ES-, US GOVT
02 EF-SENATOR, ALAN DIXON

*SUM-01/54-09/94, PR/OI-NO, COLL-NO, FB-NO, ACCTS:17, HC\$0-126K, 17-ONES.
FIRM / IDENT CODE CS RPTD LIMIT HICR BAL \$ DLA MR (30-60-90+) MAX/DEL
ECO/A/ACCOUNT NUMBER OPND P/DUE TERM 24 MONTH HISTORY

HBSBNA MC *163BB21879 R1 09/94 10000 --- 1685 08/94 01
J/01052337930 07/94 --- 95
CREDIT CARD

BURDINES *728DC31 R1 09/94 700 --- 0 04/93 75
U/10722 12/79 --- ---
CHARGE

MAGNA BANK*654BB11604 R1 09/94 5571 --- 0 08/94 55
J/515180100092 10/68 --- ---
CREDIT CARD

FAMOUS BAR*154DC12 R1 08/94 --- 1400 143 08/94 71
J/-16482 01/54 --- 20

DILLARD *906DC755 R1 08/94 --- 6839 0 08/94 99
S/2315 06/54 --- ---
CREDIT CARD

BLOMNGDALE*404DC21 R1 07/94 --- 857 0 01/93 45
I/44482 01/81 --- ---
CHARGE

MWCC/WARD *906DC136 R1 10/93 --- 0 0 35
I/CP8D0411-259 11/90 --- ---

CU 1 *155ON556 R1 05/92 5000 --- --- 04/92 24
I/423751960 11/88 --- ---

SAKS *906CG118 R1 05/92 --- 300 0 05/92 02
I/2138 01/65 --- ---

J C PENNEY*906DC185 R1 07/91 --- 212 0 06/91 04
I/6-01276353 11/78 --- ---

GARFINCKEL*491DC2682 R1 08/90 --- 1400 0 01/89 96
S/2904 03/82 --- ---

WOODIES	*491DC5479		11/89	1500	---	0 11/89 72
I/2904			07/81	---	---	
SEARS	*906DC29	R1	09/89	---	2625	0 09/89 24
A/8454-055408600			06/87	---	---	
LORD & TAY	*906DC151	R1	11/88	---	223	0 10/88 87
S/2819			05/81	---	---	
JORDANMARS	*728DC668	R1	10/88	---	138	0 02/88 71
S/2069			11/82	---	---	

REVOLVING TOTALS	22771	13994	1828
	---	115	

BK AMER	*850FM986	I1	07/91	---	126K	0 07/91 34
T/278625			08/88	---	1345	

J C PENNEY	*906DC193	I1	04/91	---	447	0 05/88
I/6-012763538130			11/78	---	---	

INSTALLMENT TOTALS	---	---	---
	---	---	

GRAND TOTALS	22771	13994	1828
	---	115	

*INQS-ALLIED CAP 491FZ72742 03/03/93

* MEMBER #	COMP. NAME	TELEPHONE	* MEMBER #	COMP. NAME	TELEPHONE
163BB21879	HBSBNA MC	MAIL ONLY	728DC31	BURDINES	513-5738566
654BB11604	MAGNA BANK	618-3945370	154DC12	FAMOUS BAR	314-4443111
906DC755	DILLARD	800-6438278	404DC21	BLOMNGDALE	800-7629877
906DC136	MWCC/WARD	913-6764000	155ON556	CU 1	217-8939112
906CG118	SAKS	214-3854990	906DC185	J C PENNEY	MAIL ONLY
491DC2682	GARFINCKEL	617-8717130	491DC5479	WOODIES	703-3295050
906DC29	SEARS	MAIL ONLY	906DC151	LORD & TAY	212-5454800
728DC668	JORDANMARS	513-5738566	850FM986	BK AMER	804-7625300
906DC193	J C PENNEY	MAIL ONLY	491FZ72742	ALLIED CAP	202-3311112

&

END OF REPORT EQUIFAX AND AFFILIATES - 10/05/94

*** END OF REPORT ID: 10-05-1994/08:09:25 (ORN: 4125) [B-0/F-0/P-0/O-0] ***

***** TRW CONSUMER CREDIT REPORT *****
* Your Company Name *

DATE: 10-05-1994 TIME: 08:16:24 SUBJECT ID: SPIN 24 HR

DIXON, ALAN JOHN 361183388;
CA-7535 CLAYMONT CT/BELLEVILLE IL 62223;
K-PH, Y-1927, V-04/042/4.04, H-Y;

PAGE 1 DATE 10-05-94 TIME 6:15:27 PCN03 V306 TMO1

ALAN JOHN DIXON SSN: 361-18-3388 E: US SENATOR
7535 CLAYMONT CT 2 YOB: 1927 RPTD: 10-81
BELLEVILLE IL 62223
RPTD: 12-92 TO 8-94 U

1201 JEFF DAVIS HWY
ARLINGTON VA 22202
RPTD: 10-90 TO 5-93 U

300 C ST NE
WASHINGTON DC 20002
RPTD: 6-92 TO 3-93 U

SUBSCRIBER ACCOUNT # SUBSCR# KOB TYPE TERM ECOA	DATE OPN BAL DATE LAST PAY	AMT/TYPER BALANCE MONTH PAY	AMT/TYPER STATUS DATE \$PASTDUE	ACCT STATUS PYMT HISTORY IN PRIOR MOS
FAMOUS BARR COMPANY R164822561 0372747 ZR CHG REV 2	1-54 8-29-94 11-93	\$1,400 H \$143 \$20	8-94	CURR ACCT NNNNNNN-NNCNC NNNNNCCNNNNN
J C PENNEY 12763538130 1301837 DC ISC 27 1	10-Y 9-13-94	\$400 O \$0	6-88	CURR ACCT NNNNNNNNNNNNNN NNNNNNNNNNNNNN
J C PENNEY 12763538120 1301837 DC CHG REV 1	11-78 9-13-94 6-91	\$212 H \$0	7-91	CURR ACCT NNNNNNNNNNNNNN NNNNNNNNNNNNNN
MAAS BROTHERS/JORDANS 206922812 1332107 DC CHG REV 4	11-82 9-05-94	\$137 H \$0	11-93	CURR ACCT NNNNNNNNNNNNNN NNNNNNNNNNNNNN
SAKS FIFTH AVENUE 21385026 1347515 ZR CHG REV 1	1-65 8-03-94 2-94	\$300 H \$0	3-94	CURR ACCT ----NCCNNNNNN NNNNNNNNNNNNNN
* BLOOMINGDALE BROTHERS 444820732 1349030 ZR CHG REV 1	1-81 7-28-94 1-93	\$1,001 L \$0	\$857 H 11-89	CUR WAS 60 NNNNNNNNNNNNNN NNNNNNCCNCCN
MBGA/HECHINGERS CG4B5201007 1370081 DC CHG REV 4	1-87 9-21-94	\$710 H \$0	1-93	CURR ACCT N
WOODWARD & LOTHROP 290489822 1390318 ZR CHG REV 0	7-81 9-23-94	\$1,500 \$0	2-91	CURR ACCT
GARFINCKELS 29048982 1391357 ZR CHG REV 4	3-82	\$1,400 L	8-90	PAID SATIS

BURDINES					12-79	\$700 L	\$297 H	CURR ACCT
107226012					9-04-94	\$0	9-94	NNNNNNNNNNNN
1392176	ZR	CHG	REV	0	4-93			NN
MAGNA BANK					10-68	\$5,571	\$4,677 H	CURR ACCT
5151801000928942					8-03-94	\$0	8-94	CCC-CCCCCCCC
2240033	BC	CRC	REV	2				-CCC-CCCCCCCC
MWCC/WARD					11-90	UNK		CURR ACCT
CP8D0411259					9-24-94	\$0	10-93	CCCCCCCCCCCC
2322480	DC	CHG	REV	1				
NATIONSBANK MTG					1988	\$126,500 O		PAID SATIS
278625							1991	
2586040	FP	R/C	30Y	2				
HBSBNA MC					7-94	\$10,000 L	\$4,739 H	CURR ACCT
010523337930					9-01-94	\$1,685	9-94	C
3279024	BC	CRC	REV	2	8-94	\$95		
DILLARDS DEPT STORE					6-54	\$6,839 H		CURR ACCT
23152499					7-27-94	\$137	7-94	CCNNNNNNNCCNN
3378879	DC	CRC	REV	4	7-94	\$20		NNNNNNNNNNNN

END -- CREDIT BUREAU REPORTS, INC.

DIRECT CHECK LISTING

SUBCODE	SUBSCRIBER	TELEPHONE	ADDRESS	CITY	ST	ZIP
1349030	BLOOMINGDALE BROT	513.573.2723	4705 DUKE DRIVE	MASON	OH	45040
1392176	BURDINES	BY MAIL ONLY	4705 DUKE DRIVE	MASON	OH	45040
3378879	DILLARDS DEPT STO	602.678.2441	P O BOX 52005	PHOENIX	AZ	85072
0372747	FAMOUS BARR COMPA	314.421.2154	601 OLIVE STREET	SAINT LOUIS	MO	63101
1391357	GARFINCKELS	202.408.5530	1030 15TH STREET NW	WASHINGTON	DC	20005
3279024	HBSBNA MC	BY MAIL ONLY	P O BOX 80037	SALINAS	CA	93912
1301837	J C PENNEY	412.941.0600	1120 BOYCE ROAD	PITTSBURGH	PA	15241
1332107	MAAS BROTHERS/JOR	813.835.2631	4705 DUKE DRIVE	MASON	OH	45040
2240033	MAGNA BANK	618.234.8591	19 PUBLIC SQUARE	BELLEVILLE	IL	62220
1370081	MBGA/HECHINGERS	404.353.2400	P O BOX 103000	ROSWELL	GA	30076
2322480	MWCC/WARD	913.676.4000	P O BOX 29110	MERRIAM	KS	66203
2586040	NATIONSBANK MTG	BY MAIL ONLY	P O BOX 353	LOUISVILLE	KY	40201
1347515	SAKS FIFTH AVENUE	BY MAIL ONLY	450 WEST 15TH STREET	NEW YORK	NY	10011
1390318	WOODWARD & LOTHRO	703.329.5050	2800 EISENHOWER AVEN	ALEXANDRIA	VA	22314

END -- TRW DIRECT CHECK

*** END OF REPORT ID: 10-05-1994/08:16:24 (ORN: 4125) [B-0/F-0/P-6/O-0] ***

10/04/94

13:01

FIPC - FIB BOYERS, PA

NO. 400

P002/003

ALL FBI INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-18-2014 BY C66W46B11

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OCTOBER 4, 1994

TO: INVESTIGATION BACKGROUND BRANCH (IBB)
OPM
ATTN: OR

FROM: SPECIAL INQUIRY UNIT
FBI HEADQUARTERS

PLEASE SEARCH THE FOLLOWING NAMES THROUGH OPM RECORDS:

NAME

DOB

SSAN

✓ ALAN JOHN DIXON

7-7-27

361-18-3388 NR

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ANY QUESTIONS, PLEASE CALL (202) 324-2623.
SPIN UNIT FAX NUMBER IS 324-2574.

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OCT 4 '94 12:58

412 794 3321 PAGE.002



SPIN UNIT FBIHQ

CLASSIFICATION

PRECEDENCE

☐ Immediate ☐ Top Secret
☐ Priority ☐ Secret
☐ Routine ☐ Confidential
☐ Sensitive

Time Transmitted: _____

Sender's Initials: _____

Number of Pages: 21

Unclassified

To: CG, LA, MM, S/L, S/X, WF Date: 10/4/94

Facsimile number: _____

Attn: _____

161 SUPERVISOR

From: _____

Name

Room

Phone #

SPIN UNIT

Subject: _____

Name of Office

ALAN JOHN DIXON

SPIN B

BUDE - 10/6 WITHOUT FAIL

Special Handling Instructions: _____

HAND CARRY

IMMEDIATE

Originator's Name: _____

Phone #: _____

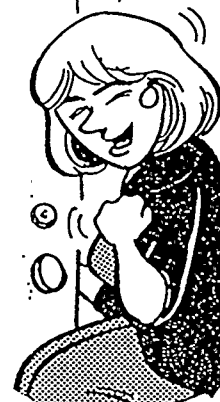
Originator's Facsimile Number: _____

Approved: _____

FBI/DOJ

FBI, MA'AM.
BACKGROUND
CHECK.

YESSS!



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FAX

10/4/94

FROM: Director, FBI (161B - HQ -1080007)

TO: SACs, CHICAGO (No enc.)
LOS ANGELES (Enc.)
MIAMI (No enc.)
ST. LOUIS (Enc.)
SPRINGFIELD (Enc.)
ADIC, WMFO (Enc.)

ALAN JOHN DIXON
SPIN;
OO: FBIHQ
BUDED: COB 10/6/94 WITHOUT FAIL

Enclosed for LA, SL, SI AND WMFO is a copy of DIXON's SF-86 (including release of information form) dated 10/3/94.

Bureau has been requested by the White House to conduct a Level II background investigation (BI) of appointee in connection with a Presidential appointment which requires Senate confirmation. Appointee was born 7/7/27, at Belleville, IL; resides at 7535 Claymont Court, #2, Belleville, IL; and has SSAN 361-18-3388.

Field Offices are reminded that the investigative status of a BI, all deadlines and the position for which the appointee is being considered shall not be disclosed to any interviewees. If during the course of the investigation, interviewees provide the nature of the position, that information is not to be further divulged outside the FBI. Inquiries by interviewees concerning the nature of the position are to be handled as set forth in MIOG, Part II, Section 17-5 (5). Appointees making inquiries regarding the status of their BI are to be referred to the client agency.

Direct results/questions to PSS [redacted] SPIN Unit, FBIHQ, Room 4371, [redacted] SPIN Unit facsimile numbers are: (202) 324-2574 and (202) 324-1373.

Advise FBIHQ (PSS [redacted] and appropriate field offices of any derogatory information in accordance with MIOG, Part II, Section 17-5.1(1).

b6
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Fax to SACs, CHICAGO, et al, dated 10/4/94
Re: ALAN JOHN DIXON

LEADS:

EACH RECEIVING OFFICE:

In addition to investigation to be conducted in those areas marked for coverage in appointee's SF-86, receiving offices are to conduct specific investigation set forth below.

CHICAGO

1. Only conduct indices concerning [REDACTED]

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LOS ANGELES

1. Review all records pertaining to 1978 arrest for DUI.

MIAMI

1. Only conduct arrest and USA checks concerning property owned at Governor's Pointe, #1001, 356 Golfview Road, North Palm Beach, FL.

ST. LOUIS

1. Interview appointee in accordance with MIOG, Part II, Section 17-5.6. Discuss "use of another's ID", arrest for DUI, membership in all male club (Burning Tree Country Club). Note list attached to supplement. During interview, determine for which companies he sits on the Board of Directors and in which he plays a decision-making role. Find out who can verify those positions and verify or set lead IMMEDIATELY by telephone and facsimile. Determine if he is a member of the bar in other than Missouri and Illinois. If so, set lead for bar check by telephone and facsimile. Determine where he resided when in Washington, D.C. and set lead by telephone and facsimile for verification.

2. Insure at least 8 people knowledgeable concerning appointee are interviewed.

3. Check FRC-M. Review records for any information concerning the "use of another's ID", listed on the SF-86.

4. Check U.S. Attorneys Office.

5. Check Missouri Bar Association for membership/grievances.

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Fax to SACs, CHICAGO, et al, dated 10/4/94
Re: ALAN JOHN DIXON

SPRINGFIELD

1. Check U.S. Attorneys Office.
2. Insure at least 10 people knowledgeable concerning appointee are interviewed.
3. Check Illinois Bar Association for membership/grievances.
4. Check State Board of Elections for any grievances/complaints.
5. Check State Attorney General's Office in connection with state employment.

WMFO

1. Insure at least 10 people knowledgeable concerning appointee are interviewed.
2. Check FEC.
3. Check Office of Senate Security.
3. Check U.S. Attorneys Office.
4. Check White House.
5. Check USSS.
6. Check PIS/DOJ.

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S P E C I A L
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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-18-2014 BY C66W46B11

SPECIAL INQUIRY (SPIN) UNIT
FEDERAL BUREAU OF INVESTIGATION
RECORDS/OPERATIONS SECTIONS

DATE: 10/04/94

BUDED: 10/06/94

X NAME SEARCHING UNIT, NEWINGTON ANNEX
X FORWARD TO FILE REVIEW : RM 6712
X RETURN TO: [REDACTED] ROOM 4371, EXT. 2581

SCOPE OF SEARCH: UNRESTRICTED (ADB)

TYPE OF SEARCH REQUESTED: ALL REFERENCES (SECURITY & CRIMINAL)

FR UTD , 19

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SPECIAL INSTRUCTIONS: X SIX WAY PHONETIC

SUBJECT IS DESCRIBED AS FOLLOWS:

NAME: DIXON, ALAN, JOHN *

DOB: 07/07/27

POB: BELLEVILLE, IL *

SSAN: 361-18-3388

LOCALITIES: IL

OCT 05 1994 (4)
URGENT

RELATIVES: FR UTD , 19

SPECIAL INSTRUCTIONS: X THREE WAY SEARCH

RELATIONSHIP	NAME	DOB	LOCALITIES
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[REDACTED]	[REDACTED]	[REDACTED]	IL
[REDACTED]	[REDACTED]	[REDACTED]	IL
[REDACTED]	[REDACTED]	[REDACTED]	VA
[REDACTED]	[REDACTED]	[REDACTED]	IL

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b7c

SPECIAL INQUIRY
PLEASE EXPEDITE

IDENT CHECK ROOM 11 TB TUBE J1, ATTN: [REDACTED]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 12-18-2014 BY C66W46B11

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b7C

FROM: SPECIAL INQUIRY UNIT, DIV 6, RM 4371, TL # 11

EXT: 2581 N: PSS [REDACTED]

SUBJECT: ALAN JOHN DIXON

BUDED: 10/06/94

THE BUREAU HAS BEEN REQUESTED TO CONDUCT AN EXPEDITE
BACKGROUND INVESTIGATION OF THE ABOVE-CAPTIONED SUBJECT, WHO IS
BEING CONSIDERED FOR PRESIDENTIAL APPOINTMENT. YOU ARE REQUESTED
TO CHECK APPROPRIATE INDICES BASED UPON AVAILABLE INFORMATION
CONCERNING SUBJECT, EMPLOYMENT, AND ALL CLOSE RELATIVES. IT IS
REQUESTED THAT THE RESULTS OF YOUR CHECK, WHETHER POSITIVE OR
NEGATIVE, BE INDICATED IN THE SPACES PROVIDED BELOW, AND RELAYED
TO THE SPECIAL INQUIRY UNIT, RM 4371, VIA ROUTING SLIP
MARKED 'URGENT'.

SUBJECT IS DESCRIBED AS FOLLOWS:

RESULT NAME: ALAN JOHN DIXON

DOB: 07/07/27

PCB: BELLEVILLE, IL

SSAN: 361-18-3388

CURRENT ADDRESS: 7535 CLAYMONT CT
BELLEVILLE, IL 62223

EMPLOYMENT: BRYAN CAVE LAW FIRM

211 NORTH BROADWAY 3600 AVE ST LOUIS, MO

CLOSE RELATIVES

RESULT	NAME	DOB	RESIDENCE
*			
*			
*			
*			
*			

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b7C

* **UNABLE TO IDENTIFY WITH ARREST RECORD
ON BASIS OF INFORMATION FURNISHED.
FBI IDENTIFICATION DIVISION**

**SPECIAL INQUIRY
PLEASE EXPEDITE**

CHECK CONDUCTED BY: _____, ON _____

PCN 942787611407 OCA
DIXON, ALAN JOHN U 510 07/07/27
MNU SOC 361 18 3388 SEX M
USCIC000Z CONFLICT OF INT CNCL PRT REC
WASHINGTON US 10/05/94
A SEARCH OF THE FINGERPRINTS ON THE ABOVE
INDIVIDUAL HAS FAILED TO DISCLOSE PRIOR ARREST
DATA. IDENTIFICATION DIVISION
10/05/94 FEDERAL BUREAU OF INVESTIGATION

USCIC000Z
CONFLICT OF INTEREST
COUNSEL
THE WHITE HOUSE
WASHINGTON, DC 20500-0001